OGE Form 278e (Updated 08/2024) (Expires 08/31/2027)
U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001)

Report Type: New Entrant Report
Year (Annual Report only):
Date of Appointment: 09/2025
Date of Termination:
Appointment Type: Non-Career

Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Verheyen, Kenneth

Senior Advisor, Department of Agriculture

Date of Appointment: 09/08/2025

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Verheyen, Kenneth [electronically signed on 01/06/2026 by Verheyen, Kenneth in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

UC #1

/s/ Tobin, Andrew, Certifying Official [electronically signed on 03/16/2026 by Tobin, Andrew in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised

Data Revised

Data Revised

Data Revised

Data Revised

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Astro Pak Corporation		Costa Mesa, California	Corporation	CEO	10/1983	1/2025
2	JDL Securities		Newport Beach, California	Corporation	Board Member	1/2012	2/2026
3	Homeword		San Juan Capistrano, California	Non-Profit	Director	1/2010	Present
4	Sawtooth Conservation and Recreation Alliance		Stanley, Idaho	Non-Profit	Director	1/2025	9/2025
5	Family Irrevocable Trust #1	See Endnote	Newport Beach, California	Trust	Trustee	1/2001	Present
6	Family Irrevocable Trust #2	See Endnote	Newport Beach, California	Trust	Trustee	1/2001	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	JDL Securities (Securities advisor)	N/A		Director Fees	$4,000
2	Astro Pak Corporation (Precision Chemical Cleaning)	N/A		Salary 2024	$274,024
2.1	Astro Pak Corporation			Salary 2025	$41,596
3	Alliance IRA	No			
3.1	Capitol Group Divident Grower ETF	Yes	$100,001 - $250,000		$5,001 - $15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Capital Group SMA- Equities				
4.1	Abbott Laboratories	No	$100,001 - $250,000	Dividends	$201 - $1,000
4.2	Alphabet Inc A	No	$100,001 - $250,000		None (or less than $201)
4.3	Amazon.com Inc	No	$50,001 - $100,000		None (or less than $201)
4.4	Amgen Inc	No	$100,001 - $250,000	Dividends	$1,001 - $2,500
4.5	Apple Inc	No	$100,001 - $250,000	Dividends	$201 - $1,000
4.6	Arthur Gallagher & Co.	No	$50,001 - $100,000	Dividends	None (or less than $201)
4.7	Asml Hldgs NV	No	$100,001 - $250,000	Dividends	$201 - $1,000
4.8	Astrazeneca Plc	No	$50,001 - $100,000	Dividends	$201 - $1,000
4.9	BankAmerica Corp New	No	$100,001 - $250,000	Dividends	$201 - $1,000
4.10	BlackRock	No	$50,001 - $100,000	Dividends	$201 - $1,000
4.11	Boeing Co	No	$50,001 - $100,000		None (or less than $201)
4.12	British Amern Tobacco ADR	No	$100,001 - $250,000	Dividends	$1,001 - $2,500
4.13	Broadcom Ltd	No	$500,001 - $1,000,000	Dividends	$1,001 - $2,500
4.14	Canadian Natural Res Ltd	No	$50,001 - $100,000	Dividends	$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.15	Capital One Finl Corp	No	$100,001 - $250,000	Dividends	$201 - $1,000
4.16	Caterpiller Inc	No	$100,001 - $250,000	Dividends	$201 - $1,000
4.17	Chubb Limited	No	$50,001 - $100,000	Dividends	$201 - $1,000
4.18	Citizens Finl Group	No	$50,001 - $100,000	Dividends	$201 - $1,000
4.19	Comcast Corp	No	$100,001 - $250,000	Dividends	$1,001 - $2,500
4.20	Constellation Brands Inc	No	$50,001 - $100,000		None (or less than $201)
4.21	Constellation Energy Co	No	$100,001 - $250,000		None (or less than $201)
4.22	CVS Health Corporation	No	$100,001 - $250,000	Dividends	$201 - $1,000
4.23	D.R. Horton Inc	No	$50,001 - $100,000	Dividends	$201 - $1,000
4.24	Danaher Corp	No	$50,001 - $100,000	Dividends	None (or less than $201)
4.25	Darden Restaurants Inc.	No	$50,001 - $100,000	Dividends	$201 - $1,000
4.26	Deere & Co	No	$50,001 - $100,000	Dividends	None (or less than $201)
