

**Committee on Science, Space, and Technology**  
**U.S. House of Representatives**  
 Witness Disclosure Requirement - "Truth in Testimony"  
 Required by House Rule XI, Clause 2(g)(5)

1. Your Name: <i>Andrew Steer</i>		
2. Are you testifying on behalf of the Federal, or a State or local government entity?	Yes	No <i>X</i>
3. Are you testifying on behalf of an entity that is not a government entity?	Yes <i>X</i>	No
4. Other than yourself, please list which entity or entities you are representing: <i>World Resources Institute</i>		
5. Please list any Federal grants, cooperative agreements, or contracts (including subgrants or subcontracts) that <u>you or the entity you represent have received</u> on or after October 1, 2012: <i>See attached FY13-FY15 Federal Awards</i>		
6. Please list any foreign government payments that <u>you or the entity you represent have received</u> on or after October 1, 2012: <i>See attached FY13-FY15 Foreign Government Payments</i>		
7. If your answer to the question in item 3 in this form is "yes," please describe your position or representational capacity with the entity(ies) you are representing: <i>I am the President and CEO of the World Resources Institute, a non-partisan, non-profit 501(c)3 and non-governmental research organization.</i>		
8. If your answer to the question in item 3 is "yes," do any of the entities disclosed in item 4 have parent organizations, subsidiaries, or partnerships that you are not representing in your testimony?	Yes	No <i>X</i>
9. If the answer to the question in item 3 is "yes," please list any Federal grants, cooperative agreements, or contracts (including subgrants or subcontracts) that were received by the entities listed under the question in item 4 on or after October 1, 2012, that exceed 10 percent of the revenue of the entities in the year received, including the source and amount of each grant or contract to be listed: <i>N/A</i>		

I certify that the above information is true and correct.

Signature: *Andrew Steer* Date: *11/30/2015*

	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>TOTAL</b>
Agence Francaise De Developpement	262,160.00	435,661.52		697,821.52
Australian Department of Foreign Affairs and Trade			77,990.00	77,990.00
Australian Agency International	78,187.50			78,187.50
German Federal Ministry for Economic Cooperation and Development (BMZ)			1,829,410.00	1,829,410.00
Danida	1,520,873.55	1,455,474.00	135,309.92	3,111,657.47
UK Department for International Development	1,024,802.10	2,580,233.55	6,164,416.55	8,790,174.12
Netherlands Ministry of Foreign Affairs	4,300,000.00	6,957,000.00	2,150,000.00	13,407,000.00
Netherlands Ministry of Infrastructure and Environment			705,720.40	705,720.40
Environment Canada		146,720.20	-	146,720.20
European Commission		896,861.77	1,011,340.43	1,908,202.20
Federal Republic of Germany			15,000.00	15,000.00
Federal Environment Agency of Switzerland	82,039.66	83,658.67		165,698.33
German Federal Ministry for the Environment, Nature Conservation, Building and Nuclear Safety (BMUB)	2,495,405.25	2,458,657.23	2,506,501.38	7,460,563.86
French Ministry of Foreign Affairs		67,619.00		67,619.00
Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ)	200,164.76	420,740.45	356,417.98	974,812.80
Government of Australia	193,570.00			193,570.00
Irish Aid	514,155.00	926,080.00	656,460.00	2,096,695.00
Japan International Cooperation Agency (JICA)	96,354.00	114,931.17	68,659.16	279,944.33
Korean Ministry of Strategy and Finance		80,000.00		80,000.00
National Environment Agency of Singapore		-	292,536.50	292,536.50
Jamaican National Environment and Planning Agency	12,000.00	32,000.00	61,800.00	105,800.00
Norwegian Agency for Development Cooperation	677,036.18	661,308.62	5,487,214.49	6,825,559.29
Norwegian Ministry of Foreign Affairs	488,187.60	13,327,776.36	2,900,684.14	16,716,648.10
Norwegian Ministry of Climate and Environment			609,436.51	609,436.51

