H. R. 3289

To amend the Hong Kong Policy Act of 1992 and for other purposes.

IN THE HOUSE OF REPRESENTATIVES

JUNE 13, 2019

Mr. Smith of New Jersey (for himself, Mr. McGovern, Mr. Perry, Mr. Suozzi, Mr. Fitzpatrick, Mr. Sherman, and Mr. Yoho) introduced the following bill; which was referred to the Committee on Foreign Affairs, and in addition to the Committees on the Judiciary, and Financial Services, for a period to be subsequently determined by the Speaker, in each case for consideration of such provisions as fall within the jurisdiction of the committee concerned.

A BILL

To amend the Hong Kong Policy Act of 1992 and for other purposes.

Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,

SECTION 1. SHORT TITLE; TABLE OF CONTENTS.

(a) Short Title.—This Act may be cited as the “Hong Kong Human Rights and Democracy Act of 2019”.

(b) Table of Contents.—The table of contents for this Act is as follows:

1  Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,

2  SECTION 1. SHORT TITLE; TABLE OF CONTENTS.

3  (a) Short Title.—This Act may be cited as the

4  “Hong Kong Human Rights and Democracy Act of

5  2019”.

6  (b) Table of Contents.—The table of contents for
Sec. 2. Definitions.

In this Act:

(1) ADMITTED; ALIEN.—The terms “admitted” and “alien” have the meanings given those terms in section 101 of the Immigration and Nationality Act (8 U.S.C. 1101).

(2) APPROPRIATE CONGRESSIONAL COMMITTEES.—The term “appropriate congressional committees” means—

(A) the Committee on Armed Services of the Senate;

(B) the Committee on Banking, Housing, and Urban Affairs of the Senate;

(C) the Committee on Foreign Relations of the Senate;

(D) the Committee on Homeland Security and Governmental Affairs of the Senate;

(E) the Committee on the Judiciary of the Senate;
(F) the Committee on Armed Services of the House of Representatives;

(G) the Committee on Financial Services of the House of Representatives;

(H) the Committee on Foreign Affairs of the House of Representatives;

(I) the Committee on Homeland Security of the House of Representatives; and

(J) the Committee on the Judiciary of the House of Representatives.

(3) CHINA.—The term “China” means the People’s Republic of China.

(4) FINANCIAL INSTITUTION.—The term “financial institution” has the meaning given that term in section 5312 of title 31, United States Code.

(5) SOCIAL CREDIT SYSTEM.—The term “social credit system” means a system proposed by the Government of China, scheduled to be implemented by 2020, that would aggregate data on every Chinese citizen and business from existing financial credit systems, mass surveillance, public records, online activity, and artificial intelligence to expand the notion of a financial credit score, potentially rewarding or punishing certain financial, social, religious, or political behaviors.
(6) UNITED STATES PERSON.—The term “United States person” means—

(A) a United States citizen or an alien lawfully admitted for permanent residence to the United States; or

(B) an entity organized under the laws of the United States or of any jurisdiction within the United States, including a foreign branch of such an entity.

SEC. 3. STATEMENT OF POLICY.

It is the policy of the United States—

(1) to reaffirm the principles and objectives set forth in the United States-Hong Kong Policy Act of 1992 (Public Law 102–383), namely that—

(A) the United States has “a strong interest in the continued vitality, prosperity, and stability of Hong Kong”;

(B) “[s]upport for democratization is a fundamental principle of United States foreign policy”;

(C) “the human rights of the people of Hong Kong are of great importance to the United States and are directly relevant to United States interests in Hong Kong [and]
serve as a basis for Hong Kong’s continued economic prosperity”; and

(D) Hong Kong must remain sufficiently autonomous from the People’s Republic of China to justify a different treatment under a particular law of the United States, or any provision thereof, from that accorded the People’s Republic of China;

(2) to support the democratic aspirations of the people of Hong Kong, as guaranteed to them by the Joint Declaration of the Government of the United Kingdom of Great Britain and Northern Ireland and the Government of the People’s Republic of China on the Question of Hong Kong, done at Beijing December 19, 1984 (referred to in this Act as the “Joint Declaration”), the International Covenant on Civil and Political Rights, done at New York December 19, 1966, the Universal Declaration of Human Rights, done at Paris December 10, 1948, and the Basic Law of the Hong Kong Special Administrative Region of the People’s Republic of China (referred to in this Act as the “Basic Law”);

