Committee on Science, Space, and Technology

U.S. House of Representatives

Witness Disclosure Requirement - "Truth in Testimony"
Required by House Rule XI, Clause 2(g)(5)

1. **Your Name:** Phillip Cornwell

	Yes	No
2. **Are you testifying on behalf of the Federal, or a State or local government entity?**		X
3. **Are you testifying on behalf of an entity that is not a government entity?**	X	

4. **Other than yourself, please list which entity or entities you are representing:**

Rose-Hulman Institute of Technology

5. **Please list any Federal grants or contracts (including subgrants or subcontracts) that <u>you or the entity you represent have received</u> on or after October 1, 2010:**

Phillip Cornwell – none
Rose-Hulman – see attached. If the committee would like more information I am happy to provide it.

6. **If your answer to the question in item 3 in this form is "yes," please describe your position or representational capacity with the entity(ies) you are representing:**

I'm the Vice President for Academic Affairs.

	Yes	No
7. **If your answer to the question in item 3 is "yes," do any of the entities disclosed in item 4 have parent organizations, subsidiaries, or partnerships that you are not representing in your testimony?**		X

8. **If the answer to the question in item 3 is "yes," please list any Federal grants or contracts (including subgrants or subcontracts) that were received by the entities listed under the question in item 4 on or after October 1, 2010, that exceed 10 percent of the revenue of the entities in the year received, including the source and amount of each grant or contract to be listed:**

NA.

I certify that the above information is true and correct.

Signature: Phillip J Cornwell **Date:** 1/6/2014

ROSE-HULMAN INSTITUTE OF TECHNOLOGY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

Federal Grantor/Pass-Through Grantor/Program Title	Federal CFDA No.	Federal Expenditures
STUDENT FINANCIAL ASSISTANCE CLUSTER — U.S. Department of Education:		
Federal Direct Loans Program	84.268	$ 15,820,259
Federal Supplemental Educational Opportunity Grant	84.007	213,780
Federal Work Study Program	84.033	427,963
Federal Perkins Loan Program	84.038	6,339,358
Federal Pell Grant	84.063	1,267,119
Academic Competitiveness Grant	84.375	120,449
National Science & Mathematics Access to Retain Talent	84.376	311,648
Total U.S. Department of Education		24,500,576
RESEARCH AND DEVELOPMENT:		
U.S. Department of Defense:		
Intelligent Fiber Optic Systems (pass-through of $23,332)	12.XXX	23,332
Science Applications International	12.XXX	157,866
Department of Air Force	12.XXX	135,796
Mercer Engineering Research Center (pass-through of $125,954)	12.XXX	125,954
Polyphase Microwave, Inc.	12.XXX	75,924
Advanced Research Projects Agency	12.910	1,630
Total U.S. Department of Defense — Research and Development		520,502
National Science Foundation:		
Rensselaer Polytechnic Institute (pass-through of $26,244)	47.041	369,867
Indiana University/Case Western Reserve University (pass-through of $17,108)	47.049	106,670
Computer and Information Science Engineering	47.070	5,061
University of Missouri-Rolla/Stark State Coll Tech/Stevens Inst of Tech/Purdue University (pass-through of $50,538)	47.076	214,295
Mathematical Association of America (pass-through of $2,000)	47.XXX	2,000
Case Western Reserve University (pass-through of ($34))	47.XXX	(34)
Office of Cyberinfrastructure	47.080	814
Total National Science Foundation		698,673
Department of Health and Human Services		
National Institute of Health	93.847	192,346
U.S. Department of Education		
Fund for Improvement of Postsecondary Education	84.215	12,154
Total Research and Development		1,423,675
TOTAL EXPENDITURES OF FEDERAL AWARDS		$ 25,924,251

See notes to schedule of expenditures of federal awards.

ROSE-HULMAN INSTITUTE OF TECHNOLOGY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2012

Federal Grantor/Pass-Through Grantor/Program Title	Federal CFDA No.	Federal Expenditures
STUDENT FINANCIAL ASSISTANCE CLUSTER — U.S. Department of Education:		
Federal Direct Loans Program	84.268	$ 15,795,487
Federal Supplemental Educational Opportunity Grant	84.007	189,074
Federal Work Study Program	84.033	233,418
Federal Perkins Loan Program	84.038	6,088,702
Federal Pell Grant	84.063	1,294,836
Total U.S. Department of Education		23,601,517
RESEARCH AND DEVELOPMENT:		
U.S. Department of Defense:		
Mercer Engineering Research Center (pass-through of $12,519)	12.XXX	12,519
Science Applications International (pass-through of $7,989)	12.XXX	7,989
nLight Photonics Corp. (pass-through of $61,057)	12.XXX	61,057
Department of Air Force	12.XXX	157,071
Total U.S. Department of Defense — Research and Development		238,636
National Science Foundation:		
Engineering Grants (pass-through of $90,678)	47.041	100,820
Mathematical and Physical Sciences (pass-through of $29,167)	47.049	156,235
Education and Human Resources (pass-through of $32,07)	47.076	175,736
Office of Cyberinfrastructure	47.080	26,383
Total National Science Foundation		459,174
Department of Health and Human Services		
National Institute of Health	93.847	228,723
Total Research and Development		926,533
TOTAL EXPENDITURES OF FEDERAL AWARDS		$ 24,528,050

See notes to schedule of expenditures of federal awards.

ROSE-HULMAN INSTITUTE OF TECHNOLOGY, INC.

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2013

Federal Grantor/Pass-Through Grantor/Program Title	Federal CFDA No.	Federal Expenditures
STUDENT FINANCIAL ASSISTANCE CLUSTER:		
U.S. Department of Education:		
Federal Direct Loans Program	84.268	$ 15,835,056
Federal Supplemental Educational Opportunity Grant	84.007	194,447
Federal Work Study Program	84.033	212,683
Federal Perkins Loan Program	84.038	6,111,533
Federal Pell Grant	84.063	1,484,144
Total U.S. Department of Education		23,837,863
RESEARCH AND DEVELOPMENT:		
U.S. Department of Defense:		
Department of Air Force	12.XXX	287,366
nLight Photonics Corp. (pass-through of $62,201)	12.XXX	62,201
Total U.S. Department of Defense — Research and Development		349,567
National Science Foundation:		
Engineering Grants (pass-through of $72,035)	47.041	83,889
Mathematical and Physical Sciences (pass-through of $17,327)	47.049	56,914
Education and Human Resources (pass-through of $29,914)	47.076	259,467
Office of Cyberinfrastructure	47.080	17,080
Total National Science Foundation		417,350
Department of Health and Human Services		
National Institute of Health	93.847	117,550
U.S. Department of Transportation		
McKinney/University of Illinois	20.701	12,563
U.S. Department of Education		
Fund for Improvement of Postsecondary Education	84.116	16,171
Total Research and Development		913,201
TOTAL EXPENDITURES OF FEDERAL AWARDS		$ 24,751,064