4.27	Deutsche Post AG	No	$50,001 - $100,000		None (or less than $201)
4.28	Elevance Heath Inc	No	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.29	EOG Resources Inc	No	$100,001 - $250,000	Dividends	$1,001 - $2,500
4.30	Exxon Mobil Corp	No	$50,001 - $100,000	Dividends	$201 - $1,000
4.31	General Electric Co	No	$100,001 - $250,000		None (or less than $201)
4.32	Gilead Sciences Inc	No	$100,001 - $250,000	Dividends	$201 - $1,000
4.33	Home Depot	No	$100,001 - $250,000	Dividends	$201 - $1,000
4.34	J P Morgan Chase & Co	No	$100,001 - $250,000	Dividends	$1,001 - $2,500
4.35	Keurig Dr Pepper Inc	No	$50,001 - $100,000	Dividends	$201 - $1,000
4.36	KLA-Tencor Corp	No	$100,001 - $250,000	Dividends	$201 - $1,000
4.37	Kohlberg Kravis Roberts & Co	No	$50,001 - $100,000		None (or less than $201)
4.38	Lilly Eli & Co	No	$100,001 - $250,000		None (or less than $201)
4.39	LindePLC	No	$100,001 - $250,000		$201 - $1,000
4.40	March & McLennan Cos Inc	No	$100,001 - $250,000	Dividends	$201 - $1,000
4.41	Mastercard Inc	No	$100,001 - $250,000	Dividends	$201 - $1,000
4.42	Meta Platforms Inc.	No	$100,001 - $250,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.43	Microsoft Corp	No	$500,001 - $1,000,000	Dividends	$1,001 - $2,500
4.44	Northrop Grumman Corp	No	$100,001 - $250,000	Dividends	$201 - $1,000
4.45	Nvidia Corp	No	$100,001 - $250,000		None (or less than $201)
4.46	Paychex Inc	No	$50,001 - $100,000	Dividends	$201 - $1,000
4.47	Phillip Morris	No	$250,001 - $500,000	Dividends	$2,501 - $5,000
4.48	Raytheon Technologies Co	No	$100,001 - $250,000		None (or less than $201)
4.49	Royal Caribbean Cruises Ltd.	No	$100,001 - $250,000	Dividends	$201 - $1,000
4.50	Sempra Energy	No	$50,001 - $100,000	Dividends	$201 - $1,000
4.51	Southern Company	No	$50,001 - $100,000	Dividends	$201 - $1,000
4.52	Starbucks Corp	No	$50,001 - $100,000	Dividends	$201 - $1,000
4.53	The Blackstone Group LP	No	$50,001 - $100,000	Dividends	$201 - $1,000
4.54	Truist Finl Corp	No	$100,001 - $250,000	Dividends	$1,001 - $2,500
4.55	UnitedHealth Group Inc	No	$100,001 - $250,000	Dividends	$1,001 - $2,500
4.56	Visa Inc.	No	$100,001 - $250,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.57	Wells Fargo & Co	No	$100,001 - $250,000	Dividends	$201 - $1,000
4.58	Welltower Inc	No	$100,001 - $250,000	Dividends	$201 - $1,000
4.59	Yum! Brands Inc	No	$50,001 - $100,000	Dividends	$201 - $1,000
4.60	Cash	N/A	$250,001 - $500,000		None (or less than $201)
5	PIMCO SMA- Fixed Income				
5.1	Alameda Calif Healt	N/A	$15,001 - $50,000	Interest	$201 - $1,000
5.2	Belmont-Redwood Sho	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.3	California Edl Facs	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.4	California Health F	N/A	$100,001 - $250,000	Interest	$1,001 - $2,500
5.5	California Health F	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.6	California Health F	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.7	California Mun	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.8	California Mun Fin	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.9	California Mun Fin	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.10	California Pub Fin	N/A	$50,001 - $100,000	Interest	None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.11	California St	N/A	$250,001 - $500,000	Interest	$1,001 - $2,500
5.12	California St Enter	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.13	California St Pub W	N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
5.14	California St Pub W	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.15	California St Pub W	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.16	California St Un	N/A	$100,001 - $250,000	Interest	$2,501 - $5,000