(3) to urge the Government of the People’s Republic of China to uphold its commitments to Hong Kong, including allowing the people of Hong Kong...
to rule Hong Kong with a high degree of autonomy and without undue interference, and ensuring that Hong Kong voters freely enjoy the right to elect the Chief Executive and all members of the Hong Kong Legislative Council by universal suffrage;

(4) to support the establishment of a genuine democratic option to freely and fairly nominate and elect the Chief Executive of Hong Kong, and the establishment by 2020 of open and direct democratic elections for all members of the Hong Kong Legislative Council;

(5) to support the robust exercise by residents of Hong Kong of the rights to free speech and the press as guaranteed to them by the Basic Law and the Joint Declaration;

(6) to ensure that all residents of Hong Kong are afforded freedom from arbitrary or unlawful arrest, detention, or imprisonment as guaranteed to them by the Basic Law and the Joint Declaration;

(7) to draw international attention to any violations by the Government of the People’s Republic of China of the fundamental rights of residents of Hong Kong and any encroachment upon the autonomy guaranteed to Hong Kong by the Basic Law and the Joint Declaration;
(8) to protect United States citizens and long-term permanent residents living in Hong Kong and those visiting and transiting through Hong Kong; and

(9) to maintain the economic and cultural ties that provide significant benefits to the United States and Hong Kong.


(a) REPORT.—Title II of the United States-Hong Kong Policy Act of 1992 (22 U.S.C. 5721 et seq.) is amended—

(1) in section 201(b), by inserting “or after” after “entered into before”; and

(2) adding at the end the following:

“SEC. 205. SECRETARY OF STATE REPORT REGARDING THE AUTONOMY OF HONG KONG.

“(a) REPORT.—

“(1) IN GENERAL.—The Secretary of State shall annually certify to Congress, in conjunction with the report required under section 301, whether Hong Kong is sufficiently autonomous to justify special treatment by the United States for bilateral agreements and programs, in accordance with this Act, including the degree to which Hong Kong’s au-
tonomy has been eroded due to actions taken by the Government of China that are inconsistent with its commitments in the Basic Law and the Joint Declaration and the impact of such erosion on specific areas of cooperation with the United States, including on political rights, civil liberties, rule of law, freedom of information, religious freedom, and democratic governance in Hong Kong.

“(2) FACTOR FOR CONSIDERATION.—In making a certification under paragraph (1), the Secretary of State should consider the terms, obligations, and expectations expressed in the Joint Declaration with respect to Hong Kong.

“(b) WAIVER AUTHORITY.—The Secretary of State may waive the application of subsection (a) if the Secretary—

“(1) determines that such a waiver is in the national security interests of the United States; and

“(2) on or before the date on which the waiver takes effect, notifies the Committee on Foreign Relations of the Senate and the Committee on Foreign Affairs of the House of Representatives of the intent to waive such subsection.”.

(b) VISA APPLICANTS.—Title II of the United States-Hong Kong Policy Act of 1992 (22 U.S.C. 5721 et seq.),
as amended by subsection (a), is further amended by add-
ing at the end the following:

“SEC. 206. TREATMENT OF HONG KONG APPLICANTS FOR
VISAS TO STUDY OR WORK IN THE UNITED
STATES.

“(a) STATEMENT OF POLICY.—Notwithstanding any
other provision of law, applications for visas to enter, study, or work in the United States, which are submitted
by otherwise qualified applicants who resided in Hong
Kong in 2014, shall not be denied on the basis of the ap-
plicant’s arrest, detention, or other adverse government
action taken as a result of the applicant’s participation
in nonviolent protest activities related to the electoral
process, internationally recognized human rights, pro-
tecting an independent judiciary, or the rule of law.