Swedish International Development Cooperation Agency (SIDA)	2,260,636.29	2,247,871.44	2,623,340.01	7,131,847.74
Swiss Agency for Development and Cooperation		1,525,000.00	825,398.43	2,350,398.43
UK Department of Energy and Climate Change		1,241.00		1,241.00
	<b>14,205,571.89</b>	<b>34,418,834.98</b>	<b>28,477,635.90</b>	<b>76,120,254.30</b>

## FY15 Schedule of Federal Awards

	Pass Through Entity	Federal CFDA Number	Federal Contract Number	Project Period		Actual FY15
				From	Through	
<b>U.S. Agency for International Development:</b>						
Forestry Legality Alliance	n/a	98.001	AID-EEM-A-00-09-00012-00	09/29/09	09/29/16	925,595.58
Sustainable Landscapes, Clean Energy & Adaptation	n/a	98.001	AID-OAA-A-13-00045	06/27/13	06/26/17	1,491,752.16
Strengthening Central Africa Env. Mgmt and Policy Support (SCAEMPS)	n/a	98.001	AID-660-A-14-00002	02/06/14	01/31/19	1,652,980.46
Pass through from ARD	Associates in Rural Development, Inc.	N/A	PLACE-IQC-WRI-001	11/14/11	10/31/14	3,386.98
Pass through from ARD	Associates in Rural Development, Inc.	N/A	AID-OAA-I-12-00032	09/05/14	09/30/16	62,468.81
Pass through from ARD	Associates in Rural Development, Inc.	N/A	AID-OAA-I-13-00058	12/15/14	08/30/19	73,856.01
Pass through from DAI	Development Alternatives, Inc.	N/A	1001620-11S-19951-00	02/22/12	09/25/16	55,078.07
Pass-through from Winrock International	Winrock International	98.001	11/04/6349	05/01/11	01/31/16	43,351.24
Pass through from WWF	World Wildlife Fund	98.001	RLA-A-00-07-0043-00	10/01/13	03/31/15	23,653.52
<b>Total for U.S. Agency for International Development</b>						<b>4,332,122.83</b>
<b>U.S. Department of Energy:</b>						
Pass-through from Alliance for Sustainable Energy	Alliance for Sustainable energy	81.087	LXL-3-23251-01	08/15/13	02/29/16	63,353.12
Building Sustainable, Energy Efficient and Connected Communities in India	n/a	81.117	DE-EE0006096/000	03/01/13	08/31/15	126,608.43
US-China - Advanced coal tech collaboration	West Virginia University	81.087	10-733-WRI	10/01/10	12/31/15	248,846.37
Pass-through from UIUC	University of Illinois at Urbana Champagne	81.089	DE-FC26-05NT42588	04/16/14	12/17/17	44,262.34
<b>Total for U.S. Agency for International Development</b>						<b>483,070.26</b>
<b>U.S. Environment Protection Agency:</b>						
Case Studies - Good Practices of Setting Science -Based GHG Emissions Reduction Goals	n/a	N/A	EP-15-H-000279	06/30/15	06/29/16	14,000.00
<b>Total for U.S. Environment Protection Agency</b>						<b>14,000.00</b>
<b>U.S. Department of Agriculture:</b>						
Pass-through from Chesapeake Bay Foundation	Chesapeake Bay Foundation	10.912	69-3A75-12-209	11/01/12	12/31/15	74,316.91
Building Capacity for Sustainable Resources Management	n/a	10.684	14-DG-11132762-129	03/14/14	12/31/17	27,311.11
<b>Total for U.S. Department of Agriculture</b>						<b>101,628.02</b>
<b>U.S. Geological Survey</b>						
Improving Ecosystem Services Research and Practice	n/a	15.808	G14AC00171	06/25/14	09/30/16	10,000.00
<b>Total for U.S. Geological Survey</b>						<b>10,000.00</b>
<b>Total Federal Expenditures</b>						<b>4,940,821.11</b>

