

AMENDMENT TO THE AMENDMENT IN THE NATURE OF A SUBSTITUTE TO H.R. 4763 OFFERED BY MS. CROCKETT OF TEXAS

Requires persons filing a notice of intent to register must provide a physical address.

Requires persons filing a notice of intent to register to provide the SEC and CFTC the specific characteristics of each digital asset they seek to list or offer, and provides specific information that is to be included.

Requires persons who file a notice of intent to register to provide customer disclosures relating to custody of digital assets, and customer disclosures relating to CFTC anti-fraud anti-manipulation authorities.

**AMENDMENT TO THE AMENDMENT IN THE
NATURE OF A SUBSTITUTE TO H.R. 4763
OFFERED BY MS. CROCKETT OF TEXAS**

Page 33, line 20, strike “and”.

Page 33, line 21, strike the period and insert “;
and”.

Page 33, after line 21, insert the following:

1 (E) the physical address of the person.

Page 34, strike lines 4 through 9, and insert the fol-
lowing:

2 (c) ASSET AND LISTING INFORMATION.—A person
3 filing a notice of intent to register under subsection (a)
4 shall provide to the Commission and the Securities and
5 Exchange Commission a detailed description of—

6 (1) the specific characteristics of each asset list-
7 ed or offered for trading by the person, including in-
8 formation regarding the assets market activity,
9 token distribution, and functional use; and

10 (2) the product listing determination made by
11 the person for each asset listed or offered for trad-
12 ing by the person.

Page 34, line 20, strike “and”.

Page 34, line 23, strike the period, and insert “;
and”.

Page 34, after line 23, insert the following:

1 (C) information about the location and
2 manner in which the digital assets of the cus-
3 tomer will be and are custodied; and

4 (D) in their disclosure documents, offering
5 documents, and promotional material, the con-
6 tact information for the whistleblower, com-
7 plaint, and reparation programs of the Commis-
8 sion.

