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WORK EXPERIENCE

July 1994-Hillhouse Professor of Environmental Law and Policy (Associate Prof. to 2001) Present Yale School of Forestry and Environmental Studies with joint appointment at Yale Law School (and secondary appointment at Yale School of Management), New Haven, CT -- teaching Environmental Law and Policy, Climate Change and Clean Energy, Trade Law in a Globalizing World, Corporate Environmental Management and Strategy, and Sustainability: Energy, Environment, and the Economy in the 21st Century; research focused on environment and innovation, trade, competitiveness, corporate sustainability strategy, global governance, regulatory reform, Information Age environmental protection, and environmental performance measurement; author or editor of 12 books and more than 100 journal articles including the prizewinning book Green to Gold: How Smart Companies Use Environmental Strategy to Innovate, Create Value, and Build Competitive Advantage

- Jan. 2015- STAR Visiting Professor Dec. 2016 EGADE Business School (Mexico City) - lectured on corporate sustainability and advised doctoral students on their research
- July 1994 Director
 Present
 Yale Center for Environmental Law and Policy, New Haven, CT (www.yale.edu/envirocenter)
 - directing an environmental policy research program and coordinating student environmental projects – including the widely-cited *Environmental Performance Index*, which represents one of the first efforts to bring Big Data to bear in the environmental realm
- March 2011- Commissioner, Connecticut Department of Energy and Environmental Protection,
 Feb. 2014 Hartford, CT

 led Governor Dan Malloy's effort to transform state government in the energy and environmental realms with a focus on shifting away from "command and control" regulation to "lighter" market-based approaches that spurred innovation

by creating incentives to engage the private sector in developing clean energy and clean technology - including first-in-the-nation "Green Bank" 2006-2011 Chairman Esty Environmental Partners (New Haven, Boston, and Washington, D.C.) -advised corporations, foundations, and other organizations on energy, environment, and sustainability strategy -- growing to a team of 15 supporting clients around the world, including Aloca, American Eagle, Boeing, Coca-Cola, Delhaize, Disney, Dow, Hanes Brands, IBM, Ikea, Nokia, State Farm, Timex, Unilever, Waste Management, Xerox, and Verizon March 2007- Advisor January 2009 Obama for President Campaign - served as an advisor on energy and environmental issues, candidate surrogate for speeches and debates, and member of the 2008 Presidential Transition Team July 2001-Director July 2007 Yale World Fellows Program, Yale University, New Haven, CT - led initiative to bring 18 emerging world leaders to Yale each year for a special leadership training program as part of broad effort to internationalize Yale July 1998-Associate Dean 2002 Yale School of Forestry and Environmental Studies, New Haven, CT - directed academic affairs and supported the Dean with responsibilities for curriculum development, strategic planning, fundraising, and program design August 2000- Visiting Professor July 2001 INSEAD, Fontainebleau, France - did research and wrote on environmental protection in the Information Age, environmental performance measurement, and global environmental governance; taught "Trade and the Environment" and "Environmental Management and Strategic Advantage" Jan. 1993-Senior Fellow (Visiting Fellow to December 1993) Peterson Institute for International Economics, Washington, D.C. July 1994 - wrote on trade, economic development, competitiveness, and environment 1991-93 Deputy Assistant Administrator for Policy U.S. Environmental Protection Agency, Washington, D.C. - managed 260-person policy office with responsibility for EPA strategic planning and review of all EPA regulatory and legislative initiatives including climate change, Clean Water Act reauthorization, energy and environment linkages, pesticide regulation reform, and trade and environment 1990-91 Deputy Chief of Staff U.S. Environmental Protection Agency, Washington, D.C.

