

Committee on Energy and Commerce
U.S. House of Representatives
Witness Disclosure Requirement - "Truth in Testimony"
Required by House Rule XI, Clause 2(g)(5)

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|---|------------|---|
| 1. Your Name: Richard Adam Kaplan | | |
| 2. Your Title: General Counsel and Executive Vice President, Legal and Regulatory Affairs | | |
| 3. The Entity(ies) You are Representing: National Association of Broadcasters | | |
| 4. Are you testifying on behalf of the Federal, or a State or local government entity? | Yes | No <input checked="checked" type="checkbox"/> |
| 5. Please list any Federal grants or contracts, or contracts or payments originating with a foreign government, that you or the entity(ies) you represent have received on or after January 1, 2015. Only grants, contracts, or payments related to the subject matter of the hearing must be listed. N/A | | |
| 6. Please attach your curriculum vitae to your completed disclosure form. Attached. | | |



RICK A. KAPLAN

6416 Garnett Drive • Chevy Chase, MD 20815

H: (301) 951-1840 • M: (301) 312-2487

rakaplan@gmail.com

PROFESSIONAL EXPERIENCE

NATIONAL ASSOCIATION OF BROADCASTERS

WASHINGTON, DC

General Counsel and Executive Vice President, Legal and Regulatory Affairs (Nov. 2014 – Present)

- Direct NAB legal operations as well as interactions with federal agencies, including the Federal Communications Commission, the Copyright Office, and the Commerce Department.
- Serve as a subject matter expert for NAB efforts in Congress, both in meetings with individual Members and their staffs and through official oral and written testimony.
- Advise executive staff and Board of Directors on complicated legal and regulatory matters, including ethics, compliance, and regulatory affairs.
- Manage litigation, including federal appellate litigation and copyright royalty board proceedings.

Executive Vice President, Strategic Planning (Oct. 2012 – Nov. 2014)

- Created and managed new department responsible for developing and advocating for NAB's spectrum policy positions and innovation agenda both domestically and abroad.

FEDERAL COMMUNICATIONS COMMISSION

WASHINGTON, DC

Chief, Wireless Telecommunications Bureau (June 2011 – June 2012)

- Oversaw 220-person Bureau responsible for regulatory policy and licensing for the wireless industry. Developed, recommended, and coordinated policies and programs relating to the wireless industry, conducted rulemaking and adjudicatory proceedings, and evaluated wireless service transactions.

Chief Counsel to Chairman Julius Genachowski (July 2010 – June 2011)

- Formulated and managed the Commission's overall policy agenda and served as the Chairman's lead policy counsel, with particular emphasis on wireless, media, engineering, and public safety issues.

Chief of Staff to Commissioner Mignon Clyburn (Aug. 2009 – July 2010)

- Developed and implemented the Commissioner's policy platform, served as her primary counsel on media and consumer issues, and was her primary liaison to senior industry and government officials.

Deputy Coordinator, DTV Transition (Apr. – Aug. 2009)

- Managed all facets of final stages of nation's transition to digital television, including press and national outreach strategy and coordination with industry, the Administration, and Congress.

OFFICE OF THE GENERAL COUNSEL, U.S. HOUSE OF REPRESENTATIVES

WASHINGTON, DC

Assistant Counsel (Jan. 2008 – Apr. 2009)

- Advised House leadership, Members, and Congressional committees on legal issues concerning official duties, and litigated disputes arising between the Legislative and Executive Branches.

SIDLEY AUSTIN LLP

WASHINGTON, DC

Associate (Oct. 2006 – Jan. 2008)

- Practice focused on appellate litigation and regulatory compliance.

PROFESSIONAL EXPERIENCE (continued)

KAPLAN SPORTS GROUP, INC.

NEW YORK, NY

President (Jan. 1998 – June 2005)

- Founded a public relations and management agency whose clients included companies and athletes such as Marcus Camby, Damon Stoudamire, adidas, and the NBA. Negotiated player compensation, conducted media training seminars, and managed clients' day-to-day and crisis communications.

TORONTO RAPTORS BASKETBALL CLUB, INC.

TORONTO, ON

Senior Manager of Sports Communications (Oct. 1995 – Jan. 1998)

- Oversaw organization's day-to-day contact with local, national, and international media; managed large full- and part-time staff and oversaw department budget; edited all publications.

ORLANDO SOLAR BEARS HOCKEY CLUB, INC.

ORLANDO, FL

Public Relations Manager (May – Oct. 1995)

- Developed short- and long-term community and media relations strategies, served as team spokesman, and edited all team publications.

PORTLAND WINTER HAWKS HOCKEY CLUB, INC.

PORTLAND, OR

Director of Media Relations (July 1992 – May 1995)

- Coordinated media interviews with team personnel, edited all team publications, and developed community programs.
- Served as color commentator on road radio broadcasts.

EDUCATION AND LEGAL TRAINING

U.S. COURT OF APPEALS FOR THE D.C. CIRCUIT

WASHINGTON, DC

Law Clerk to Hon. Harry T. Edwards from July 2005 to July 2006

COLUMBIA LAW SCHOOL

NEW YORK, NY

J.D. received May 2005

- Editor-in-Chief, *Columbia Law Review*
- James Kent Scholar
- Prizes in Constitutional and Labor Law

WESLEYAN UNIVERSITY

MIDDLETOWN, CT

B.A. in Philosophy received May 1992