



POLICY

UNDER SECRETARY OF DEFENSE  
2000 DEFENSE PENTAGON  
WASHINGTON, D.C. 20301-2000

FEB 28 2019

MAR 05 2019

The Honorable Eliot L. Engel  
Chairman  
Committee on Foreign Affairs  
U.S. House of Representatives  
Washington, DC 20515

Dear Mr. Chairman:

I am writing to notify you that, in coordination with the Secretary of State, the Department of Defense (DoD) will use the authority provided by Section 1250 of the National Defense Authorization Act (NDAA) for Fiscal Year 2016 (Public Law 114-92), as most recently amended by Section 1246 of the NDAA for Fiscal Year 2019 (Public Law 115-232), to support programs in Ukraine. Implementation of these programs will begin no sooner than 15 days following this notification. This authority will be used to provide appropriate security assistance, including training, equipment, and logistics support, supplies, and services, to the military and other security forces of the Government of Ukraine.

The total estimated cost of these programs does not exceed \$125 million. Funding made available pursuant to Section 9013 of the Department of Defense Appropriations Act, 2019 (division A of Public Law 115-245) will finance these programs.

DoD has included more than \$50 million of assistance to deliver counter-artillery radars and defensive lethal assistance pursuant to Section 1250 of the NDAA for Fiscal Year 2016, as amended.

Figures provided in this notification reflect estimated quantities and values. These figures may change based on the final price and availability of individual items, but the overall cost will not exceed \$125 million, and the quantity of items will remain consistent with the stated nature and scope of the program.

This notification is provided to meet the requirements of section 1250 of the NDAA for Fiscal Year 2016, as amended. Descriptions of the programs and associated training are enclosed. I am sending identical letters to the congressional defense committees and the Senate Committee on Foreign Relations.

Sincerely,

Enclosures:  
As stated

cc:  
The Honorable Michael McCaul  
Ranking Member



**Ukraine Security Assistance Initiative Notification Summary Table  
 In Accordance with Section 9013 of the Department of Defense Appropriations Act, 2019  
 and Section 1250 of the National Defense Authorization Act for 2016, as Amended**

<b>Proposal</b>	<b>Program</b>	<b>Component</b>	<b>Combatant Command</b>	<b>Dollars</b>
1	Lethal Equipment and Counter-Artillery Radars	DSCA	USEUCOM	\$53,300,000
2	Ministry of Defense - Air Force	DSCA	USEUCOM	\$5,000,000
3	Ministry of Defense - Land Forces and Special Operations Forces	DSCA	USEUCOM	\$13,000,000
4	Ministry of Defense - Navy and Naval Infantry	DSCA	USEUCOM	\$29,000,000
5	Ministry of Defense - Other	DSCA	USEUCOM	\$24,700,000
<b>Total</b>				<b>\$125,000,000</b>

DSCA – Defense Security Cooperation Agency  
 USEUCOM – U.S. European Command

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**Ukraine Security Assistance Initiative (USAI):  
Lethal Equipment and Counter-Artillery Radars**

The Department of Defense (DoD) plans to undertake activities to provide security assistance and intelligence support to the military and security forces of the Government of Ukraine to enhance Ukraine’s capabilities to defend against Russian aggression, assist Ukraine in developing the combat capability to defend its sovereignty and territorial integrity, and support Ukraine’s efforts to defend against actions by Russia and Russian-backed separatists that violate the ceasefire agreements of September 4, 2014, and February 11, 2015. All activities notified under this program will be executed pursuant to Section 1250 of the National Defense Authorization Act for Fiscal Year 2016, as amended, and Section 9013 of the Department of Defense Appropriations Act, 2019.

This program funds defensive lethal weapons systems, including sniper rifles and associated ammunition, optics, and ancillary items, and shoulder-fired grenade launchers and associated ammunition. This program also funds two counter-artillery radar systems, upgrades to 13 previously-provided counter-artillery radar systems, and the associated training, maintenance, and services. These additional radar systems and upgrades will enhance the survivability of Ukrainian forces by providing early warning against enemy indirect fire attacks. The sniper rifles, grenade launchers, and ammunition will increase the defensive capacity of Ukrainian forces for fixed site security, anti-armor, and counter-sniper missions.

