

Committee on Energy and Commerce
U.S. House of Representatives
 Witness Disclosure Requirement - "Truth in Testimony"
 Required by House Rule XI, Clause 2(g)

1. Your Name: <u>RICK KAPLAN</u>		
2. Are you testifying on behalf of the Federal, or a State or local government entity?	Yes	No <input checked="" type="checkbox"/>
3. Are you testifying on behalf of an entity that is not a government entity?	<input checked="" type="checkbox"/>	Yes <input type="checkbox"/>
4. Other than yourself, please list which entity or entities you are representing: <u>NATIONAL ASSOCIATION OF BROADCASTERS</u>		
5. Please list any Federal grants or contracts (including subgrants or subcontracts) that you or the entity you represent have received on or after October 1, 2011: <u>N/A</u>		
6. If your answer to the question in item 3 in this form is "yes," please describe your position or representational capacity with the entity or entities you are representing: <u>EXECUTIVE VICE PRESIDENT, STRATEGIC PLANNING</u>		
7. If your answer to the question in item 3 is "yes," do any of the entities disclosed in item 4 have parent organizations, subsidiaries, or partnerships that you are not representing in your testimony?	Yes	No <input checked="" type="checkbox"/>
8. If the answer to the question in item 3 is "yes," please list any Federal grants or contracts (including subgrants or subcontracts) that were received by the entities listed under the question in item 4 on or after October 1, 2011, that exceed 10 percent of the revenue of the entities in the year received, including the source and amount of each grant or contract to be listed: <u>N/A</u>		
9. Please attach your curriculum vitae to your completed disclosure form.		

Signature:



Date:

7/12/13

Rick Kaplan

Executive Vice President

Strategic Planning

National Association of Broadcasters



Rick Kaplan serves as the executive vice president of Strategic Planning at the National Association of Broadcasters (NAB) where he is responsible for formulating and advocating for NAB's spectrum policy positions and the association's innovation agenda.

Prior to joining NAB in 2012, Rick served at the Federal Communications Commission (FCC) for three and a half years where he assumed a number of leadership roles throughout the agency, including: chief of the Wireless Telecommunications Bureau; chief counsel to the chairman; and chief of staff to commissioner Mignon Clyburn. As bureau chief, Rick was responsible for executing the chairman's mobile agenda, working to free-up spectrum for mobile broadband, reduce unnecessary barriers to innovation and investment in mobile, encourage maximum spectrum efficiency and protect competition. He also played a significant role in the FCC's implementation of Congress's landmark legislation to open up access to advanced communications services for Americans with disabilities.

As chief counsel, Rick managed the Commission's overall policy agenda, and was responsible for policy coordination among the bureaus and offices. During that time, he worked with Congress to encourage the passage of groundbreaking incentive auction legislation, negotiated a resolution to the nearly decade-old TV white spaces proceeding, brought to decision rules requiring wireless carriers to offer data roaming on commercially reasonable terms, and helped clarify the limited role of the Commission in private, retransmission consent negotiations.

Before joining the Commission, Rick practiced regulatory law and appellate litigation at Sidley Austin LLP and served in the Office of the General Counsel at the U.S. House of Representatives. He began his legal career as a law clerk for Judge Harry T. Edwards of the U.S. Court of Appeals for the D.C. Circuit. Prior to his legal career, Rick founded and operated a sports management and public relations agency that represented and served professional athletes and sports-related organizations.

Rick earned his Juris Doctor from Columbia Law School and his undergraduate degree from Wesleyan University.