5.17	California St Univ	N/A	$100,001 - $250,000	Interest	$2,501 - $5,000
5.18	California Statewid	N/A	$15,001 - $50,000	Interest	$201 - $1,000
5.19	Central Uni Sch	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.20	Central Uni Sch Dis	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.21	Central Uni Sch Dis	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.22	Cosumnes Cmnty Svcs	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.23	Cotati-Rohnert Pk C	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.24	Cotati-Rohnert Pk C	N/A	$15,001 - $50,000	Interest	None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.25	Delano Calif Un Ele	N/A	$15,001 - $50,000	Interest	None (or less than $201)
5.26	Earlimart Calif Ele	N/A	$15,001 - $50,000	Interest	None (or less than $201)
5.27	Encinitas Calif Un	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.28	Firebaugh-Las Delta	N/A	$100,001 - $250,000	Interest	None (or less than $201)
5.29	Hartnell Calif Cm	N/A	$100,001 - $250,000	Interest	None (or less than $201)
5.30	Hesperia Ca Usd Cop	N/A	$15,001 - $50,000	Interest	None (or less than $201)
5.31	Los Angeles CA 5/15/2045	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.32	Los Angeles Cali	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
5.33	Los Angeles Calif D	N/A	$15,001 - $50,000	Interest	$201 - $1,000
5.34	Los Angeles Calif D	N/A	$15,001 - $50,000	Interest	$201 - $1,000
5.35	Los Angeles Calif U	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
5.36	Los Angeles Califor	N/A	$15,001 - $50,000	Interest	$201 - $1,000
5.37	Los Angeles County	N/A	$100,001 - $250,000	Interest	$1,001 - $2,500
5.38	Modesto Ca Hsd	N/A	$100,001 - $250,000	Interest	None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.39	Napa Vy Calif Un	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.40	New Haven Calif Uni	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.41	Newark Calif Uni	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.42	Old Adobe Calif Un	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.43	Ontario Calif Pub F	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.44	Oxnard Calif Sch Di	N/A	$100,001 - $250,000	Interest	None (or less than $201)
5.45	Peninsula Corridor	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.46	Pittsburg Calif Uni	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.47	Pittsburg Calif Uni	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.48	Ravenswood Calif Ci	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.49	Ravenswood Calif Ci	N/A	$15,001 - $50,000	Interest	None (or less than $201)
5.50	Redwoods Calif C	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.51	Riverside Pub Fi	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.52	Riverside Pub Fin	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.53	San Diego Ca Arpt	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.54	San Diego Calif Pub	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.55	San Diego Cnty Cali	N/A	$100,001 - $250,000	Interest	$1,001 - $2,500
5.56	San Diego Cnty Cali	N/A	$50,001 - $100,000	Interest	$2,501 - $5,000
5.57	San Fran Calif City	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.58	San Fran Calif City	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.59	San Fran Calif City	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.60	San Fran Calif City	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.61	San Jose Calif Arpt	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.62	San Leandro Calif P	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.63	Sanger Calif Uni Sc	N/A	$100,001 - $250,000	Interest	$2,501 - $5,000