Units selected to receive this assistance will undergo human rights vetting before such assistance is provided and will be provided human rights training by the Defense Institute of International Legal Studies. USEUCOM assesses that Ukraine will be able to absorb effectively and benefit from the proposed assistance. There will be no adverse effect on U.S. personnel or operations. The funding for this program will come from the Department’s FY 2019 Operation and Maintenance (O&M), Defense-wide account.

These efforts support U.S. national security objectives both globally and within the USEUCOM area of responsibility.

DoD intends to provide the following articles or equivalent variants and services:

	<b>Articles/Services</b>	<b>Value</b>	<b>Executing Component</b>
1	Radars, Spares, and Supporting Equipment, including: <ul style="list-style-type: none"> <li>• AN/TPQ-37 FIREFINDER Radar (2)</li> <li>• Spares and Ancillary Items</li> </ul>	\$29,900,000	Army
2	Sniper Rifles, Spares, and Supporting Equipment, including: <ul style="list-style-type: none"> <li>• .50 Sniper Rifle (122)</li> </ul>	\$5,800,000	Army

	Articles/Services	Value	Executing Component
	<ul style="list-style-type: none"> <li>.50 BMG Match, Armor Piercing, and Armor Piercing Incendiary Cartridges</li> <li>Associated optics, parts, tools, accessories</li> </ul>		
3	Grenade Launchers and Supporting Equipment, including: <ul style="list-style-type: none"> <li>PSRL-1 Grenade Launcher (1000)</li> <li>Associated parts, tools, accessories, and rounds</li> </ul>	\$6,100,000	Navy
4	<ul style="list-style-type: none"> <li>Operations and Maintenance Training</li> <li>Human Rights Training (DIILS)</li> <li>Services, Service Charges, and Technical Support</li> <li>Transportation, Consolidation, Shipping</li> <li>Admin Surcharge (3.2%)</li> </ul>	\$11,500,000	Army/Navy
<b>PROGRAM TOTAL</b>		<b>\$53,300,000</b>	

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**Ukraine Security Assistance Initiative (USAI):**  
Ministry of Defense - Air Force

The Department of Defense (DoD) plans to undertake activities to provide security assistance and intelligence support to military and security forces of the Government of Ukraine in order to enhance Ukraine's capabilities to defend against Russian aggression, assist Ukraine in developing the combat capability to defend its sovereignty and territorial integrity, and support Ukraine's efforts to defend against actions by Russia and Russian-backed separatists that violate the ceasefire agreements of September 4, 2014, and February 11, 2015. All activities notified under this program will be executed pursuant to Section 1250 of the National Defense Authorization Act for Fiscal Year 2016, as amended, and Section 9013 of the Department of Defense Appropriations Act, 2019.

This program funds equipment and training to enable the Ukrainian Air Force to become NATO-interoperable and to improve the Ukrainian Air Force's combat effectiveness. Equipment includes navigational aids, which will significantly enhance the utilization of air defense radars, make operations in a challenging electronic-warfare environment more feasible, and improve the overall air defense capability of Ukraine by enabling day/night and all-weather operational capabilities. This will be vital for the training of Ukrainian forces in critical combat operations to the NATO standard.

Units selected to receive this assistance will undergo human rights vetting before such assistance is provided. This program was approved by the Secretary of Defense, in coordination with the Secretary of State. USEUCOM assesses that Ukraine will be able to absorb effectively and benefit from the proposed assistance. There will be no adverse effect on U.S. personnel or operations. The funding for this program will come from the Department's FY 2019 Operation and Maintenance (O&M), Defense-wide, account.

These efforts support U.S. national security objectives both globally and within the USEUCOM area of responsibility.

