

In accordance with Rule XI, clause 2(g)(5)* of the *Rules of the House of Representatives*, witnesses are asked to disclose the following information. Please complete this form electronically by filling in the provided blanks.

Committee: Financial Services

Subcommittee: Investor Protection, Entrepreneurship, and Capital Markets

Hearing Date: 05/24/2021

Hearing Title :

Going Public: SPACs, Direct Listings, Public Offerings, and the Need for Investor Protections

Witness Name: Stephen Deane

Position/Title: Senior Director, Legislative and Regulatory Outreach for the Americas

Witness Type: Governmental Non-governmental

Are you representing yourself or an organization? Self Organization

If you are representing an organization, please list what entity or entities you are representing:

CFA Institute

FOR WITNESSES APPEARING IN A NON-GOVERNMENTAL CAPACITY

Please complete the following fields. If necessary, attach additional sheet(s) to provide more information.

Are you a fiduciary—including, but not limited to, a director, officer, advisor, or resident agent—of any organization or entity that has an interest in the subject matter of the hearing? If so, please list the name of the organization(s) or entities.

None.