

**Committee on Energy and Commerce**  
**U.S. House of Representatives**

Witness Disclosure Requirement - "Truth in Testimony"  
Required by House Rule XI, Clause 2(g)(5)

<b>1. Your Name:</b> Alice M. Rivlin		
<b>2. Your Title:</b> Co-Chair, Delivery System Reform Initiative, Bipartisan Policy Center; Senior Fellow, Economic Studies and Director, Engelberg Center for Health Reform, The Brookings Institution		
<b>3. The Entity(ies) You are Representing:</b> Bipartisan Policy Center, The Brookings Institution		
<b>4. Are you testifying on behalf of the Federal, or a State or local government entity?</b>	<b>Yes</b>	<b>No</b> <b>X</b>
<b>5. Please list any Federal grants or contracts, or contracts or payments originating with a foreign government, that you or the entity(ies) you represent have received on or after January 1, 2013. Only grants, contracts, or payments related to the subject matter of the hearing must be listed.</b>  Convener of Discussions on Medical Policy Issues Impacting Drug Development, DHHS/FDA. 9/2014 – 8/2015. Support of Regulatory Research to FDA Commitment under the 2012 PDUFA Act, DHHS/FDA. 9/2103 – 8/2015. Advancing Medical Device Postmarket Surveillance Infrastructure and Epidemiology, DHHS, FDA. 9/2013 – 8/2015. Specialty Payment Model Opportunities Assessment and Design, originator: Centers for Medicare and Medicaid Services (CMS); subcontracted with: MITRE Corp. 8/2013 – 10/2014. Center for Clinical Standards and Quality – CCSQ-Care 2, originator: CMS; subcontracted with MITRE Corporation. 9/2013 – 7/2014. New Model Portfolio Plan. originator: CMS; subcontracted with MITRE Corporation. 6/2014 – 1/2015.		
<b>6. Please attach your curriculum vitae to your completed disclosure form.</b>		

Signatur



te: January 20, 2015

## INSTRUCTIONS FOR COMPLETING THE TRUTH-IN-TESTIMONY DISCLOSURE FORM

***In General.*** The attached form is intended to assist witnesses appearing before the Committee on Energy and Commerce in complying with Rule XI, clause 2(g)(5) of the Rules of the House of Representatives, which provides:

(B) In the case of a witness appearing in a nongovernmental capacity, a written statement of proposed testimony shall include a curriculum vitae and a disclosure of any Federal grants or contracts, or contracts or payments originating with a foreign government, received during the current calendar year or either of the two previous calendar years by the witness or by an entity represented by the witness and related to the subject matter of the hearing. (C) The disclosure referred to in subdivision (B) shall include (i) the amount and source of each Federal grant (or subgrant thereof) or contract (or subcontract thereof) related to the subject matter of the hearing; and (ii) the amount and country of origin of any payment or contract related to the subject matter of the hearing originating with a foreign government. (D) Such statements, with appropriate redactions to protect the privacy or security of the witness, shall be made publicly available in electronic form not later than one day after the witness appears.

### **Please complete the form in accordance with these directions.**

1. ***Name, Title, Entity(ies) (Items 1-3 on the form).*** Please provide the name and title of the witness and the entity(ies) on whose behalf the witness is testifying.
2. ***Governmental Entity (Item 4).*** Please check the box indicating whether or not the witness is testifying on behalf of a government entity, such as a Federal department or agency, or a State or local department, agency, or jurisdiction. Trade or professional associations of public officials are not considered to be governmental organizations.  
  
***Grants and Contracts (Item 5).*** Please list any Federal grants or contracts, or contracts or payments originating with a foreign government, that you or the entity(ies) you represent have received on or after January 1, 2013. For each Federal grant (or subgrant thereof) or contract (or subcontract thereof) related to the subject matter of the hearing, please include the amount and source of each. For each payment or contract originating with a foreign government related to the subject matter of the hearing, please include the amount and country of origin of each. Only grants, contracts, or payments related to the subject matter of the hearing must be listed.
3. ***Curriculum Vitae (Item 6).*** Please attach your CV to your completed disclosure form.
4. ***Submission.*** Please sign and date the form in the appropriate place. Please submit this form with your written testimony. Please note that under the Committee's rules, copies of a written statement of your proposed testimony must be submitted before the commencement of the hearing. To the greatest extent practicable, please also provide a copy in electronic format according to the Electronic Format Guidelines that accompany these instructions.