5.64	Scotts Valley Ca	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.65	Snowline Calif JT	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.66	Southern Calif Wtr	N/A	$15,001 - $50,000	Interest	None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.67	Stanislaus Calif Un	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.68	Stockton Calif Uni	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.69	Tracy Calif Pub Fin	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.70	Univ Calif Regts Me	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.71	Univ Calif Regts Me	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.72	University Calif Re	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.73	University Calif Re	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.74	University Calif Re	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.75	University Californ	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.76	Waterford Calif Uni	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.77	Woodland Calif JT U	N/A	$50,001 - $100,000	Interest	None (or less than $201)
5.78	US Treasury Bill25	N/A	$1,001 - $15,000	Interest	None (or less than $201)
5.79	Cash	No	$1,001 - $15,000	Interest	None (or less than $201)
6	ETFs				
6.1	Cap Group Core Balanced ETF	Yes	$1,000,001 - $5,000,000		$15,001 - $50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.2	Cap Group Core Plus Inc ETF	Yes	$250,001 - $500,000		$2,501 - $5,000
6.3	Cap Group Div Growers ETF	Yes	$1,000,001 - $5,000,000		$5,001 - $15,000
6.4	Cap Group Dividend Value ETF	Yes	$250,001 - $500,000		$2,501 - $5,000
6.5	Cap Group Global Growth ETF	Yes	$1,000,001 - $5,000,000		$1,001 - $2,500
6.6	Cap Group Growth ETF	Yes	$1,000,001 - $5,000,000		$1,001 - $2,500
6.7	Cap Group Int'l Core Eq ETF	Yes	$250,001 - $500,000		$1,001 - $2,500
6.8	Cap Group Int'l Focus ETF	Yes	$100,001 - $250,000		$1,001 - $2,500
6.9	Cap Group Multi-Sector Inc ETF	Yes	$100,001 - $250,000		$1,001 - $2,500
6.10	Cap Group Muni Hi-Inc ETF	Yes	$1,000,001 - $5,000,000		$5,001 - $15,000
6.11	Cap Group Municipal ETF	Yes	$1,000,001 - $5,000,000		$5,001 - $15,000
6.12	Cap Group New Geography ETF	Yes	$500,001 - $1,000,000		$2,501 - $5,000
6.13	Cap Group US SMID Cap ETF	Yes	$250,001 - $500,000		$1,001 - $2,500
6.14	Dim Int'l Core Equity Mkt ETF	Yes	$500,001 - $1,000,000		$5,001 - $15,000
6.15	DIM US Targeted Value ETF	Yes	$100,001 - $250,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.16	FT SMID Cap Rising Div ETF	Yes	$250,001 - $500,000		None (or less than $201)
6.17	Invesco S&P Midcap 400	Yes	$500,001 - $1,000,000		$2,501 - $5,000
6.18	iShares MSCI ACWI ETF	Yes	$250,001 - $500,000		None (or less than $201)
6.19	Schwab Emerging Markets ETF	Yes	$500,001 - $1,000,000		$15,001 - $50,000
6.20	Schwab U.S. Large-Cap Growth ETF	Yes	$1,000,001 - $5,000,000		$1,001 - $2,500
6.21	Schwab US Small Cap ETF	Yes	$100,001 - $250,000		$201 - $1,000
6.22	Vanguard S&P 500 Growth ETF	Yes	$500,001 - $1,000,000		$201 - $1,000
6.23	Vanguard Tax-Ex Bond Index	Yes	$500,001 - $1,000,000		$1,001 - $2,500
7	Mutual Funds				
7.1	American Global Balanced Fd - F3	Yes	$100,001 - $250,000		$5,001 - $15,000
7.2	American High-Income Muni - F3	Yes	$100,001 - $250,000		$201 - $1,000
7.3	American Mutual Fund - F3	Yes	$50,001 - $100,000		$201 - $1,000
7.4	Avantis Emerging Markets Equity E	Yes	$500,001 - $1,000,000		None (or less than $201)
7.5	Capital Income Builder - F3	Yes	$1,000,001 - $5,000,000		None (or less than $201)
7.6	Capital World Gr & Inc - F3	Yes	$500,001 - $1,000,000		$50,001 - $100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.7	Fidelity Strategic Div & Inc.	Yes	$50,001 - $100,000		$2,501 - $5,000
