Committee on Science, Space, and Technology

U.S. House of Representatives

Witness Disclosure Requirement - "Truth in Testimony"
Required by House Rule XI, Clause 2(g)(5)

1. **Your Name:** NIGEL S. Lockyer

	Yes	No
2. **Are you testifying on behalf of the Federal, or a State or local government entity?**		✓
3. **Are you testifying on behalf of an entity that is not a government entity?**	✓	

4. **Other than yourself, please list which entity or entities you are representing:**
Fermilab Research Alliance (FRA)

5. **Please list any Federal grants or contracts (including subgrants or subcontracts) that you or the entity you represent have received on or after October 1, 2011:**
DOE CONTRACT #DE-AC02-07CH11359
SEE ATTACHED SCHEDULE OF FEDERAL AWARDS - FY12, FY13

6. **If your answer to the question in item 3 in this form is "yes," please describe your position or representational capacity with the entity(ies) you are representing:**
President FRA
Director Fermilab

	Yes	No
7. **If your answer to the question in item 3 is "yes," do any of the entities disclosed in item 4 have parent organizations, subsidiaries, or partnerships that you are not representing in your testimony?**		✓

8. **If the answer to the question in item 3 is "yes," please list any Federal grants or contracts (including subgrants or subcontracts) that were received by the entities listed under the question in item 4 on or after October 1, 2011, that exceed 10 percent of the revenue of the entities in the year received, including the source and amount of each grant or contract to be listed:**
NONE

I certify that the above information is true and correct.

Signature: N S Lockyer **Date:** June 6, 2014

FERMI RESEARCH ALLIANCE, LLC

Schedule of Expenditures of Federal Awards

Year ended September 30, 2012

Federal grantor/pass-through grantor/program title	Project number	Federal CFDA number	Federal expenditures
Major program:			
Research and Development Cluster:			
Direct awards:			
National Aeronautics and Space Administration:			
Research Opportunities in Space and Earth Sciences-2010	NNX12AD33G	43.000	$ 77,279
Research Opportunities in Space and Earth Sciences-2007	NNX09AH07G	43.000	2,206
			79,485
National Science Foundation:			
Mathematical and Physical Sciences:			
Understanding the Universe (I2U2)	PHY-0736126	47.049	289,798
Support for Young Scientists Attending the 2011 International Accelerator School for Linear Colliders	PHY-1134763	47.049	7,756
Support for Young Scientists Attending the Second Technology & Instrumentation in Particle Physics Conference	PHY-1066306	47.049	5,500
Collaborative Research: The Dark Energy Survey Data Management System	AST-0813575	47.049	19,481
Collaborative Research: The Dark Energy Survey Data Management Operations	AST-1138737	47.049	305
			322,840
Total direct awards			402,325
Pass-through awards from:			
National Science Foundation:			
Engineering Grants:			
Passed through:			
Purdue University-NEES Grid Operations	NEES-4101-31915	47.041	26,053
			26,053
Mathematical and Physical Sciences:			
Passed through:			
Universities Research Association-Pierre Auger Project	PHY-0969400	47.049	186,814
University of Wisconsin-Sustaining and Expanding the Open Science Grid	647F286	47.049	388,323
University of Notre Dame-Quark Net	PHY-1219444, PHY-0715396	47.049	30,348
University of California, Los Angeles-U.S. CMS Operations at the LHC	1000 G MD678	47.049	62,183
Michigan State University-US Particle Accelerator School	RC100609PAS	47.049	30,000
			697,668
Office of Cyberinfrastructure:			
Passed through:			
University of Florida-EXTENCI: Extending Science Through Enhanced National Cyberinfrastructure	UF10120	47.080	105,693
			105,693
Department of Energy:			
Office of Science Financial Assistance Program:			
Passed through:			
Colorado State University-Auger Research & Development Array (RDA)	G-0113-5	81.049	5,514
			5,514
Total pass-through awards			834,928
ARRA funded pass-through awards:			
National Science Foundation:			
Trans-NSF Recovery Act Research Support:			
Passed through:			
University of Southern California – Integrated Resource Provisioning Across the National Cyberinfrastructure in Support of Scientific Workloads	ARRA WFO 2010-0001	47.082	175,165
Total ARRA funded pass-through awards			175,165
Total Research and Development Cluster			1,412,418
Total expenditures of federal awards			$ 1,412,418

See accompanying independent auditors' report and notes to schedule of expenditures of federal awards.

FERMI RESEARCH ALLIANCE, LLC

Schedule of Expenditures of Federal Awards

Year ended September 30, 2013

Federal grantor/pass-through grantor/program title	Project number	Federal CFDA number	Federal expenditures
Major program:			
Research and Development Cluster:			
Direct awards:			
National Science Foundation:			
Mathematical and Physical Sciences:			
Understanding the Universe (I2U2)	PHY-0736126	47.049	$ 171,394
Support for Young Scientists Attending the 2012 International Accelerator School for Linear Colliders	PHY-1134763	47.049	7,812
Total direct awards			179,206
Pass-through awards from:			
National Science Foundation:			
Engineering Grants:			
Passed through:			
Purdue University-NEES Grid Operations	NEES-4101-31915	47.041	10,707
			10,707
Mathematical and Physical Sciences:			
Passed through:			
Universities Research Association-Pierre Auger Project	PHY-0969400	47.049	203,539
University of Notre Dame-Quark Net	PHY-1219444, PHY-0715396	47.049	23,008
			226,547
Office of Cyberinfrastructure:			
Passed through:			
University of Florida-EXTENCI: Extending Science Through Enhanced National Cyberinfrastructure	UF10120	47.080	20,551
			20,551
Department of Energy:			
Office of Science Financial Assistance Program:			
Passed through:			
Colorado State University-Auger Research & Development Array (RDA)	G-0113-5	81.049	7,208
			7,208
Total pass-through awards			265,013
ARRA funded pass-through awards:			
National Science Foundation:			
Trans-NSF Recovery Act Research Support:			
Passed through:			
University of Southern California – Integrated Resource Provisioning Across the National Cyberinfrastructure in Support of Scientific Workloads	ARRA WFO 2010-0001	47.082	113,781
Total ARRA funded pass-through awards			113,781
Total Research and Development Cluster			558,000
Total expenditures of federal awards			$ 558,000

See accompanying independent auditors' report and notes to schedule of expenditures of federal awards.