“(b) IMPLEMENTATION.—The Secretary of State
shall take such steps as may be necessary to ensure that
consular officers are aware of the policy described in sub-
section (a) and receive appropriate training and support
to ensure that the policy is carried out so that affected
individuals do not face discrimination or unnecessary
delay in the processing of their visa applications, includ-
ing—
“(1) providing specialized training for consular officers posted to Hong Kong, Beijing, Guangzhou, or Macau;

“(2) instructing the United States Consulate in Hong Kong to maintain an active list of individuals whom are known to have been detained, arrested, or otherwise targeted by the Government of Hong Kong or of China, or intermediaries of such governments, as a result of their participation in the 2014 protests, to facilitate the cross-checking of visa applications for Hong Kong residents;

“(3) amending the physical and online versions of the visa application, as necessary, to notify relevant applicants of such policy; and

“(4) instructing personnel at the United States Consulate in Hong Kong to engage with relevant individuals in the Hong Kong community to proactively inform them that they will not face discrimination when applying for a visa to the United States due to any adverse action taken against them by the authorities as a result of their participation in the 2014 protests or other peaceful pro-democracy or human rights demonstrations.

“(c) COOPERATION WITH LIKE-MINDED COUNTRIES.—The Secretary of State, or his or her designee,
shall contact appropriate representatives of other democratic countries, particularly those who receive a large number of applicants for student and employment visas from Hong Kong—

“(1) to inform them of the United States policy regarding arrests for participation in nonviolent protests in Hong Kong;

“(2) to encourage them to take similar steps to ensure the rights of nonviolent protesters are protected from discrimination due to the actions of the Government of Hong Kong and of China; and

“(3) to offer to share information, as appropriate, regarding the execution of such policy, including information regarding persons eligible for relief under such policy.”.

SEC. 5. ANNUAL REPORT ON ENFORCEMENT OF UNITED STATES EXPORT CONTROL AND SANCTIONS LAWS BY HONG KONG.

(a) In General.—Not later than 180 days after the date of the enactment of this Act, and annually thereafter, the Secretary of Commerce, in consultation with the Secretary of the Treasury and the Secretary of State, shall submit a report to the committees specified in subsection (b) that includes—
(1) an assessment of whether the Government of Hong Kong has adequately enforced the export control laws of the United States with respect to sensitive dual-use items;

(2) to the extent possible, an identification of—

(A) any items that were reexported from Hong Kong in violation of such laws;

(B) the countries and persons to which such items were reexported; and

(C) how such items were used;

(3) an assessment of whether sensitive dual-use items subject to the export control laws of the United States are being—

(A) transshipped through Hong Kong; and

(B) used to develop—

(i) the Sharp Eyes, Skynet, Integrated Joint Operations Platform, or other systems of mass surveillance and predictive policing; or

(ii) the “social credit” system of China;

(4) an assessment of the efforts by the Government of China to use the status of Hong Kong as a separate customs territory to import items into China in violation of the export control laws of the
United States, whether as part of the Greater Bay Area plan, the assignment of Hong Kong by Beijing as a national technology and innovation center, or through other programs that may exploit Hong Kong as a conduit for controlled sensitive technology;

(5) an assessment of whether the Government of Hong Kong has adequately enforced sanctions imposed by the United States and the United Nations; and

(6) a description of the types of goods and services transshipped or reexported through Hong Kong in violation of such sanctions to—

(A) North Korea or Iran; or

(B) other countries, regimes, or persons subject to such sanctions for engaging in activities—

(i) relating to international terrorism, international narcotics trafficking, or the proliferation of weapons of mass destruction; or

(ii) that otherwise present a threat to the national security, foreign policy, or economy of the United States.
(b) COMMITTEES SPECIFIED.—The committees specified in this subsection are—

(1) the Committee on Foreign Relations of the Senate;

(2) the Committee on Banking, Housing, and Urban Affairs of the Senate;

(3) the Committee on Commerce, Science, and Transportation of the Senate;

(4) the Committee on Foreign Affairs of the House of Representatives; and

(5) the Committee on Energy and Commerce of the House of Representatives.

(c) FORM OF REPORT.—The report required under subsection (a) shall be submitted in unclassified form, but may include a classified annex.

SEC. 6. PROTECTING UNITED STATES CITIZENS AND OTHERS FROM RENDITION TO MAINLAND CHINA.

