

Truth in Testimony Disclosure Form

In accordance with Rule XI, clause 2(g)(5)*, of the *Rules of the House of Representatives*, witnesses are asked to disclose the following information. Please complete this form electronically by filling in the provided blanks.

Committee: Transportation and Infrastructure

Subcommittee: Water Resources and Environment

Hearing Date: July 10, 2019

Hearing Title :

"Water Resources Development Acts: Status of Implementation and Assessing Future Needs"

Witness Name: F. Martin Ralph

Position/Title: Director, Center for Western Weather and Water Extremes at UC San Diego/Scripps Inst. Oceanography

Witness Type: Governmental Non-governmental

Are you representing yourself or an organization? Self Organization

If you are representing an organization, please list what entity or entities you are representing:

University of California San Diego/Scripps Institution of Oceanography

If you are a **non-governmental witness**, please list any federal grants or contracts (including subgrants or subcontracts) related to the hearing's subject matter that you or the organization(s) you represent at this hearing received in the current calendar year and previous two calendar years. Include the source and amount of each grant or contract. *If necessary, attach additional sheet(s) to provide more information.*

Please see attached annual report.

If you are a **non-governmental witness**, please list any contracts or payments originating with a foreign government and related to the hearing's subject matter that you or the organization(s) you represent at this hearing received in the current year and previous two calendar years. Include the amount and country of origin of each contract or payment. *If necessary, attach additional sheet(s) to provide more information.*

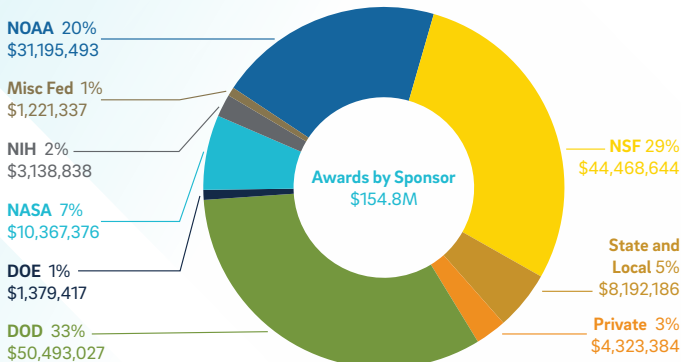
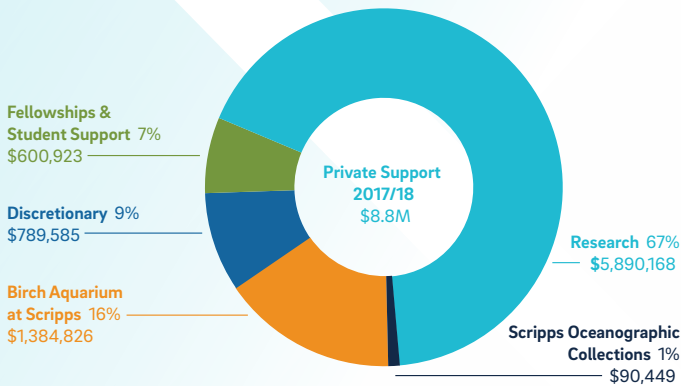
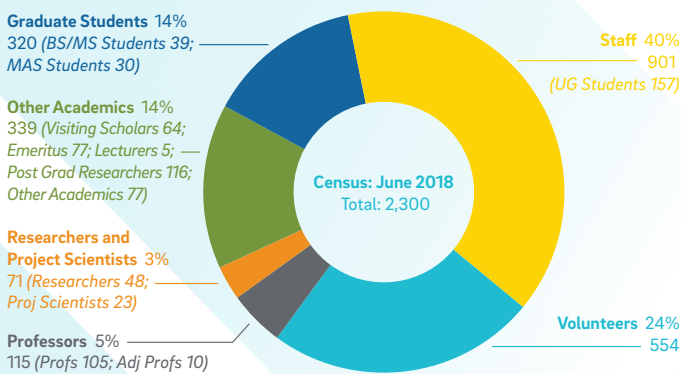
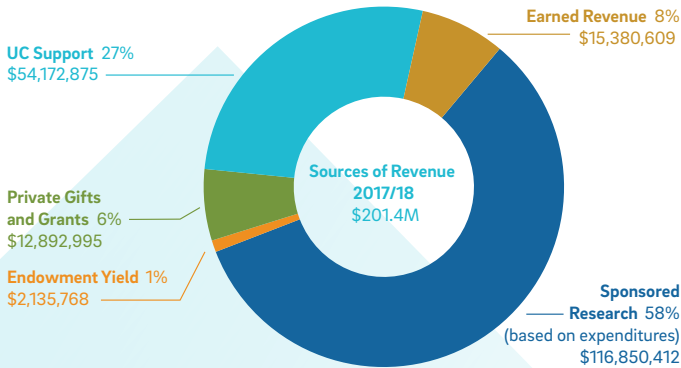
Please see attached annual report.