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served on the personal staff of the EPA Administrator with responsibility for policy coordination and issue development across the spectrum of EPA concerns including the 1990 Clean Air Act, regulatory reform, enforcement, the NAFTA negotiations, World Bank Global Environmental Facility restructuring, and Rio Earth Summit preparations
 Special Assistant to EPA Administrator William Reilly U.S. Environmental Protection Agency, Washington, D.C.
 worked on a broad range of environmental matters including policy reviews, political and press strategies, speeches, special projects, crises (e.g., Exxon Valdez oil spill), and inter-agency coordination

1986-89 Attorney
 Arnold & Porter, Washington, D.C.
 – practiced corporate, trade, administrative, and environmental law

ADDITIONAL ACTIVITIES

Transnational Environmental Law (Advisory Board member)

Energy Future Coalition (Executive Committee Member) -advise this Washington-based policy group

Resources for the Future (Trustee) -serve as a board member of this Washington-based think tank

The Nature Conservancy of Connecticut (Trustee) -serve on the board of this New Haven-based environmental NGO

World Economic Forum (Forum Fellow) -help to shape environmental program at Forum's Davos Annual Meeting -chair, Benchmarking Progress Global Agenda Council

Council on Foreign Relations (Member) -participate in a variety of study groups including Science & Technology, Environment & Development, and Energy & Environment

Journal of International Economic Law (Editor) -review and advice on article submissions

PAST ACTIVITIES

Sustainability Advisory Board Member, Unilever (London and Rotterdam) Public Policy Advisory Board Member, The Coca-Cola Company (Atlanta, GA) Board Member, Connecticut Fund for the Environment (New Haven, CT) Board Member, Nava Waters, Inc. (Montreal, Canada) Board Member, TechTurn (Austin, TX) Board Member, Sustainable Technology Capital (Stockholm, Sweden) Board Member, American Farmland Trust (Washington, DC) Member U.S. Trade Representative Trade and Environment Public Advisory Committee (Washington, DC) (Co-Chair 2001-03) Board Member, Ion Optics (Waltham, MA) Founder and Board Member, Global Environment and Trade Study (GETS) Elected Planning and Zoning Commissioner (Cheshire, CT) (1995-1999) Board Member, Insignia Films, Inc. Member, Environment Commission (Cheshire, CT) Member, Energy Commission (Cheshire, CT) Member, Board of Outreach, First Congregational Church (Cheshire, CT) Coach, Youth Soccer (Cheshire, CT) Coach, Wallingford Hawks Youth Hockey (Wallingford, CT)

EDUCATION

1983-86	Yale Law School, New Haven, CT J.D. degree Executive Editor, <i>Yale Journal on Regulation</i> Project Coordinator, Environmental Litigation Program
1981-83	Oxford University (Balliol College), Oxford, England 1st Class Honors (Philosophy, Politics & Economics) Rhodes Scholar, 1981 New England Winner Captain, university ice hockey team
1977-81	Harvard College, Cambridge, MA B.A. Summa Cum Laude in Economics Phi Beta Kappa

Senior Economics Prize Winner

PUBLICATIONS

BOOKS

A Better Planet: 40 Big Ideas for a Sustainable Future. New Haven: Yale University Press (2019).

- The Labyrinth of Sustainability: Green Business Lessons from Latin American Corporate Leaders. New Haven: Anthem Press (2019).
- Green to Gold Business Playbook: How to Implement Sustainability Practices for Bottom-Line Results in Every Business Function (with P.J. Simmons). New Jersey: John Wiley & Sons, Inc. (2011).
- Green to Gold: How Smart Companies Use Environmental Strategy to Innovate, Create Value, and Build Competitive Advantage (with Andrew Winston), New Haven: Yale University Press (2006). (revised and updated paperback edition from John Wiley & Sons, Inc., 2009).
- *Global Environmental Governance: Options and Opportunities* (ed. with Maria Ivanova), New Haven: Yale School of Forestry and Environmental Studies (2002).
- *Greening the Americas: NAFTA's Lessons for Hemispheric Trade* (ed. with Carolyn Deere), Cambridge: MIT Press (2002).
- *Environmental Performance Measurement: The Global Report 2001-2002* (ed. with Peter Cornelius), New York: Oxford University Press (2002).
- *Regulatory Competition and Economic Integration: Comparative Perspectives* (ed. with Damien Geradin), Oxford: Oxford University Press (2001).
- Sustaining the Asia-Pacific Miracle: Environmental Protection and Economic Integration (with Andre Dua), Washington: Institute for International Economics (1997).
- *Thinking Ecologically: The Next Generation of Environmental Policy* (ed. with Marian Chertow), New Haven: Yale University Press (1997).
- Asian Dragons and Green Trade: Environment, Economics and International Trade (ed. with Simon Tay), Singapore: Times Academic Press (1996).
- *Greening the GATT: Trade, Environment and the Future*, Washington: Institute for International Economics (1994).