**World Resources Institute and Subsidiary**

Supplemental Schedule of Expenditures of Federal Awards

Year ended September 30, 2014

Federal Grantor Program Title	Pass Through Entity	CFDA No.	Contract No.	Federal Expenditures
<b>U.S. Agency for International Development:</b>				
USAID Foreign Assistance for Programs Overseas - Forestry Legality Alliance	n/a	98.001	AID-EEM-A-00-09-0012-00	828,594
Sustainable Landscapes, Clean Energy & Adaptation	n/a	98.001	AID-OAA-A-13-00045	1,273,718
Strengthening Central Africa Env. Mgmt and Policy Support (SCAEMPS)	n/a	98.001	AID-660-A-14-00002	642,117
African and Latin American resilience to climate change	Associates in Rural Development, Inc.	98.unknown	PLACE-IQC-WRI-001	76,402
Tenure and Global Climate Change	Associates in Rural Development, Inc.	98.unknown	AID-OOA-I-12-00032	30,210
Forest, carbon, markets and communities project	Associates in Rural Development, Inc.	98.unknown	EPP-1-00-06-00008-00	2,423
Protecting Ecosystems and Restoring Forests in Malawi (PERFORM)	Associates in Rural Development, Inc.	98.unknown	AID-OOA-I-12-00032	53
Mekong Adaptation and Resilience to Climate Change (Mekong)	Development Alternatives, Inc.	98.unknown	1001620-11S-19951-00	130,936
US-China Partnership for Climate Action	Institute for Sustainable Communities	98.001	486-A-09-00007	196,811
Collaboration on Biodiversity Analysis and Technical Support	The Nature Conservancy	98.001	RLA-A-00-07-0043	53,834
Energy Efficiency and Renewable Energy Program LWA	Winrock International	98.001	11/4/6349	25,000
Collaboration on Biodiversity Analysis and Technical Support	World Wildlife Fund	98.001	RLA-A-00-07-0043	71,347
<b>Total for U.S. Agency for International Development</b>				<b>3,331,443</b>
<b>U.S. Department of Agriculture</b>				
Environmental Quality Incentives Program	Chesapeake Bay Foundation	10.912	69-3475-12-209	86,791
Environmental Quality Incentives Program	n/a	10.912	69-3A75-10-144	58,123
Forest and Landscape Restoration Opportunities Assessment	n/a	10.684	11-DG-11132762-271	1,860
Application, enhancement and evaluation of NTT - Mississippi River Basin	n/a	10.912	69-3A75-11-224	100,708
Senegal Retrospective Study	n/a	10.684	12-DG-11132762-439	18,850
Facilitating Env. Market Devt: Informing the Decision Making Process	n/a	10.290	58-0111-13-015	100,000
<b>Total for U.S. Department of Agriculture</b>				<b>366,332</b>
<b>U.S. Dept. of Energy</b>				
EC-LEDS	Alliance for Sustainable Energy	81.087	LXL-3-23251-01	212,545
Building Sustainable, Energy Efficient and Connected Communities in India	n/a	81.117	DE-EE0006096/000	171,383
US-China - Advanced coal tech collaboration	West Virginia University	81.087	10-733-WRI	205,138
Fossil Energy Research and Development	University of Illinois at Urbana-Champaign	81.089	DE-FC26-05NT42588	5,410
<b>Total for U.S. Department of Agriculture</b>				<b>594,476</b>
<b>U.S. Department of State</b>				
Forestry Transparency Initiative (FTI)	n/a	19.121	S-CF200-12-GR-024	80,000
<b>Total Federal Expenditures</b>				<b>\$ 4,372,251</b>

