

Committee on Science, Space, and Technology
U.S. House of Representatives
Witness Disclosure Requirement - "Truth in Testimony"
Required by House Rule XI, Clause 2(g)(5)

1. Your Name: <u>Daniel M. Gustin</u>		
2. Are you testifying on behalf of the Federal, or a State or local government entity?	Yes	<u>No</u>
3. Are you testifying on behalf of an entity that is not a government entity?	<u>Yes</u>	No
4. Other than yourself, please list which entity or entities you are representing: <u>RAND Corporation</u>		
5. Please list any Federal grants, cooperative agreements, or contracts (including subgrants or subcontracts) that <u>you or the entity you represent have received</u> on or after October 1, 2014: <u>See next page</u>		
6. Please list any foreign government payments that <u>you or the entity you represent have received</u> on or after October 1, 2014: <u>See next page</u>		
7. If your answer to the question in item 3 in this form is "yes," please describe your position or representational capacity with the entity(ies) you are representing: <u>Senior Policy Researcher</u>		
8. If your answer to the question in item 3 is "yes," do any of the entities disclosed in item 4 have parent organizations, subsidiaries, or partnerships that you are not representing in your testimony?	Yes	<u>No</u>
9. If the answer to the question in item 3 is "yes," please list any Federal grants, cooperative agreements, or contracts (including subgrants or subcontracts) that were received by the entities listed under the question in item 4 on or after October 1, 2014, that exceed 10 percent of the revenue of the entities in the year received, including the source and amount of each grant or contract to be listed:		

I certify that the above information is true and correct.

Signature: 

Date: October 24, 2017

5. The RAND Corporation is an independent, non-profit organization that performs research and analysis. During the time period in question (fiscal year 2015 through fiscal year 2018), RAND has had contracts and grants with various agencies of the federal government to perform research and analysis. Research has been performed for the Departments of Agriculture, Commerce, Defense, Education, Energy, Health and Human Services, Homeland Security, Justice, Treasury, Veterans Affairs, the Administrative Office of the United States Courts, the Centers for Disease Control and Prevention, the National Institutes of Health, the Environmental Protection Agency, the Federal Communications Commission, the Federal Reserve Banks of Boston and New York, the Intelligence Community, the Medicare Payment Advisory Commission, the National Aeronautics and Space Administration, the National Science Foundation, the Social Security Administration, and the US-China Economic and Security Review Commission, among others. See the RAND Annual Report (rand.org/about/annual_report).

RAND has contracts with the Department of Defense and the Department of Homeland Security to operate four federally funded research and development centers (FFRDC): PROJECT AIR FORCE for the U.S. Air Force; Arroyo Center for the U.S. Army; National Defense Research Institute for the Department of Defense; and the Homeland Security Operational Analysis Center for the Department of Homeland Security (HSOAC).

	PAF	Arroyo	NDRI
FY15	45,948,666	45,508,840	59,560,208
FY16	50,676,936	45,606,598	69,082,383
FY17	51,406,340	35,372,650	62,518,696

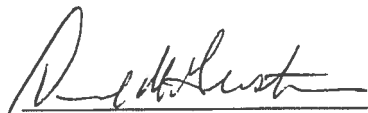
HSOAC (FY17): 32,119,156

Client Name	Funding Fully Executed FY	Funding Amount
CANADIAN NATIONAL DEFENSE	2017	582,300.88
COMMONWEALTH OF AUSTRALIA	2015	337,887.92
COMMONWEALTH OF AUSTRALIA	2015	306,778.6
COMMONWEALTH OF AUSTRALIA	2015	30,000.
COMMONWEALTH OF AUSTRALIA	2015	1,208,531.02
COMMONWEALTH OF AUSTRALIA	2015	1,979,130.
COMMONWEALTH OF AUSTRALIA	2015	280,124.
COMMONWEALTH OF AUSTRALIA	2015	209,598.18
COMMONWEALTH OF AUSTRALIA	2015	185,898.
COMMONWEALTH OF AUSTRALIA	2015	1,427,124.82
COMMONWEALTH OF AUSTRALIA	2015	284,768.9
COMMONWEALTH OF AUSTRALIA	2015	1,154,557.32
COMMONWEALTH OF AUSTRALIA	2015	238,877.2
COMMONWEALTH OF AUSTRALIA	2015	1,220,277.04
COMMONWEALTH OF AUSTRALIA	2015	241,081.2
COMMONWEALTH OF AUSTRALIA	2016	335,990.73
COMMONWEALTH OF AUSTRALIA	2016	231,667.74
COMMONWEALTH OF AUSTRALIA	2016	800,050.
COMMONWEALTH OF AUSTRALIA	2016	153,364.
EMBASSY OF THE UNITED ARAB EMIRATES	2016	3,155,000.
GOVERNMENT OF JAPAN	2015	182,000.
GOVERNMENT OF JAPAN	2017	195,351.
INDUSTRY CANADA	2015	17,624.48
JAPAN CENTER FOR ECONOMIC RESEARCH	2015	25,000.
JINAN WATER RESOURCES BUREAU	2016	355,652.
KOREA INST FOR HEALTH AND SOCIAL AFFAIRS	2015	100,000.
KOREA INST FOR HEALTH AND SOCIAL AFFAIRS	2016	160,000.
KOREA INST FOR HEALTH AND SOCIAL AFFAIRS	2017	90,000.
KOREA INST FOR HEALTH AND SOCIAL AFFAIRS	2017	180,000.
KOREAN CONSULATE GENERAL IN LOS ANGELES	2016	64,040.
KURDISTAN REGIONAL GOVT	2016	443,414.
KURDISTAN REGIONAL GOVT	2016	398,836.
KURDISTAN REGIONAL GOVT	2016	660,450.
KURDISTAN REGIONAL GOVT	2016	350,343.
KURDISTAN REGIONAL GOVT	2016	122,215.
KURDISTAN REGIONAL GOVT	2016	510,862.
KURDISTAN REGIONAL GOVT	2016	39,367.53
KURDISTAN REGIONAL GOVT	2016	189,301.47
SECRETARIAT OF THE NATL ASSEMBLY OF THE R	2016	25,000.
SHANDONG ACADEMY OF SOCIAL SCIENCES	2017	40,000.
SWISS FEDERAL DEPT OF FOREIGN AFFAIRS	2017	47,340.

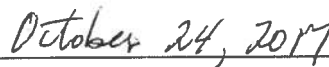
Enclosure 4

False Statements Act Certification

You are specifically advised that providing false information to this Committee/Subcommittee, or concealing material information from this Committee/Subcommittee, is a crime, and you can be punished for that. If you acknowledge this, please sign the bottom of this form and return to the Committee. This form will be made part of the hearing record.



Witness signature



Date