BOOK CHAPTERS

- "Free Trade and Environmental Protection," in *The Global Environment*, 5th edition, (Regina S. Axelrod, Stacy D. VanDeveer, eds.) Washington, DC: Sage Publishing (forthcoming 2019).
- "From Crises and Gurus to Science and Metrics: Yale's Environmental Performance Index and the Rise of Data-Driven Policymaking," (with John W. Emerson) in *Routledge*

Handbook of Sustainability Indicators (Simon Bell, Stephen Morse, eds.), New York: Routledge Press (2018).

- "Measurement Matters: Toward Data-Driven Environmental Policy-Making," in *Routledge Handbook of Sustainability Indicators* (Simon Bell, Stephen Morse, eds.), New York: Routledge Press (2018).
- "Rethinking NAFTA: Deepening the Commitment to Sustainable Development," in *Peterson Institute for International Economics*, PIIE Briefing17-2 (with James Salzman), (C. Fred Bergsten and Monica de Bolle, eds.), Washington, DC, (2017).
- "Regulatory Excellence: Lessons from Theory and Practice," in *Achieving Regulatory Excellence*, (Cary Coglianese), Washington, DC: Brookings Institution Press (2016).
- "Economic Integration and Environmental Protection," in *The Global Environment: Institutions, Law, and Policy*, 4th edition, (Regina S. Axelrod and Stacy D. VanDeveer, eds.) Washington, DC: CQ Press (2014).
- "Globalisation and Environmental Stewardship: A Global Governance Perspective," (with Maria Ivanova) in *A Handbook of Globalisation and Environmental Policy: National Government Interventions in a Global Arena*, 2nd edition, (Frank Wijen et al, eds.), Cheltenham, UK: Edward Elgar (2012).

"Lessons from Copenhagen," in U.N. Global Compact International Yearbook (2010).

- "Good Governance at the World Trade Organization: Building a Foundation of Administrative Law," in *The Future of International Economic Law* (William J. Davey and John Jackson, eds.) Oxford: Oxford University Press (2008).
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- "Foreword," in *State of World 2008: Innovations for a Sustainable Economy in* Christopher Flavin et al, eds.), Washington, DC: Worldwatch Institute (2008).
- "Global Environmental Governance," in *Global Governance Reform* (Colin Bradford and Johannes Linn, eds.), Washington, DC: Brookings Press (2006).
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- "The Environmental Dimension of Economic Integration: The FTAA and Beyond" in *Integrating the Americas: FTAA and Beyond* (Entoni Estevadeordal et. al., eds.),