(a) FINDINGS.—Congress makes the following findings:

(1) The proposed amendments to Hong Kong’s Fugitive Ordinance, if enacted—

(A) would allow rendition from Hong Kong of residents or foreign nationals to countries—
(i) with criminal procedure systems that lack strong protections for the rights of defendants; or

(ii) in which the law is used as to repress internationally recognized human rights, including to mainland China;

(B) would remove independent legislative oversight and appropriate judicial review of extradition requests;

(C) may increase the influence of the Government of China in Hong Kong and further erode the autonomy guaranteed Hong Kong by the Joint Declaration; and

(D) would erode Hong Kong’s reputation as a center of commerce and freedom governed by the rule of law.

(2) The Government of China has subjected Chinese and foreign nationals, including citizens of the United States, Canada, Australia, Sweden, and Taiwan, to arbitrary detention, televised confessions, denial of legal representation and medical treatment, and other types of mistreatment.

(b) Policy Statements.—It is the policy to the United States—
(1) to ensure that United States citizens are protected from rendition to mainland China;

(2) pursuant to section 103(7) of the United States-Hong Kong Policy Act of 1992 (22 U.S.C. 5713(7)), to encourage United States businesses “to continue to operate in Hong Kong in accordance with applicable United States and Hong Kong law”;

and

(3) pursuant to section 201(b) of such Act (22 U.S.C. 5721(b)), to decide whether the Government of Hong Kong is “legally competent to carry out its obligations” under treaties and international agreements established between the United States and Hong Kong.

(c) Response to Threat of Rendition.—If the proposed amendments to Hong Kong’s Fugitive Offenders Ordinance are enacted, and the amended law allows renditions to countries that lack protection for the rights of defendants—

(1) not later than 30 days after the date of the enactment of such amendments, the President shall submit a report to the appropriate congressional committees that—

(A) assesses whether the Government of Hong Kong is “legally competent” to admin-
ister the United States-Hong Kong Agreement
for the Surrender of Fugitive Offenders;

(B) determines whether any additional au-
thorities are needed to revise or withdraw from
that treaty to protect United States citizens
and national security and to support the guar-
anteed protections of the Joint Declaration; and

(C) includes a strategy for protecting
United States citizens from rendition to main-
land China from Hong Kong, including an as-

sessment of—

(i) whether additional resources are
needed for American Citizen Services at
the United States Consulate in Hong
Kong; and

(ii) whether the Department of State
will revise the travel advisory for Hong
Kong to reflect the potential impact of the
revised Fugitive Offenders Ordinance on
United States residents and individuals
traveling to, or transiting through, Hong
Kong; and

(2) if the Government of Hong Kong enacts a
new law regarding national security, pursuant to Ar-
ticle 23 of the Basic Law, the President and the
Secretary of State shall take the actions required under paragraph (1) and any additional actions required under section 202 of the United States-Hong Kong Policy Act of 1992 (U.S.C. 5722) unless Secretary of State certifies that—

(A) the new law does not violate the guarantees of the Joint Declaration; and

(B) the internationally recognized human rights of Hong Kong citizens and foreign residents will not be restricted as a result of the new law’s implementation.

SEC. 7. IDENTIFICATION OF PERSONS RESPONSIBLE FOR ABDUCTIONS AND FOR OTHER ACTIONS TO SUPPRESS BASIC FREEDOMS IN HONG KONG.

(a) IN GENERAL.—Not later than 180 days after the date of the enactment of this Act, and annually thereafter in conjunction with the publication of the report required under section 301 of the Hong Kong Policy Act of 1992 (22 U.S.C. 5731) the President shall submit, to the appropriate congressional committees, a list containing the name of each person who the President determines, based on credible information, is responsible for—

(1) the surveillance, abduction, detention, abuse, or forced confession of Gui Minhai, Lee Bo, Lam Wing-kee, Lui Bo, or Cheung Chi-ping, all of
• whom are involved in the operation of the Mighty
Current Publishing House based in Hong Kong;

(2) the surveillance, abduction, detention,
abuse, or forced confession of Guo Zhongxiao or
Wang Jianmin, both of whom are involved in the op-
eration of magazine publications based in Hong
Kong; or

(3) the rendition to the mainland of the Peo-
ple’s Republic of China of any individual, or the ar-
bitrary detention, torture, or forced confession of
any individual after rendition, in connection with the
exercise by that individual of internationally recog-
nized human rights in Hong Kong, including such
individuals extradited to the mainland of the Peo-

tle’s Republic of China under any amended fugitive
offenders ordinance in Hong Kong.

