

Committee on Energy and Commerce
U.S. House of Representatives
Witness Disclosure Requirement - "Truth in Testimony"
Required by House Rule XI, Clause 2(g)(5)

1. Your Name: Richard J. Umbdenstock		
2. Your Title: President and Chief Executive Officer		
3. The Entity(ies) You are Representing: The American Hospital Association		
4. Are you testifying on behalf of the Federal, or a State or local government entity?	Yes	No X
5. Please list any Federal grants or contracts, or contracts or payments originating with a foreign government, that you or the entity(ies) you represent have received on or after January 1, 2013. Only grants, contracts, or payments related to the subject matter of the hearing must be listed. None		
6. Please attach your curriculum vitae to your completed disclosure form.		

Signature: _____



Date: January 16, 2015

RICHARD J. UMBDENSTOCK, FACHE

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Apartment 501
Arlington, Virginia 22209

BUSINESS ADDRESS:

American Hospital Association
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Two CityCenter, Suite 400
Washington, DC 20001
(202) 638-1100

PROFESSIONAL EXPERIENCE:

2007 PRESIDENT/CHIEF EXECUTIVE OFFICER
AMERICAN HOSPITAL ASSOCIATION – WASHINGTON, DC AND CHICAGO, IL

2006 CHIEF OPERATING OFFICER AND PRESIDENT-ELECT
AMERICAN HOSPITAL ASSOCIATION – WASHINGTON, DC AND CHICAGO, IL

The AHA is the national representative for almost 5,000 member hospitals and health systems, and over 36,000 personal members. The Association provides leadership in advocacy and public policy, informational and educational services, research and other member services.

2006 EXECUTIVE VICE PRESIDENT, ADVOCACY, GOVERNANCE & EXTERNAL AFFAIRS
PROVIDENCE HEALTH & SERVICES - SEATTLE, WA

Providence Health & Services was initiated on January 1, 2006, through the realignment of the governance and management structures of Providence Services, Spokane, and Providence Health System, Seattle, both of which were sponsored by the Sisters of Providence, Mother Joseph Province. It consists of 27 acute care hospitals, 35 long term care facilities, numerous home health and other health care related organizations, housing facilities, human service organizations, a liberal arts university and a high school. It sponsors facilities in Washington State, Alaska, Montana, Oregon and California.

1993-2005 PRESIDENT AND CEO
PROVIDENCE SERVICES - SPOKANE, WA

From 1992-2005, Providence Services oversaw the sponsorship of the Sisters of Providence health care, higher education and social service organizations in eastern Washington and Montana. Providence Services consisted of 9 hospitals, a university, an adult day health center, a child care center, a personal care service for the homebound and a home health care agency, and their corporate affiliates.

Providence also co-sponsored (via Sacred Heart Medical Center) an extensive collaborative enterprise with Empire Health Services. Regional collaboratives included a rehabilitation hospital, an emergency transport system, an information technology network and a telemedicine network, with 35 and 55 participating locations respectively.

PROFESSIONAL EXPERIENCE (cont.):

- 1983-1993 CONSULTANT IN HOSPITAL GOVERNANCE
UMBDENSTOCK-HAGEMAN, INDEPENDENT GOVERNANCE CONSULTANTS
- Educational and consulting services, including board education, planning retreats, consulting on board structures and procedures, and workshops and conferences, were provided across the US and Canada for not-for-profit hospitals and other health care organizations.
- PROJECT DIRECTOR, THE GOVERNING BOARD MENTOR PROGRAM (1984-86)
HOSPITAL RESEARCH AND EDUCATIONAL TRUST
AMERICAN HOSPITAL ASSOCIATION - CHICAGO, IL
- Under a 3-year grant from the Kellogg Foundation, developed a governing board self-assessment process using trustees trained in the process to assist other boards.
- CROSBY FELLOW, HOSPITAL RESEARCH AND EDUCATIONAL TRUST (1983-84)
AMERICAN HOSPITAL ASSOCIATION - CHICAGO, IL
- Provided educational programs for hospital leaders based on the Trust's "*Environmental Assessment*"; also provided assistance in the preparation of the publication.
- 1980-1983 DIRECTOR, SPECIAL PROJECTS (1980-81)
VICE PRESIDENT, MANAGEMENT SERVICES (1982-83)
SACRED HEART MEDICAL CENTER - SPOKANE, WA
- Initiated an outreach continuing education service in the region. Later assumed responsibility for oversight of department managers in educational services, public relations and human resources.
- 1978-1979 DIRECTOR OF PROGRAMS FOR HOSPITAL GOVERNING BOARDS
AMERICAN HOSPITAL ASSOCIATION - CHICAGO, IL
- Initiated the Division of Hospital Governance, organizing a national trustee structure in cooperation with the state hospital associations and managing educational programs for the trustee constituency.
- 1975-1978 SPECIAL ASSISTANT TO THE PRESIDENT
AMERICAN HOSPITAL ASSOCIATION - CHICAGO, IL
- Provided staff services to the President and managed the Office of the President during his extensive travel.
- 1974-1975 INSTITUTE COORDINATOR
HOSPITAL ASSOCIATION OF NEW YORK STATE - ALBANY, NY
- Provided staff support and on-site coordination for the educational institutes provided by the Association to its member hospitals.