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- "Toward a Global Environmental Mechanism" (with Maria Ivanova), in *Worlds Apart: Globalization and the Environment* (James Gustave Speth, ed.), Washington, DC: Island Press (2003).
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- "Trade and the Environment in the Americas: Overview of Key Issues" (with Carolyn L. Deere), in *Greening the Americas: NAFTA's Lessons for Hemispheric Trade* (ed. with Carolyn Deere), Cambridge: MIT Press (2002).
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- "Why Measurement Matters," in *Environmental Performance Measurement: The Global 2001-2002 Report* (Daniel C. Esty and Peter Cornelius, eds.) New York: Oxford University Press (2002).
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- "Lessons from First Campus Carbon-Pricing Scheme," (with Kenneth Gillingham, and Stefano Carattini), *Nature* (31 October 2017).
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- "Regulatory Transformation: Lessons from Connecticut's Department of Energy and Environmental Protection," *Public Administration Review*, (2016).
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- "The Sustainability Imperative," (with David Lubin), *Harvard Business Review* (May 2010), pp. 2-50.
- Book Review, "Global Warming and the World Trading System," *World Trade Review*, vol. 9, no. 1 (January 2010), pp. 282-285.
- "Meshing Climate Change Controls and Trade Policy: Getting Down to Business, A Review of Global Warming and the World Trading System (with G. Clyde Hufbauer, S. Charnovitz, and J. Kim), *World Trade Journal* (2009).
- "Breaking the Environmental Law Logjam: The International Dimension," *N.Y.U. Environmental Law Journal*, vol. 17 (2008).
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- "Good Governance at the Supranational Scale: Globalizing Administrative Law," *Yale Law Journal*, vol. 115, no.7 (2006).
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- "Next Generation Environmental Law: A Response to Richard Stewart," *Capital University Law Review*, vol. 29, no. 1 (2001).
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- Book Review, "Making International Environmental Treaties Work," *Journal of International Economic Law*, vol. 2, issue 3 (1999).
- "Sustaining the Asia Pacific Miracle," *Asia Pacific Journal of Environmental Law*, vol. 3, issue 4 (1998).
- "Linkages and Governance: NGOs at the World Trade Organization," *University of Pennsylvania Journal of International Economic Law*, vol. 19, no. 3 (1998).
- "Moving From National to International Environmental Policy" (with Robert Mendelsohn), *Policy Sciences*, vol. 31, no. 3 (1998).
- "Industrial Ecology and Competitiveness" (with Michael E. Porter), *Journal of Industrial Ecology*, vol. 2, no. 1 (1998).
- "Environmental Protection and International Competitiveness: A Conceptual Framework" (with Damien Geradin), *Journal of World Trade*, vol. 32, no. 3 (1998).
- "Sustainable Development and Environmental Federalism," *Widener Symposium Law Journal*, vol. 3 (1998).
- "NGOs at the World Trade Organization: Cooperation, Competition, or Exclusion," *Journal of International Economic Law*, vol. 1, no. 1 (March 1998).

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- "Revitalizing Environmental Federalism," Michigan Law Review, vol. 95, no. 3 (1996).
- "What's the Risk in Risk?" Yale Journal on Regulation, vol. 13, no. 2 (1996).
- "Ökologisierung des GATT oder ein "GATT" für die Umwelt," *Jahrbuch Ökologie 1996* (Udo Simonis, ed.), Munich: Beck (1996).
- "Private Sector Foreign Investment and the Environment," *Review of European Community and International Environmental Law* (RECIEL), vol. 4, issue 2 (1995).
- "Unpacking the Trade and Environment Conflict," *Law and Policy in International Business*, vol. 25, no. 4 Summer (1994).
- "Making Trade and Environmental Policies Work Together: Lessons from NAFTA," *Aussenwirtschaft* (The Swiss Review of International Economic Relations), vol. 49 (1994).
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- "Toward a Greener GATT," International Economic Insights, (March/April 1994).
- "Rio Revisited: Turning the Giant's Head," *Ecodecision* (September 1993).
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"Compromise and the Art of the Deal," *Our Daily Planet* (December 15, 2018), available at <u>https://mailchi.mp/4975f35a294f/our-daily-planets-bright-ideas-dan-estyhillhouse-professor-of-environmental-law-and-policy-at-yale-law-school</u>

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- *Good Governance at the Supranational Scale: Globalizing Administrative Law* (Chinese book version of 2006 Yale Law Journal article, translated by Lin Tai, Beijing: Law Press, 2018.).
- 2018 Environmental Performance Index: Global Metrics for the Environment (with Zach Wendling, Mark Levy, Alex de Sherbinin, et al) New Haven: Yale Center for Environmental Law and Policy (available at: www.epi.yale.edu).
- "Proposed G20 Initiative for the International Trade and Investment Regimes on Sustainable Development and Climate Change," *International Centre for Trade and Sustainable Development*: Geneva (April, 2016).
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- 2010 Environmental Performance Index, (with Jay Emerson, Mark A. Levy, Christine Kim, Valentina Mara, Alex de Sherbinin, and Tanja Srebotnjak), New Haven: Yale Center for Environmental Law & Policy (available at <u>http://epi.yale.edu</u>).
- 2008 Environmental Performance Index, (with Mark A. Levy, Christine Kim, Alex de Sherbinin, Tanja Srebotnjak, Valentina Mara), New Haven: Yale Center for Environmental Law & Policy (available at http://epi.yale.edu).
- *Pilot 2006 Environmental Performance Index*, (with Mark A. Levy, Tanja Srebotnjak, Alex de Sherbinin, Christine Kim, Bridget Anderson), New Haven: Yale Center for Environmental Law & Policy (available at http://www.yale.edu/epi).