MULTI-YEAR STATEMENT OF ACTIVITY

	FY 16/17	FY 17/18	FY 17/18
		(expensed this period)	Awarded this period
REVENUE			
SPONSORED RESEARCH	137,925,597	116,850,412	154,779,702
Federal Government	124,584,325	106,906,547	142,264,133
National Science Foundation	36,457,382	36,478,158	44,468,644
Department of the Navy	45,454,020	25,284,064	43,057,526
National Aeronautics and Space Administration	6,975,035	7,578,974	10,367,376
National Oceanic and Atmospheric Administration	21,499,590	22,676,798	31,195,493
Department of Energy	1,862,443	1,528,833	1,379,417
Other Department of Defense Agencies	8,544,011	9,760,744	7,435,503
Other Federal Departments	1,185,694	1,384,845	1,221,337
National Institutes of Health	2,606,150	2,214,131	3,138,838
State Government	8,321,462	5,225,279	7,223,142
Local Government	793,804	1,041,686	952,544
Private Contracts	3,914,730	3,573,152	4,323,384
UC Sponsored Research	311,277	103,748	16,500
UNIVERSITY OF CALIFORNIA SUPPORT	50,034,159	54,172,875	
EARNED REVENUE	13,345,076	15,380,609	
Birch Aquarium at Scripps (BAS)	6,605,041	6,836,130	
Recharge Unit Revenues	5,628,081	7,606,095	
Intellectual Property and Royalty Income	115,688	65,866	
Other Revenue	996,265	872,518	
PRIVATE GIVING	11,960,480	12,892,995	
Birch Aquarium at Scripps (BAS)	1,992,566	1,755,738	
Private Gifts	7,437,937	8,993,470	
Private Grants ¹	2,529,978	2,143,787	
INTEREST INCOME	2,117,701	2,135,768	
Interest Earned	(5,588)	0	
Endowment Yield	2,123,290	2,135,768	
TOTAL REVENUE	215,383,013	201,432,659	
EXPENSE			
RESEARCH PROGRAMS - SEEK	(174,040,825)	(162,353,456)	
Sponsored Research ²	(138,233,754)	(128,907,831)	
Ships	(31,259,537)	(29,137,194)	
Oceanographic Collections	(485,408)	(341,770)	
Contract & Grant Administration	(1,302,118)	(1,076,814)	
Research Development & Planning	(740,503)	(862,735)	
Research Infrastructure & EH&S	(667,327)	(703,509)	
OP Tax on Research Expenditures	(1,352,180)	(1,323,603)	
INSTRUCTION PROGRAMS -TEACH²	(12,793,598)	(24,412,002)	
OUTREACH -COMMUNICATE	(11,774,134)	(12,042,790)	
Birch Aquarium at Scripps (BAS)	(8,858,679)	(9,095,575)	
Business Development	(153,276)	(119,032)	
Communications (Scripps share) & Web Group	(1,136,243)	(1,150,694)	
Development (Scripps share)	(350,674)	(392,363)	
Diversity	(152,994)	(169,091)	
Special Events (including lectures, awards, conferences)	(274,717)	(314,293)	
Conference Facilities (Forum debt service, staff, maintenance)	(847,551)	(801,741)	
INSTITUTIONAL SUPPORT	(7,478,881)	(8,718,866)	
Scripps Administration	(2,827,154)	(3,150,441)	
IT Services	(1,483,255)	(1,632,029)	
Facilities Maintenance & Capital Improvements ³	(2,915,473)	(3,597,397)	
OP Tax on non-core Expenditures	(253,000)	(339,000)	
TOTAL EXPENSES⁴	(206,087,439)	(207,527,114)	
Annual Balance/(Deficit) from Current Activities	9,295,574	(6,094,455)	

