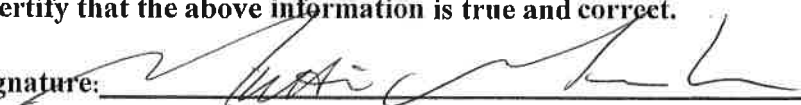


**Committee on Science, Space, and Technology
U.S. House of Representatives
Witness Disclosure Requirement - "Truth in Testimony"
Required by House Rule XI, Clause 2(g)(5)**

1. Your Name: Dr. Charles (Matt) Mountain		
2. Are you testifying on behalf of the Federal, or a State or local government entity?	Yes	No X
3. Are you testifying on behalf of an entity that is not a government entity?	Yes X	No
4. Other than yourself, please list which entity or entities you are representing: Association of Universities for Research in Astronomy (AURA)		
5. Please list any Federal grants, cooperative agreements, or contracts (including subgrants or subcontracts) that <u>you or the entity you represent have received</u> on or after October 1, 2012: Please see attached.		
6. Please list any foreign government payments that <u>you or the entity you represent have received</u> on or after October 1, 2012: N/A		
7. If your answer to the question in item 3 in this form is "yes," please describe your position or representational capacity with the entity(ies) you are representing: President, AURA		
8. If your answer to the question in item 3 is "yes," do any of the entities disclosed in item 4 have parent organizations, subsidiaries, or partnerships that you are not representing in your testimony?	Yes	No X
9. If the answer to the question in item 3 is "yes," please list any Federal grants, cooperative agreements, or contracts (including subgrants or subcontracts) that were received by the entities listed under the question in item 4 on or after October 1, 2012, that exceed 10 percent of the revenue of the entities in the year received, including the source and amount of each grant or contract to be listed: Please see attached.		

I certify that the above information is true and correct.

Signature:  Date: 12/1/17

AURA RESPONSE TO ENCLOSURE 3 QUESTION 5 WITNESS DISCLOSURE REQUIREMENT

Contract #	Prime Inst	Funding Agy	Award Date	Award
K99040AD	SwRI	NASA	7/28/2017	\$ 20,000
K99078JRG	SwRI	NASA	8/9/2017	\$ 33,600
1519980	JPL	NASA	1/15/2015	\$ 5,000
1533393	JPL	NASA	8/3/2015	\$ 10,000
1542183	JPL	NASA	1/4/2016	\$ 12,500
1539872	JPL	NASA	1/7/2016	\$ 399,315
1543998	JPL	NASA	2/4/2016	\$ 15,000
1544869	JPL	NASA	2/18/2016	\$ 152,860
1545135	JPL	NASA	2/23/2016	\$ 10,000
1547861	JPL	NASA	4/6/2016	\$ 10,000
1558804	JPL	NASA	9/1/2016	\$ 10,000
1559798	JPL	NASA	9/16/2016	\$ 15,000
1561703	JPL	NASA	10/18/2016	\$ 21,658
1561960	JPL	NASA	10/20/2016	\$ 10,000
1566314	JPL	NASA	1/10/2017	\$ 12,400
1568588	JPL	NASA	2/10/2017	\$ 160,000
SC3214	SETI	NASA	8/3/2016	\$ 82,840
UWSC9276	University of Washington	NASA	9/15/2016	\$ 4,706
61362448-122362	Stanford	NASA	11/1/2016	\$ 20,471
7323250	LBNL	DOE	11/21/2016	\$ 53,871
2003301637	JHU	NASA	3/10/2017	\$ 29,262
NAS5-26555	NASA	NASA	6/30/2016	\$ 196,302,508
NAS5-03127	NASA	NASA	3/16/2017	\$ 18,168,720
NAS5-03127	NASA	NASA	9/18/2017	\$ 6,500,751

Grant #	Amount \$	Start Date	
NNX14AN06G	\$ 880,742	10/1/2014	
NNX15AB26G	\$ 1,415,333	10/2/2014	
GO5-16103X	\$ 87,746	12/10/2014	
AR5-16011A	\$ 73,196	1/1/2015	
NNX15AE54G	\$ 237,622	1/30/2015	
NNX15AC86G	\$ 1,786,663	2/8/2015	
GO5-16077X	\$ 51,841	3/31/2015	
NNX15AJ80G	\$ 103,762	4/27/2015	
NNX15AJ75G	\$ 286,929	4/27/2015	
BB00716820	\$ 82,350	4/27/2015	Prime NASA Grant #NNX15AJ77G
NNX15AP96G	\$ 99,200	7/10/2015	
NNX15AP23G	\$ 100,077	9/1/2015	