7.8	Franklin DynaTech - Adv	Yes	$100,001 - $250,000		$2,501 - $5,000
7.9	Fundamental Investors - F3	Yes	$250,001 - $500,000		$201 - $1,000
7.10	Growth Fund of America - F3	Yes	$500,001 - $1,000,000		$50,001 - $100,000
7.11	Income Fund America - F3	Yes	$1,000,001 - $5,000,000		$100,001 - $1,000,000
7.12	International Growth & Income - F	Yes	$15,001 - $50,000		$1,001 - $2,500
7.13	Investment Co of America - F3	Yes	$100,001 - $250,000		None (or less than $201)
7.14	iShares MSCI EAFE Value ETF	Yes	$500,001 - $1,000,000		$15,001 - $50,000
7.15	New Economy Fund - F3	Yes	$250,001 - $500,000		$15,001 - $50,000
7.16	New Perspective Fund - F3	Yes	$250,001 - $500,000		$15,001 - $50,000
7.17	New World Fund - F3	Yes	$100,001 - $250,000		$5,001 - $15,000
7.18	Schwab Treas Obl Money Mkt	Yes	$1,000,001 - $5,000,000		$2,501 - $5,000
7.19	Smallcap World Fund - F3	Yes	$100,001 - $250,000		$5,001 - $15,000
7.20	Tax-Exempt Fund of California - F	Yes	$100,001 - $250,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.21	Vanguard Small-Cap Value ETF	Yes	$250,001 - $500,000		$1,001 - $2,500
7.22	Washington Mutual Invs Fd - F3	Yes	$250,001 - $500,000		$1,001 - $2,500
7.23	Cash	No	$250,001 - $500,000		None (or less than $201)
7.24	Schwab Ca Muni Money Mkt	Yes	$5,000,001 - $25,000,000		$50,001 - $100,000
8	IRA - ETF				
8.1	Cap Group Div Growers ETF	Yes	$100,001 - $250,000		$1,001 - $2,500
8.2	Cap Group Dividend Value ETF	Yes	$15,001 - $50,000		$201 - $1,000
8.3	Cap Group Growth ETF	Yes	$100,001 - $250,000		None (or less than $201)
8.4	Cap Group New Geography ETF	Yes	$100,001 - $250,000		$201 - $1,000
8.5	Cap Group US SMID Cap ETF	Yes	$100,001 - $250,000		$201 - $1,000
8.6	FT Developed Mkts Ex US ETF	Yes	$100,001 - $250,000		$2,501 - $5,000
8.7	FT SMID Cap Rising Div ETF	Yes	$100,001 - $250,000		$2,501 - $5,000
8.8	iShares MSCI ACWI ETF	Yes	$100,001 - $250,000		$1,001 - $2,500
8.9	Schwab Emerging Markets ETF	Yes	$100,001 - $250,000		$2,501 - $5,000
8.10	Schwab U.S. Large-Cap Value ETF	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.11	Vanguard S&P 500 Growth ETF	Yes	$100,001 - $250,000		None (or less than $201)
9	IRA - Mutual Fund				
9.1	Fidelity Contrafund	Yes	$100,001 - $250,000		$2,501 - $5,000
9.2	Fidelity Global Equity I	Yes	$100,001 - $250,000		$2,501 - $5,000
9.3	JPMorgan Large Cap Growth Fund I	Yes	$100,001 - $250,000		$5,001 - $15,000
9.4	Schwab Value Adv Money - I	Yes	$250,001 - $500,000		$15,001 - $50,000
9.5	Cash	No	$1,001 - $15,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Astro Pak Corporation	Costa Mesa, California	I no longer participate in this defined contribution plan.	10/1983

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Astro Pak Corporation	Costa Mesa, California	Services as CEO

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Project Apollo Acquisition Holding Company	No			
1.1	Schwab Treasury Obligations Money Fund Ultra Shares (SCOXX)	Yes	$25,000,001 - $50,000,000		$100,001 - $1,000,000
2	Commercial Real Estate	No			
2.1	Rental Property # 1 [Office Building]- Costa Mesa, CA	No	$1,000,001 - $5,000,000	Rent or Royalties	$100,001 - $1,000,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo Bank	Mortgage (investment/rental property)	$1,000,001 - $5,000,000	2018	4.3%	10 year Term

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	5	I am a Trustee, but not a beneficiary of this Irrevocable Family Trust. This is an unpaid Trustee position.
1.	6	I am a Trustee, but not a beneficiary of this Irrevocable Family Trust. This is an unpaid Trustee position.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C.§ 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).