1. Private grants are typically restricted funds and considered Sponsored Research; however UC San Diego counts them as Private Giving
2. In FY 17-18, Faculty Salary Expense reclassified from Research to Teaching.
3. Does not include funds transferred to UC San Diego Facilities Design and Construction or Facilities Management and spent by those units on Scripps projects which causes variability in the year-to-year totals. FY 17-18 total facility/capital improvement expenses paid by Scripps were approximately \$6.6M.
4. This statement does not reflect all annual expenditures associated with operating Scripps. Services provided by campus departments are captured in UC San Diego financial reports, e.g. utilities, custodians, central administrative services such as payroll, purchasing, and general accounting, transportation, deferred maintenance costs, etc.

SPONSORED RESEARCH FY 17-18 SUPPORT



FEDERAL

Department of Agriculture

- U.S. Forest Service

Department of Commerce

- National Oceanic and Atmospheric Administration
- National Institute of Standards and Technology

Department of Defense

- Air Force Office of Scientific Research
- Army Corps of Engineers
- Defense Advanced Research Projects Agency
- Defense Threat Reduction Agency
- Naval Air Systems Command
- Naval Facilities Engineering Command
- Naval Research Laboratory
- Naval Sea Systems Command
- Office of Naval Research
- Pacific Fleet Commander
- Space & Naval Warfare Systems Command
- Strategic Environmental Research and Development Program

Department of Energy

- National Energy Technology Laboratory

Department of Health and Human Services

- National Institute of Allergy and Infectious Diseases
- National Institute of General Medicine Science

Department of Interior

- Bureau of Ocean Energy Management
- U.S. Fish and Wildlife Service
- U.S. Geological Survey

Environmental Protection Agency

National Aeronautics and Space Administration

National Science Foundation

STATE OF CALIFORNIA

- Air Resources Board
- Coastal Commission
- Energy Commission
- Natural Resources Agency
 - Fish and Wildlife
 - Parks and Recreation
 - Water Resources
- Ocean Protection Council
- San Francisco Bay Conservation and Development Commission
- State Coastal Conservancy
- State Lands Commission
- State Water Resources Control Board

REGIONAL GOVERNMENT

- City of San Diego
- County of Sonoma
- Orange County Water District
- San Diego Unified Port District

INTERNATIONAL GOVERNMENT

- Australian Museum
- Engineering and Physical Sciences Research Council
- European Union/European Commission
- Japan Aerospace Exploration Agency
- Research Council of Norway
- U.S.-Israel Binational Science Foundation

OTHER

- BGP Inc., CNPC
- BP Group
- Chevron Corporation
- Idemitsu Petroleum Norge As
- JGI, Inc.
- King Abdullah University of Science and Technology
- KMS Technologies
- Ocean Floor Geophysics, Inc.
- Petrobras S.A
- Petroleum Geo-Services ASA
- Petromarker AS
- Repsol Service Company
- Royal Dutch Shell PLC
- Shandong Jiejing Group Corporation
- State Water Contractors