

Truth in Testimony Disclosure Form

In accordance with Rule XI, clause 2(g)(5)* of the *Rules of the House of Representatives*, witnesses are asked to disclose the following information. Please complete this form electronically by filling in the provided blanks.

Committee: _____

Subcommittee: _____

Hearing Date: _____

Hearing :

Witness Name: _____

Position/Title: _____

Witness Type: Governmental Non-governmental

Are you representing yourself or an organization? Self Organization

If you are representing an organization, please list what entity or entities you are representing:

FOR WITNESSES APPEARING IN A NON-GOVERNMENTAL CAPACITY

Please complete the following fields. If necessary, attach additional sheet(s) to provide more information.

Are you a fiduciary—including, but not limited to, a director, officer, advisor, or resident agent—of any organization or entity that has an interest in the subject matter of the hearing? If so, please list the name of the organization(s) or entities.

Please list any federal grants or contracts (including subgrants or subcontracts) related to the hearing's subject matter that you, the organization(s) you represent, or entities for which you serve as a fiduciary have received in the past thirty-six months from the date of the hearing. Include the source and amount of each grant or contract.

Please list any contracts, grants, or payments originating with a foreign government and related to the hearing's subject that you, the organization(s) you represent, or entities for which you serve as a fiduciary have received in the past thirty-six months from the date of the hearing. Include the amount and country of origin of each contract or payment.

Please complete the following fields. If necessary, attach additional sheet(s) to provide more information.

- I have attached a written statement of proposed testimony.
- I have attached my curriculum vitae or biography.

* Rule XI, clause 2(g)(5), of the U.S. House of Representatives provides:

(5)(A) Each committee shall, to the greatest extent practicable, require witnesses who appear before it to submit in advance written statements of proposed testimony and to limit their initial presentations to the committee to brief summaries thereof.

(B) In the case of a witness appearing in a non-governmental capacity, a written statement of proposed testimony shall include— (i) a curriculum vitae; (ii) a disclosure of any Federal grants or contracts, or contracts, grants, or payments originating with a foreign government, received during the past 36 months by the witness or by an entity represented by the witness and related to the subject matter of the hearing; and (iii) a disclosure of whether the witness is a fiduciary (including, but not limited to, a director, officer, advisor, or resident agent) of any organization or entity that has an interest in the subject matter of the hearing.

(C) The disclosure referred to in subdivision (B)(iii) shall include— (i) the amount and source of each Federal grant (or subgrant thereof) or contract (or subcontract thereof) related to the subject matter of the hearing; and (ii) the amount and country of origin of any payment or contract related to the subject matter of the hearing originating with a foreign government.

(D) Such statements, with appropriate redactions to protect the privacy or security of the witness, shall be made publicly available in electronic form 24 hours before the witness appears to the extent practicable, but not later than one day after the witness appears.

False Statements Certification

Knowingly providing material false information to this committee/subcommittee, or knowingly concealing material information from this committee/subcommittee, is a crime (18 U.S.C. § 1001). This form will be made part of the hearing record.

Robert E. James, II

Witness signature

Date

All

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Number of records

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AMIS Number	Allocatee Name	NMTC Program Control Number	Program Year	Status
NMTCAward-000050	Carver Financial Corporation	17NMA002357 \$30,000,000	2017	Active
NMTCAward-001244	Carver Financial Corporation	19NMA002357 \$50,000,000	2019	Active

All

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Number of records

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Award Name	Organization	Award Control Number	Award Year	Award Program	Award Amount \$	Award Status
AWD-00002863	Carver Financial Corporation	131FA011385	2013	CDFI-FA	\$600,000.00	Closed Out
AWD-00002864	Carver Financial Corporation	141FA012681	2014	CDFI-FA	\$306,000.00	Closed Out
AWD-00002865	Carver Financial Corporation	151FA013620	2015	CDFI-FA	\$150,000.00	Closed Out
AWD-00006318	Carver Financial Corporation	171FA021802	2017	CDFI-FA	\$580,405.00	Executed
AWD-00007145	Carver Financial Corporation	181FA023105	2018	CDFI-FA	\$300,000.00	Executed
AWD-00008082	Carver Financial Corporation	191FA051154	2019	CDFI-FA	\$424,000.00	Executed
AWD-00008645	Carver Financial Corporation	201FA054570	2020	CDFI-FA	\$500,000.00	Executed

All

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Number of records

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Award Name	Organization	Award Control Number	Award Year	Award Program	Award Amount \$	Award Status
AWD-00000432	Carver State Bank	091BE008180	2009	BEA	\$297,353.00	Closed Out
AWD-00000433	Carver State Bank	111BE010475	2011	BEA	\$500,000.00	Closed Out
AWD-00000434	Carver State Bank	121BE011113	2012	BEA	\$415,000.00	Closed Out
AWD-00000435	Carver State Bank	131BE012387	2013	BEA	\$323,000.00	Closed Out
AWD-00000436	Carver State Bank	141BE013123	2014	BEA	\$38,107.00	Closed Out
AWD-00000437	Carver State Bank	151BE013992	2015	BEA	\$265,496.00	Closed Out
AWD-00000438	Carver State Bank	071BE007253	2007	BEA	\$188,127.00	Closed Out
AWD-00000439	Carver State Bank	041BE005071	2004	BEA	\$187,980.00	Closed Out
AWD-00000440	Carver State Bank	011BE003008	2001	BEA	\$330,000.00	Closed Out
AWD-00000441	Carver State Bank	061BE006845	2006	BEA	\$385,304.00	Closed Out
AWD-00005775	Carver State Bank	161BE021035	2016	BEA	\$227,282.00	Closed Out
AWD-00006684	Carver State Bank	171BE022536	2017	BEA	\$233,387.00	Closed Out
AWD-00007341	Carver State Bank	181BE023434	2018	BEA	\$233,244.00	Closed Out
AWD-00007570	Carver State Bank	191BE023587	2019	BEA	\$188,933.00	Executed
AWD-00008544	Carver State Bank	201BE023738	2020	BEA	\$202,898.00	Executed