DoD intends to provide the following articles or equivalent variants and services:

	<b>Articles/Services</b>	<b>Value</b>	<b>Executing Component</b>
1	Aircraft Navigational Systems, including: <ul style="list-style-type: none"> <li>• Doppler VHF Omnidirectional Radio Ranging and Tactical Air Navigation System</li> <li>• Associated spares and ancillaries</li> </ul> Instrument Landing System/Distance Measuring Equipment, including: <ul style="list-style-type: none"> <li>• Localizer (1)</li> <li>• Glideslope (1)</li> </ul>	\$2,300,000	Air Force

	<b>Articles/Services</b>	<b>Value</b>	<b>Executing Component</b>
	<ul style="list-style-type: none"> <li>• Associated spares and ancillaries</li> </ul>		
2	Aircraft Security and Communication, including: <ul style="list-style-type: none"> <li>• Electrical Support Equipment</li> <li>• Safety and Security Equipment</li> <li>• Communications and Telephony</li> <li>• Bird Strike Prevention Equipment</li> </ul>	\$400,000	Army
3	<ul style="list-style-type: none"> <li>• Additional associated spares and ancillaries</li> <li>• Equipment Testing, Maintenance, Training</li> <li>• Services, Service Charges, and Technical Support</li> <li>• Transportation, Consolidation, and Shipping</li> </ul>	\$2,300,000	Air Force
	<b>PROGRAM TOTAL</b>	<b>\$5,000,000</b>	

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**Ukraine Security Assistance Initiative (USAI):**  
 Ministry of Defense – Land Forces and Special Operations Forces

The Department of Defense (DoD) plans to undertake activities to provide security assistance and intelligence support to military and security forces of the Government of Ukraine in order to enhance Ukraine’s capabilities to defend against Russian aggression, assist Ukraine in developing the combat capability necessary to defend its sovereignty and territorial integrity, and support Ukraine’s effort to defend against actions by Russia and Russian-backed separatists that violate the ceasefire agreements of September 4, 2014, and February 11, 2015. All activities notified under this program will be executed pursuant to Section 1250 of the National Defense Authorization Act for Fiscal Year 2016, as amended, and Section 9013 of the Department of Defense Appropriations Act, 2019.

This program funds Ukrainian land forces and special operations forces (SOF) equipment and associated critical combat operations training, maintenance, and services. Ukrainian land forces and SOF units will be equipped with electronic warfare equipment, tactical vehicles, shelters, and mine rollers. This program will promote the NATO interoperability of Ukrainian land forces and SOF. This assistance is supported by enduring defense reform programs through the support of the Ministry of Defense Advisors (MoDA) Program and the Defense Governance and Management Team (DGMT).

Units selected to receive this assistance will undergo human rights vetting before such assistance is provided. This program was approved by the Secretary of Defense, in coordination with the Secretary of State. USEUCOM assesses that Ukraine will be able to absorb effectively and benefit from the proposed assistance. There will be no adverse effect on U.S. personnel or operations. The funding for this program will come from the Department’s FY 2019 Operation and Maintenance (O&M), Defense-wide account.

These efforts support U.S. national security objectives both globally and within the USEUCOM area of responsibility.

DoD intends to provide the following articles or equivalent variants and services:

	<b>Articles/Services</b>	<b>Value</b>	<b>Executing Component</b>
1	Tactical Vehicles, including: <ul style="list-style-type: none"> <li>• M1151 High Mobility Multipurpose Wheeled Vehicle (HMMWV) (6)</li> <li>• Self Protection Adaptive Roller Kit System (SPARKS)</li> <li>• Mine Rollers (6)</li> <li>• HMMWV Trailers (30)</li> <li>• Associated spares and ancillaries</li> </ul>	\$4,000,000	Army

	<b>Articles/Services</b>	<b>Value</b>	<b>Executing Component</b>
2	Electronic Warfare (EW) <ul style="list-style-type: none"> <li>• Versatile Radio Observation and Direction (VROD) and VROD Modular Adaptive Transmit (VMAX) Manpack EW System (12)</li> <li>• Unmanned Aerial System (UAS) Tracking Software for TCI Model 903S</li> <li>• Maintenance Package</li> <li>• Associate Spares and ancillaries</li> </ul>	\$3,000,000	Army
3	SOF Berthing Package (Alaska Shelter, 15 sets) <ul style="list-style-type: none"> <li>• Associated spares and ancillaries</li> </ul>	\$1,500,000	Army
4	<ul style="list-style-type: none"> <li>• Operations and Maintenance Training</li> <li>• Services, Service Charges, and Technical Support</li> <li>• Transportation, Consolidation, and Shipping</li> </ul>	\$4,500,000	Army
	<b>PROGRAM TOTAL</b>	<b>\$13,000,000</b>	

