

United States House of Representatives  
Committee on the Judiciary  
Bob Goodlatte, Chairman

"Truth in Testimony" Disclosure Form

Clause 2(g)(5) of Rule XI of the Rules of the House of Representatives require the disclosure of the following information by witnesses appearing in a nongovernmental capacity.

Hearing: Assessing the Effectiveness of the Transitional Program for Covered Business Method Patents  
Date: March 20, 2018

1. Name:  
David Hale

2. Entity(ies) you are representing:  
TD Ameritrade Holding Corporation

3.

4. Have you received any Federal grants or contracts (including any subgrants and subcontracts), or contracts or payments originating with a foreign government, during the current fiscal year or either of the two preceding fiscal years that are relevant to the subject matter on which you have been invited to testify?  YES  NO

5. Have any of the entities that you are representing received any Federal grants or contracts (including any subgrants or subcontracts) during the current fiscal year or either of the two preceding fiscal years that are relevant to the subject matter on which you have been invited to testify?

YES  NO

6. If you answered "yes" to either item 4 or 5, please provide the following:  
a) list the source (by agency and program) and amount of each Federal grant, subgrant, contract, or subcontract related to the subject matter of the hearing, and indicate whether the recipient of such grant was you or the entity(ies) you are representing; and  
b) list the amount and country of origin of any payment or contract related to the subject matter of the hearing originating with a foreign government, and indicate whether the recipient of such grant was you or the entity(ies) you are representing.  
(Please use additional sheets if necessary.)

7. Signature:

*David Hale*

Date:

3/15/2018