**World Resources Institute and Subsidiary**

Supplemental Schedule of Expenditures of Federal Awards

Year ended September 30, 2013

Federal Grantor Program Title	Pass Through Entity	CFDA No.	Contract No.	Federal Expenditures
<b>U.S. Agency for International Development:</b>				
USAID Foreign Assistance for Programs Overseas - Improved Governance & Sustainable Use of Forest Resources	n/a	98.001	623-A-00-06-00048-00	\$ 945,631
USAID Foreign Assistance for Programs Overseas - Forestry Legality Alliance Cooperative Development Program - The Brazilian Business and Ecosystem Services Partnership	n/a	98.001	EEM-A-00-09-0012-00	911,550
Sustainable Landscapes, Clean Energy & Adaptation	n/a	98.002	AID-512-A-11-00003	185,251
African and Latin American resilience to climate change	Associates in Rural Development, Inc.	98.unknown	AID-OAA-A-13-00045	270,688
African and Latin American resilience to climate change	Associates in Rural Development, Inc.	98.unknown	PLACE-IQC-WRI-001	113,731
Tenure and Global Climate Change	Associates in Rural Development, Inc.	98.unknown	PLACE IQC WRI -001	10,716
Tenure and Global Climate Change	Associates in Rural Development, Inc.	98.unknown	STARR-IQC-WRI0-01	4,176
Tenure and Global Climate Change	Associates in Rural Development, Inc.	98.unknown	STARR-IQC-WRI0-01	26,553
Forest, carbon, markets and communities project	Associates in Rural Development, Inc.	98.unknown	STARR-IQC-WRI0-01	6,519
Forest, carbon, markets and communities project	Associates in Rural Development, Inc.	98.unknown	EPP-1-00-06-00008-00	2,644
Forest, carbon, markets and communities project	Associates in Rural Development, Inc.	98.unknown	EPP-1-02-06-00008-00	2,462
Mekong Adaptation and Resilience to Climate Change (Mekong)	Associates in Rural Development, Inc.	98.unknown	EPP-1-02-06-00008-00	2,321
US Russia Civil Society Program - Using GFW 2.0 to increase the International Competitiveness of the Russian Forest Industry in East Siberia	Development Alternatives, Inc.	98.unknown	AID-486-C011-00004	141,551
Kyrgyz Republic Transition Initiative	Eurasia Foundation	98.001	W13-1002	2,950
US-China Partnership for Climate Action	Engility	98.001	AID-OAA-TO-10-00019	7,854
Collaboration on Biodiversity Analysis and Technical Support	Institute for Sustainable Communities	98.001	486-A-09-00007	377,502
	The Nature Conservancy	98.001	RLA-A-00-07-0043	105,204
Total for U.S. Agency for International Development				<u>3,117,303</u>
<b>U.S. Environmental Protection Agency:</b>				
Surveys, Studies, Research, Investigations, Demonstrations, Targeted Watershed Initiative	n/a	66.439	1810-330	<u>4,771</u>
Total for U.S. Environmental Protection Agency				<u>4,771</u>
<b>U.S. Department of Agriculture</b>				
Environmental Quality Incentives Program	Chesapeake Bay Foundation	N/A	69-3475-12-209	36,649
Environmental Quality Incentives Program	n/a	10.912	69-3A75-10-144	145,175
Forest and Landscape Restoration Opportunities Assessment	n/a	10.912	69-3A75-11-224	152,361
Senegal Retrospective Study	n/a	10.91	11-DG-11132762-271	18,112
Complementary Conservation: Exploring the intersection of Conservation Programs, Ecosystem Services and Water Quality Markets	n/a	10.Unkown	12-DG-11132762-439	79,200
		10.Unkown	58-0111-12-007	<u>60,000</u>
				<u>491,497</u>
<b>U.S. Dept. of Energy</b>				
EC-LEDS	Alliance for Sustainable Energy	81.Unknown	LXL-2-22131-01	121,249
EC-LEDS	Alliance for Sustainable Energy	81.Unknown	LXL-3-23251-01	11,510
Building Sustainable, enlrgy Effiecient and Connected Communities in India	n/a	81.117	DE-EE0006096/000	77,008
US-China - Advanced coal tech collaboration	West Virginia University Research Corporation	81.087	10-733-WRI	<u>116,061</u>
				<u>325,828</u>
<b>U.S. Department of State</b>				
Building an online observation platform for the independent monitor of the Forest Law and Enforcement Governance and Trade FLEGT Project	n/a	19.unknown	S-CF200-12-GR-004	<u>39,508</u>
				<u>39,508</u>
<b>Total Federal Expenditures</b>				<u>\$ 3,978,907</u>

Enclosure 4

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False Statements Act Certification

You are specifically advised that providing false information to this Committee/Subcommittee, or concealing material information from this Committee/Subcommittee, is a crime, and you can be punished for that. If you acknowledge this, please sign the bottom of this form and return to the Committee. This form will be made part of the hearing record.

  
\_\_\_\_\_  
Witness signature

11/30/2015  
\_\_\_\_\_  
Date