

**Committee on Science, Space, and Technology**

**U.S. House of Representatives**

Witness Disclosure Requirement - "Truth in Testimony"  
Required by House Rule XI, Clause 2(g)(5)

1. <b>Your Name:</b> Dr. Horst D. Simon		
2. <b>Are you testifying on behalf of the Federal, or a State or local government entity?</b>	Yes	No X
3. <b>Are you testifying on behalf of an entity that is not a government entity?</b>	Yes X	No
4. <b>Other than yourself, please list which entity or entities you are representing:</b>  Lawrence Berkeley National Laboratory (LBNL) University of California		
5. <b>Please list any Federal grants or contracts (including subgrants or subcontracts) that you or the entity you represent have received on or after October 1, 2010:</b>  DOE provided approximately \$656M in funding for DOE-related work at LBNL in FY2013. From within this total, approximately \$520M was provided to LBNL from the DOE's Office of Science (SC).		
6. <b>If your answer to the question in item 3 in this form is "yes," please describe your position or representational capacity with the entity(ies) you are representing:</b>  Deputy Laboratory Director		
7. <b>If your answer to the question in item 3 is "yes," do any of the entities disclosed in item 4 have parent organizations, subsidiaries, or partnerships that you are not representing in your testimony?</b>	Yes X	No
8. <b>If the answer to the question in item 3 is "yes," please list any Federal grants or contracts (including subgrants or subcontracts) that were received by the entities listed under the question in item 4 on or after October 1, 2010, that exceed 10 percent of the revenue of the entities in the year received, including the source and amount of each grant or contract to be listed:</b>  The University of California (UC) operates the Lawrence Berkeley National Laboratory (LBNL) under contract with the U.S. Department of Energy (DOE). LBNL's work is performed under the auspices of the DOE under Contract DE-AC02-05CH11231.		

**I certify that the above information is true and correct.**

**Signature:** Horst D. Simon

**Date:** 10/22/2013