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- "Free Trade and Environmental Protection," Paper presented at The Convergence of U.S. National Security and the Global Environment Sixth Conference, The Aspen Institute (May 27-June 2, 2002).
- "Making International Environmental Agreements Work: The Case for a Global Environmental Organization" (with Maria Ivanova). *Yale Center for Environmental Law and Policy Working Papers Series*, Working Paper 2/1, http://www.yale.edu/gegdialogue) (May 2001).
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- "Toward a Global Environmental Organization," *Toward Shared Responsibility and Global Leadership: A Report to the Leaders of the G-8 Member Countries* (Bergsten, C.F. et al, 2001).
- "Comment: Beyond the Club System," *Efficiency/Equity/Legitimacy: The Multilateral Trading System at the Millennium* (Roger B. Porter, et al. eds.), Washington: Bookings Institution (2001).
- *NAFTA and the Environment* (with Gary C. Hufbauer, Diana Orejas, Luis Rubio and Jeffrey J. Schott), Washington: Institute for International Economics (2000).
- "Why the World Trade Organization Needs Environmental NGOs," *Public Participation in the International Trading System*, vol. 1, no. 3 (1997).
- "Environment and Security: Borders and the Biosphere," *The Convergence of U.S. National Security and the Global Environment* (Dick Clark, ed.), Washington: Aspen Institute (1996).
- "Policy Implications from Long-Term Scenarios: the Global Environment," *Globalisation and Linkages to 2020*, Paris: OECD (1996).
- *Restructuring the Global Environmental Facility* (with J. Koehler), Washington: Overseas Development Council (1993).

HONORS AND AWARDS

2016 Alliance for Research on Corporate Sustainability People's Choice Award

2016 Yale School of Forestry and Environmental Studies Teaching Prize

Audubon Connecticut "Katie O'Brien" Lifetime Achievement Award (2015) American College of Environmental Lawyers (elected 201) – professional association of 250 lawyers selected from across the country for their preeminence in environmental law

William K. Reilly Award for Environmental Leadership (2014) for "improving environmental governance in the United States and finding innovative approaches to the most pressing environmental challenges" (given by American University's School of Public Affairs)

U.S. Environmental Protection 40th Anniversary Speaker (2010)

2010 Yale School of Forestry and Environmental Studies Teaching Prize

Inc. Magazine 30 best business books in the past 30 years (for Green to Gold) (2009)

Myrtle Wreath Award for Civic Responsibility (Connecticut Hadassah) 2008

Selected as a 2007 "Outstanding" book by American Association of University Professors Books for Public and Secondary School Libraries (*Green to Gold*)

Bronze ForeWord Magazine Book of the Year in Business & Economy (for Green to Gold)

Academy of Management 2007 book prize finalist (for Green to Gold)

Wirtschaftsbuchpreis 2007 Business Book of the Year – Frankfurt Book Fair Prize, (Zurich 2007)

USA Book News, 2007 National Best Books Award Winner in the Business, Management & Leadership category (for *Green to Gold*)

Selected as a top 25 business book: What Corporate America is Reading by (CEO-READ)

Selected one of the best business books of 2006 by Soundview Executive Book Summaries

American Bar Association Award for Distinguished Achievement in Environmental Law and Policy (2002)

Environment Canada Distinguished Lecturer (2001)

Named in 2000 by *The Earth Times* as one of the 100 most influential non-governmental officials in the world

World Economic Forum (1999) "Global Leader for Tomorrow" (one of 100 worldwide)

Named in 1998 by The Earth Times world's "100 most influential environmental leaders"

1997 U.S. Environmental Protection Agency New England Regional Merit Award in recognition of the Yale Center for Environmental Law and Policy's "Next Generation" Environmental Reform Project

1995-96 Yale School of Forestry and Environmental Studies Teaching Prize

1994-95 Yale School of Forestry and Environmental Studies Teaching Prize

- 1993 Council on Foreign Relations International Affairs Fellowship
- 1992 U.S. Environmental Protection Agency Fitzhugh Green Award (for "exceptional contributions to international environmental protection" reflecting work negotiating Climate Change Treaty, North American Free Trade Agreement, and the Rio Earth Summit)

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