

## Truth in Testimony Disclosure Form

In accordance with Rule XI, clause 2(g)(5)\* of the *Rules of the House of Representatives*, witnesses are asked to disclose the following information. Please complete this form electronically by filling in the provided blanks.

Committee: Small Business

Subcommittee: \_\_\_\_\_

Hearing Date: 05/13/2021

Hearing Title :

Overview of the Small Business Innovation Research and Small Business Technology Transfer Programs

Witness Name: Pat Keady

Position/Title: CEO/President

Witness Type:  Governmental  Non-governmental

Are you representing yourself or an organization?  Self  Organization

If you are representing an organization, please list what entity or entities you are representing:

I am representing a small business I co-founded - Aerosol Devices Inc - a women-owned C-corporation founded in 2014 in the State of Colorado.

### **FOR WITNESSES APPEARING IN A NON-GOVERNMENTAL CAPACITY**

Please complete the following fields. If necessary, attach additional sheet(s) to provide more information.

Are you a fiduciary—including, but not limited to, a director, officer, advisor, or resident agent—of any organization or entity that has an interest in the subject matter of the hearing? If so, please list the name of the organization(s) or entities.

Aerosol Devices Inc

**Please list any federal grants or contracts (including subgrants or subcontracts) related to the hearing's subject matter that you, the organization(s) you represent, or entities for which you serve as a fiduciary have received in the past thirty-six months from the date of the hearing. Include the source and amount of each grant or contract.**

Award 1721940	NSF STTR Ph I	\$225K
Award DE-SC0018462	DOE STTR Ph I	\$180K
Award 1R41A114047-01A1	NIH STTR Ph I	\$278K
Award DE-SC0018462	DOE STTR Ph II	\$1,150K
Award 1853240; Ammend- 001	NSF STTR Ph II + TECP	\$898K
Award 2027696; Ammend- 001	NSF SBIR Ph I + I-Corp	\$281K
Award 1R42DE030832-01	NIH RADx SBIR Ph I	\$254K
Award SP4701-21-C-0021	DoD/DLA SBIR Direct to Ph II	\$1,000K with Option for \$600K
Award 70RWMD21C00000009	DHS SBIR Ph I	\$156.5K
Subaward for award R44ES025468	NIH SBIR Ph II from prime awardee Aerosol Dynamics Inc	\$140K
Subaward for award DE-SC0018474	DOE SBIR Ph II from prime awardee Handix Scientific	\$9K

**Please list any contracts, grants, or payments originating with a foreign government and related to the hearing's subject that you, the organization(s) you represent, or entities for which you serve as a fiduciary have received in the past thirty-six months from the date of the hearing. Include the amount and country of origin of each contract or payment.**

None

**Please complete the following fields. If necessary, attach additional sheet(s) to provide more information.**

- I have attached a written statement of proposed testimony.
- I have attached my curriculum vitae or biography.

\* Rule XI, clause 2(g)(5), of the U.S. House of Representatives provides:

(5)(A) Each committee shall, to the greatest extent practicable, require witnesses who appear before it to submit in advance written statements of proposed testimony and to limit their initial presentations to the committee to brief summaries thereof.

(B) In the case of a witness appearing in a non-governmental capacity, a written statement of proposed testimony shall include— (i) a curriculum vitae; (ii) a disclosure of any Federal grants or contracts, or contracts, grants, or payments originating with a foreign government, received during the past 36 months by the witness or by an entity represented by the witness and related to the subject matter of the hearing; and (iii) a disclosure of whether the witness is a fiduciary (including, but not limited to, a director, officer, advisor, or resident agent) of any organization or entity that has an interest in the subject matter of the hearing.

(C) The disclosure referred to in subdivision (B)(iii) shall include— (i) the amount and source of each Federal grant (or subgrant thereof) or contract (or subcontract thereof) related to the subject matter of the hearing; and (ii) the amount and country of origin of any payment or contract related to the subject matter of the hearing originating with a foreign government.

(D) Such statements, with appropriate redactions to protect the privacy or security of the witness, shall be made publicly available in electronic form 24 hours before the witness appears to the extent practicable, but not later than one day after the witness appears.

**False Statements Certification**

Knowingly providing material false information to this committee/subcommittee, or knowingly concealing material information from this committee/subcommittee, is a crime (18 U.S.C. § 1001). This form will be made part of the hearing record.

*Pat Keady*  
\_\_\_\_\_  
Witness signature

5/11/21  
\_\_\_\_\_  
Date