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**Ukraine Security Assistance Initiative (USAI):**  
**Ministry of Defense – Navy and Naval Infantry**

The Department of Defense (DoD) plans to undertake activities to provide security assistance and intelligence support to military and security forces of the Government of Ukraine in order to enhance Ukraine’s capabilities to defend against Russian aggression, assist Ukraine in developing the combat capability necessary to defend its sovereignty and territorial integrity, and support Ukraine’s efforts to defend against actions by Russia and Russian-backed separatists that violate the ceasefire agreements of September 4, 2014, and February 11, 2015. All activities notified under this program will be executed pursuant to Section 1250 of the National Defense Authorization Act for Fiscal Year 2016, as amended, and Section 9013 of the Department of Defense Appropriations Act, 2019.

This program funds small vessels, communications equipment, and supplies for the Ukrainian Navy and Naval Infantry, including night-vision equipment, thermal scopes, and rifle-mounted lasers to improve the Ukrainian Naval Infantry’s capacity to conduct low-light and night-time operations. The program also funds diving equipment to support underwater operations. This assistance is supported by enduring defense reform programs through the support of the Ministry of Defense Advisors (MoDA) Program and the Defense Governance and Management Team (DGMT).

Units selected to receive this assistance will undergo human rights vetting before such assistance is provided. This program was approved by the Secretary of Defense, in coordination with the Secretary of State. USEUCOM assesses that Ukraine will be able to absorb effectively and benefit from the proposed assistance. There will be no adverse effect on U.S. personnel or operations. The funding for this program will come from the Department’s FY 2019 Operation and Maintenance (O&M), Defense-wide account.

These efforts support U.S. national security objectives both globally and within the USEUCOM area of responsibility.

DoD intends to provide the following articles or equivalent variants and services:

	<b>Articles/Services</b>	<b>Value</b>	<b>Executing Component</b>
1	Navy and Naval Infantry Communication Systems, including: <ul style="list-style-type: none"> <li>• Shore Station System (1)</li> <li>• Shore Retransmission Station (6)</li> <li>• Intercom System (4)</li> <li>• Boat Radios (27)</li> <li>• Ruggedized Command Element Portable Computers (66)</li> <li>• Associated spares and ancillaries</li> </ul>	\$4,700,000	Army
2	Naval Infantry Tactical Equipment: <ul style="list-style-type: none"> <li>• Night Vision Device (470)</li> <li>• Laser Weapon Sight (350)</li> </ul>	\$3,200,000	Army

	<b>Articles/Services</b>	<b>Value</b>	<b>Executing Component</b>
	<ul style="list-style-type: none"> <li>• Associated spares and ancillaries</li> </ul>		
3	Submerged Operations Equipment, including: <ul style="list-style-type: none"> <li>• Scuba Operations Equipment (190)</li> <li>• Surface Swimmer Equipment (190)</li> <li>• Closed-Circuit Diving Equipment (204)</li> <li>• Support equipment, tools, associated spares, and ancillaries</li> </ul>	\$10,700,000	Navy
4	Rigid Hull Inflatable Boats (10) <ul style="list-style-type: none"> <li>• Support equipment, tools, associated spares, and ancillaries</li> </ul> Combat Rubber Raiding Crafts (47) <ul style="list-style-type: none"> <li>• Support equipment, tools, associated spares, and ancillaries</li> </ul>	\$3,900,000	Navy
5	Mine Countermeasures/Harbor Security <ul style="list-style-type: none"> <li>• Side Scan Sonar (4)</li> <li>• Autonomous Underwater Vehicle (1)</li> <li>• Tethered Remotely Operated Vehicle (4)</li> </ul>	\$2,300,000	Navy
4	<ul style="list-style-type: none"> <li>• Services, Service Charges, and Technical Support</li> <li>• Transportation, Consolidation, and Shipping</li> </ul>	\$4,200,000	Army/Navy
<b>PROGRAM TOTAL</b>		<b>\$29,000,000</b>	