AURA RESPONSE TO ENCLOSURE 3 QUESTION 5 WITNESS DISCLOSURE REQUIREMENT

SOF 04-0129 Sloan	\$	43,000	11/1/2015 Prime Contract #NAS2-97001 (NAS,
NNX16AC65A	\$	27,783,589	1/4/2016
GO5-16078X	\$	51,841	2/14/2016
GO6-17057X	\$	66,894	5/31/2016
NNX16AF44G	\$	323,712	6/1/2016
GO6-17073X	\$	52,036	6/21/2016
NNX16AT56G	\$	336,188	10/1/2016
SOF 04-0168-02	\$	70,000	10/1/2016 Prime Contract #NAS2-97001 (NAS,
SOF 04-0120-02	\$	77,032	10/1/2016 Prime Contract #NAS2-97001 (NAS,
SOF 04-0180-02	\$	10,000	10/1/2016 Prime Contract #NAS2-97001 (NAS,
SOF 04-0188-02	\$	20,000	10/1/2016 Prime Contract #NAS2-97001 (NAS,
NNX17AB70G	\$	41,131	1/1/2017
NNX17AB60G	\$	492,082	1/1/2017
SOF 05-0153 Sparks	\$	98,080	1/1/2017 Prime Contract #NAS2-97001 (NAS,
NNX17AI64G	\$	149,999	2/17/2017
GO6-17064A	\$	113,500	3/21/2017
NNX17AJ17G	\$	50,786	4/1/2017
80NSSC17K0057	\$	107,803	7/24/2017

AURA Center	Number	Title	Agency Vendor	Cur Amount	Funding CSAs	Start Date	End Date
Gemini	1539773	Cooperative Support Agreement for the Management and Operations of the Gemini Observatory	NSF	46,370,042.00		1/1/2017	12/31/2023
Gemini	1637494	Cooperative Agreement for the Management and Operations of the Gemini Observatory	NSF	0.00	1539773 Cooperative Support Agreement for the Management and Operations of the Gemini Observatory	1/1/2017	12/31/2022
NOAO	1421197	Cooperative Support Agreement for the Management and Operation of the NOAO	NSF	37,097,345.00		10/1/2015	9/30/2020
NOAO	1546092	Cooperative Agreement for Management and Operation of the National Optical Astronomy Observatory as a FFRDC Sponsored by the NSF	NSF	0.00	1421197 Cooperative Support Agreement for the Management and Operations of the NOAO	10/1/2015	9/30/2020
NOAO	1557260	Cooperative Support Agreement for Support for the Kitt Peak National Observatory (KPNO) Mayall 4-meter Telescope Facility	NSF	5,900,000.00		1/1/2016	12/31/2018
NOAO	1559596	Cooperative Support Agreement for Support for the Kitt Peak National Observatory (KPNO) WIYN 3.5-meter Telescope Facility	NSF	2,952,685.00		1/1/2016	12/31/2018
NOAO	1637359	La Serena School for Data Science: Applied Tools for Astronomy, Biology, and Other Sciences	NSF	582,440.00		10/1/2016	9/30/2021
NSO	1400450	Cooperative Support Agreement for Management and Operations of the National Solar Observatory	NSF	47,500,000.00		6/1/2015	9/30/2021
NSO	1460743	REU Site Program at the National Solar Observatory	NSF	198,207.00		6/1/2015	5/31/2017
NSO	1718947	NSO Workshop on High-Resolution Solar Physics: Past, Present, Future	NSF	20,000.00		5/1/2017	4/30/2018
NSO	1732227	2017 Citizen CATE (Central-America Telescope Eclipse) Experiment to Observe the 2017 Great American Eclipse	NSF	78,635.00		2/1/2017	1/31/2018
NSO	AGS-1620773	Collaborative Research: SHINE: Study of Long-Term Variability of Solar Chromospheric Activity in Multiple Solar Cycles (NSF 15-606)	NSF	75,680.00		6/15/2016	5/31/2019

AURA RESPONSE TO ENCLOSURE 3 QUESTION 9 WITNESS DISCLOSURE REQUIREMENT

Award Number	Agency	Award date	Award value	Funding Vehicle	Project
NAS5-26555	NASA	6/30/2016	\$ 196,302,508	Contract	HST
1539773	NSF	1/1/2017	\$ 46,370,042	Cooperative Agreement	Gemini
1421197	NSF	10/1/2015	\$ 37,097,345	Cooperative Agreement	NOAO
1400450	NSF	6/1/2015	\$ 47,500,000	Cooperative Agreement	NSO

Enclosure 4

False Statements Act Certification

You are specifically advised that providing false information to this Committee/Subcommittee, or concealing material information from this Committee/Subcommittee, is a crime, and you can be punished for that. If you acknowledge this, please sign the bottom of this form and return to the Committee. This form will be made part of the hearing record.

A handwritten signature in black ink, appearing to be "M. L. L.", written over a horizontal line.

Witness signature

A handwritten date "12/1/17" in black ink, written over a horizontal line.

Date