(b) CONSIDERATION OF CERTAIN INFORMATION.—In
preparing the list required under subsection (a), the Presi-
dent shall consider—

(1) information provided by the chairperson and
ranking member of each of the appropriate congres-
sional committees; and

(2) credible information obtained by other coun-
tries or nongovernmental organizations, including or-
ganizations inside China or Hong Kong, that mon-
itor the human rights abuses of the Government of the China or its agents.

(c) Requests by Chairperson and Ranking Member of Appropriate Congressional Committees.—

(1) In general.—Not later than 120 days after receiving a written request from the chairperson and ranking member of 1 of the appropriate congressional committees with respect to whether a person meets the criteria for being added to the list required under subsection (a), the President shall submit a response to the chairperson and ranking member of the committee that made the request with respect to the status of the person.

(2) Information about Removal Decisions.—If the President removes from the list required under subsection (a) a person that was placed on the list at the request of the chairperson and ranking member of 1 of the appropriate congressional committees, the President shall provide the chairperson and ranking member with any information that contributed to the decision to remove the person.

(3) Form.—The President may submit a response required under paragraph (1) in classified
form if the President determines that such classi-

fication is vital to the national security interests of
the United States.

SEC. 8. INADMISSIBILITY OF CERTAIN ALIENS AND FAMILY
MEMBERS.

(a) In General.—Section 212(a)(2) of the Immi-
gration and Nationality Act (8 U.S.C. 1182(a)(2)) is
amended by adding at the end the following:

“(J) CERTAIN ALIENS RESPONSIBLE FOR
ABDUCTIONS OR EXTRADITIONS FROM HONG
KONG.—Any alien included in the list submitted
by the President under section 7(a) of the
Hong Kong Human Rights and Democracy Act
of 2019 is inadmissible.”.

(b) Current Visas Revoked.—

(1) In General.—The issuing consular officer,
the Secretary of State, or the Secretary of Home-
land Security (or a designee of either Secretary)
shall revoke any visa or other entry documentation
issued to any alien who is included on the list re-
quired under section 7(a), regardless of when such
visa or entry documentation was issued.

(2) Effective Date.—Revocations under
paragraph (1) shall take effect on the date such ac-
tion is taken and shall automatically cancel any
other valid visa or entry documentation that is in
the alien's possession.

(c) WAIVER FOR NATIONAL SECURITY INTERESTS.—

(1) IN GENERAL.—The Secretary of State may
waive, with respect to an alien, the application of
section 212(a)(2) of the Immigration and Nation-
ality Act, as amended by subsection (a), or the ap-
plication of subsection (b) if the Secretary—

(A) determines that such waiver—

(i) is necessary to permit the United
States to comply with the Agreement be-
tween the United Nations and the United
States of America regarding the Head-
quarters of the United Nations, signed
June 26, 1947, and entered into force No-
vember 21, 1947, or other applicable inter-
national obligations of the United States;

or

(ii) is vital to the national security in-
terests of the United States; and

(B) before granting such waiver, provides
to the appropriate congressional committees no-
tice of, and a justification for, the waiver.

(2) TIMING FOR CERTAIN WAIVERS.—Notification
under subparagraph (B) of paragraph (1) shall
be made not later than 15 days before granting a waiver under such paragraph if the Secretary grants such waiver under subparagraph (A)(ii) of such paragraph.

(d) REGULATORY AUTHORITY.—The Secretary of State shall prescribe such regulations as may be necessary to carry out this section.

SEC. 9. FINANCIAL MEASURES.

(a) BLOCKING OF PROPERTY.—The President shall exercise all powers granted by the International Emergency Economic Powers Act (50 U.S.C. 1701 et seq.) (except that the requirements of section 202 of such Act (50 U.S.C. 1701) shall not apply) to the extent necessary to block and prohibit all transactions in all property and interests in property of a person on the list required under section 7(a) if such property and interests in property—

(1) are in the United States;

(2) come within the United States; or

(3) are or come within the possession or control of a United States person.