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**Ukraine Security Assistance Initiative (USAI):**  
Ministry of Defense – Other

The Department of Defense (DoD) plans to undertake activities to provide security assistance and intelligence support to military and security forces of the Government of Ukraine in order to enhance Ukraine’s capabilities to defend against Russian aggression, assist in developing the combat capability necessary to defend its sovereignty and territorial integrity, and support Ukraine’s efforts to defend against actions by Russia and Russian-backed separatists that violate the ceasefire agreements of September 4, 2014, and February 11, 2015. All activities notified under this program will be executed pursuant to Section 1250 of the National Defense Authorization Act for Fiscal Year 2016, as amended, and Section 9013 of the Department of Defense Appropriations Act, 2019.

This program funds secure communication radio equipment, communications training equipment, communication monitoring equipment, and secure computer network equipment to improve the Ukrainian Ministry of Defense’s communication and cyber capabilities. This program also funds a technical support package to provide training and advisory efforts to enable the Ukrainian Ministry of Defense and Armed Forces to develop capabilities to support critical combat operations through such activities as planning, logistics, procurement, and acquisition, in line with NATO principles and standards. The technical support package will include training for staff and senior leadership to allow the armed forces to better analyze, budget, and select capabilities for procurement via a transparent, responsive, and competitive process.

This program was approved by the Secretary of Defense, in coordination with the Secretary of State. USEUCOM assesses that Ukraine will be able to absorb effectively and benefit from the proposed assistance. There will be no adverse effect on U.S. personnel or operations. The funding for this program will come from the Department’s FY 2019 Operation and Maintenance (O&M), Defense-wide account.

These efforts support U.S. national security objectives both globally and within the USEUCOM area of responsibility.

DoD intends to provide the following articles or equivalent variants and services:

	<b>Articles/Services</b>	<b>Value</b>	<b>Executing Component</b>
1	Secure Radio Equipment, including: <ul style="list-style-type: none"> <li>• VHF/UHF Radio Systems (260)</li> <li>• HF Radio Systems (40)</li> <li>• Associated spares, ancillaries</li> </ul>	\$15,100,000	Army
2	Training and Classroom Equipment, including: <ul style="list-style-type: none"> <li>• Tactical Vehicle Mounted Radio System (2)</li> <li>• Intercom System (2)</li> <li>• Tactical Radio System manpack (4)</li> <li>• Associated spares and ancillaries</li> </ul>	\$700,000	Army

	<b>Articles/Services</b>	<b>Value</b>	<b>Executing Component</b>
3	Radio Net Control Stations/Spectrum Monitoring Equipment, including: <ul style="list-style-type: none"> <li>• Receiver/Scanner (3)</li> <li>• Antenna (3)</li> <li>• Software (3)</li> <li>• Analyst Equipment (3)</li> <li>• Associated spares and ancillaries</li> </ul>	\$800,000	Army
4	Net Infrastructure Installation Program, including: <ul style="list-style-type: none"> <li>• Cabling and installation hardware to outfit 12 facilities and 6 teams</li> <li>• Associated spares and ancillaries</li> </ul>	\$2,900,000	Army
5	Technical Support Package <ul style="list-style-type: none"> <li>• Support development of an acquisition and procurement system</li> <li>• Provide appropriate training materials, aids, and support</li> </ul>	\$2,000,000	DSCA
6	<ul style="list-style-type: none"> <li>• Operations and Maintenance Training</li> <li>• Services, Service Charges, and Technical Support</li> <li>• Transportation, Consolidation, and Shipping</li> </ul>	\$3,200,000	Army
<b>PROGRAM TOTAL</b>		<b>\$24,700,000</b>	

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