EDUCATION:

- 1974 Master of Science, Health Services Administration
State University of New York at Stony Brook
Stony Brook, NY
- 1972 Bachelor of Arts, Politics
Fairfield University
Fairfield, CT

PROFESSIONAL AND COMMUNITY SERVICE BOARDS:

Enroll America, Washington DC: Board of Directors, 2010 - Present

CAQH Provider Council: Co-Chairman, 2011 - Present

Center for Transforming Advance Care Steering Committee: Member 2011 - 2014

National Quality Forum, Washington DC: Vice Chair, 2013 – Present, Board of Directors, 2007 – Present; National Priorities Partnership Committee, 2007 - Present

Hospital Quality Alliance, Washington, DC: Chairman, 2006 - 2011

American Hospital Association, Washington DC: Chairman of the Board, 2006; Chair-Elect, 2005; Chair, Long-Range Policy Committee, 2005; Member, Executive Committee, 2005-06; Board of Trustees, 2000-03; Chair, Operations Committee, 2003-04; Member, Executive Committee 2003-04

Premier, Inc., San Diego, CA: Board of Directors, 2000-06; Chairman of the Board, 2003-04

Spokane Diocesan Foundation, Spokane, WA: Board of Trustees, 1998-2006; Chairman of the Board, 1999-2000

The Cheswick Center, Rockville, MD: Board of Trustees, 1995-2006

Gonzaga Preparatory School, Spokane, WA: Board of Trustees 1996-2001; Chairman of the Board, 2000-01

Intercollegiate Center for Nursing Education, Spokane, WA: Advancement Council, 1995-99

Spokane Chamber of Commerce, Spokane, WA: Board of Directors, 1997-98

Group Health Foundation, Seattle, WA: Board of Directors, 1992-95

Providence Services, Spokane, WA: Board of Directors, 1992-2005

Family-A-Fair, Spokane, WA: Advisory and Honorary Boards, 1990-95

Group Health NW, Spokane, WA: Board of Directors, 1983-91; Chairman of the Board, 1986-90

APPOINTMENTS, MEMBERSHIPS and RECOGNITIONS:

B'nai B'rith National Healthcare Award; 2014

Fellow, American College of Healthcare Executives, Chicago, IL; 2007 - Present

Holy Names Award, Sisters of the Holy Names of Jesus and Mary, Spokane, WA (Co-Recipient with Barbara J. Umbdenstock); 2006

Honorary Doctor of Laws Degree, Gonzaga University, Spokane, WA; 2003

Diplomate (Certified Healthcare Executive), American College of Healthcare Executives, Chicago, IL; 1997 – 2006

Member, American Hospital Association, Chicago, IL; 1985 –

St. Ignatius Loyola Medal, Fairfield University, Fairfield, CT; 1972

MAJOR PUBLICATIONS:

1. So You're On the Hospital Board (Fourth Edition), 1992, American Hospital Publishing, Inc., Chicago. A introductory book on the role and responsibilities of the hospital board. (Author)
2. Critical Readings for Hospital Trustees, 1991, American Hospital Publishing, Inc., Chicago, IL. An edited collection of two dozen readings that provide an overview of policy and operational issues of critical importance to hospital boards. (Co-editor with Winifred M. Hageman)
3. The Future of Healthcare: Physician and Hospital Relations, 1991; The Future of Healthcare: Changes and Choices, 1987; and Healthcare in the 1990s: Trends and Strategies, 1984. Nationwide Delphi forecasting studies sponsored by Arthur Andersen & Co. and the American College of Healthcare Executives, Chicago, IL. (Principal writer and editor)
4. Hospitals and Physicians in the Year 2000, 1989; Focus on the Future of Hospital-Physician Relations, 1991; Trustees and the Integration of Community Health Care, 1993; reports of the Chaiker Abbas Forum on Hospital Governance, and The Hospital Research and Educational Trust, Chicago, IL. (Forum facilitator and report author)
5. Improving and Evaluating Board Performance, 1986, Bader and Associates, Rockville, MD. A book that explores the concept of board self-evaluation, along with suggestions for strengthening board performance. (Co-author with Winifred M. Hageman and Barry S. Bader)
6. Hospital Corporate Leadership: The Board-CEO Relationship, 1984, American Hospital Publishing, Inc., Chicago, IL. A book that discusses critical aspects of the relationship between the board and its chief executive office. (Co-author with Winifred M. Hageman)
7. "The Five Critical Areas for Effective Governance of Not-for-Profit Hospitals," *Journal of Hospital and Health Services Administration*, 35:4, Winter, 1990. An article depicting results of work with hospitals under a grant project to improve hospital planning, governance and coordination of services with the community. (Co-author with Winifred M. Hageman and Bruce Amundson, MD)

PERSONAL:

Birth:	October 4, 1950 Islip, NY
Married:	Barbara J. (Mohr) April 11, 1977
Children:	Renée 1981 Ross and Lauren 1983 Alex 1984