(b) EXCEPTION FOR IMPORTATION OF GOODS.—

(1) IN GENERAL.—The requirement to impose sanctions under subsection (a) shall not include the authority to impose sanctions with respect to the importation of goods.
(2) Good defined.—In this subsection, the term “good” means any article, natural or manmade substance, material, supply or manufactured product, including inspection and test equipment, and excluding technical data.

(e) Waiver for national security interests.—The President may waive the application of subsection (a) if the President—

(1) determines that such waiver is vital for the national security interests of the United States; and

(2) not later than 15 days before granting the waiver, submits to the appropriate congressional committees notice of, and a justification for, the waiver.

(d) Enforcement.—

(1) Penalties.—Any person that violates, attempts to violate, conspires to violate, or causes a violation of subsection (a) or any regulation, license, or order issued to carry out that subsection shall be subject to the penalties set forth in subsections (b) and (c) of section 206 of the International Emergency Economic Powers Act (50 U.S.C. 1705) to the same extent as a person that commits an unlawful act described in subsection (a) of such section.
(2) REQUIREMENTS FOR FINANCIAL INSTITUTIONS.—Not later than 120 days after the date of the enactment of this Act, the Secretary of the Treasury shall prescribe or amend regulations to the extent necessary to require each financial institution that is a United States person and has within its possession or control assets that are property or interests in property of a person on the list required under section 7(a) to certify to the Secretary that, to the best of the knowledge of the financial institution, the financial institution has blocked all assets within the possession or control of the financial institution in accordance with subsection (a).

(3) NOTIFICATION TO CONGRESS.—Not later than 10 days before prescribing or revising regulations under paragraph (2), the President shall notify the appropriate congressional committees of the proposed regulations and the provisions of this Act or amendments made by this Act that the regulations are implementing.

(e) RULEMAKING.—The Secretary of the Treasury shall issue such regulations, licenses, and orders as may be necessary to carry out this section.
SEC. 10. REPORTS TO CONGRESS.

(a) In General.—The President shall submit a report to the appropriate congressional committees that includes—

(1) a list of each foreign person with respect to which the President imposed sanctions pursuant to section 8 or 9 during the 1-year period preceding the submission of the report;

(2) a description of the type of sanctions imposed with respect to each such person;

(3) the number of foreign persons with respect to which the President—

(A) imposed sanctions under section 8 or 9 during that year; and

(B) terminated sanctions under section 8 or 9 during that year;

(4) the dates on which such sanctions were imposed or terminated; and

(5) the reasons for imposing or terminating such sanctions.

(b) Dates for Submission.—

(1) Initial report.—The President shall submit the initial report under subsection (a) not later than 1 year after the date of the enactment of this Act.

(2) Subsequent reports.—
(A) IN GENERAL.—The President shall submit a report under subsection (a) on December 10, or the first day thereafter on which both Houses of Congress are in session, of—

(i) the calendar year in which the initial report is submitted if the initial report is submitted before December 10 of that calendar year; and

(ii) each calendar year thereafter.

(c) FORM OF REPORT.—

(1) IN GENERAL.—Each report required under subsection (a) shall be submitted in unclassified form, but may include a classified annex.

(2) EXCEPTION.—The name of a foreign person to be included in the list required under subsection (a)(1) may not be included in the classified annex authorized under paragraph (1) unless the President—

(A) determines that such inclusion is vital to the national security interests of the United States;

(B) uses the annex in a manner consistent with congressional intent and the purposes of this Act; and
(C) not later than 15 days before including such name in the classified annex, provides to the appropriate congressional committees notice of, and a justification for, including the name in the classified annex despite any publicly available credible information indicating that the person engaged in an activity described in section 8 or 9.

(d) Public Availability.—

(1) In general.—The unclassified portion of the report required under subsection (a) shall be made available to the public, including through publication in the Federal Register.

(2) Nonapplicability of confidentiality requirement with respect to visa records.—The President shall publish the list required under subsection (a)(1) without regard to the requirements under section 222(f) of the Immigration and Nationality Act (8 U.S.C. 1202(f)) with respect to confidentiality of records pertaining to the issuance or refusal of visas or permits to enter the United States.