ALICE MITCHELL RIVLIN  
CURRICULUM VITAE

CURRENT POSITION

Jan. 2001 – Present	Director of Greater Washington Research at Brookings
July 1999 – Present	Senior Fellow, Economic Studies Program, Brookings Institution
Sept. 2003- Present	Visiting Professor, Public Policy Institute, Georgetown University

PREVIOUS POSITIONS

Sept. 2001 – May 2003	Henry J. Cohen Professor, Robert J. Milano Graduate School of Management and Urban Policy, New School University
Sept. 1998 – Sept. 2001	Chair District of Columbia Financial Assistance and Management Authority
June 1996 – July 1999	Vice Chair Board of Governors of the Federal Reserve System
Oct. 1994 – June 1996	Director Office of Management and Budget, The White House
Jan. 1993 – Oct. 1994	Deputy Director Office of Management and Budget, The White House
Jan. 1992 – Jan. 1993	Hirst Professor of Public Policy George Mason University
July 1987 – Dec. 1992	Senior Fellow The Brookings Institution
Spring 1988	Visiting Professor J.F. Kennedy School of Government, Harvard University
1983 – 1987	Director Economic Studies Program, The Brookings Institution
1975 – 1983	Director, Congressional Budget Office
1969 – 1975	Senior Fellow, Economic Studies Program The Brookings Institution

1968 – 1969	Assistant Secretary for Planning and Evaluation U.S. Department of Health, Education and Welfare
1966 – 1968	Deputy Assistant Secretary for Program Coordination U.S. Department of Health, Education and Welfare
1958 – 1966	Senior Staff Economist, Economic Studies Program The Brookings Institution
1961 – 1962	Staff Member Advisory Commission on Intergovernmental Relations (On leave from The Brookings Institution)
1957 – 1958	Research Fellow, Economic Studies Program The Brookings Institution
1954 – 1957	Teaching Fellow and Tutor in Economics Harvard University

#### EDUCATION

Ph.D. Economics	Radcliffe College	1958
M.A. Economics	Radcliffe College	1955
B.A. Economics	Bryn Mawr College	1952

#### AWARDS AND SELECTED HONORARY DEGREES

Daniel Patrick Moynihan Prize, American Academy of Social and Political Science, 2008  
 Lifetime Achievement Award, D.C. Chamber of Commerce, 2004  
 Barnard Medal of Distinction, Barnard College, 2002  
 Elliot L. Richardson Prize for Excellence in Public Service, 2002  
 LL.D., Harvard University, 2001  
 MacArthur Foundation Prize Fellowship, 1983-87  
 President, American Economic Association, 1986  
 LL.D., University of the District of Columbia, 1999  
 Doctor of Science, New Jersey Institute of Technology, 1998  
 LL.D., Yale University, 1984  
 Doctor of Science, University of Indiana, 1976  
 LL.D., University of Maryland, 1975  
 LL.D., University of Michigan, 1975

## AFFILIATIONS

NYSE Euronext (Director)  
Robert Wood Johnson Foundation Commission to Build a Healthier America (Co-Chair)  
Committee for A Responsible Federal Budget (Director)  
Congressional Budget Office (Panel of Economic Advisors)  
D.C. Chamber of Commerce (Governing Board)  
D.C. Comprehensive Housing Strategy Task Force (Co-Chair)  
D.C. Public Charter School Association (Director)  
Dumbarton Concerts (Director)  
Morris and Gwendolyn Cafritz Foundation (Director)  
DC Primary Care Association (Director)  
University of Pennsylvania Urban Research Institute (Advisory Board)  
U.S. Government Accounting Office (Comptroller General's Advisory Board)  
National Academy of Public Administration  
National Academy of Social Insurance  
American Economic Association  
Council on Foreign Relations  
Leadership Greater Washington

## PAST AFFILIATIONS

Public Agenda (Director)  
BearingPoint (Director)  
Ryder Systems (Director)  
TJ International (Director)  
Union Carbide Corporation (Director)  
Unisys Corporation (Director)  
Washington Post Company (Director)  
National Bank of Washington (Director)  
The Wilderness Society (Chair, Governing Council)  
Black Student Fund (Director)  
Ford Foundation (Community and Resource Advisory Committee)  
D.C. Appleseed Center (Director)  
Eugene and Agnes Meyer Foundation (Director)  
Bryn Mawr College (Director)  
Southeastern University (Advisory Panel)  
Economic and Social Research Institute (Advisory Panel)  
New School University Center for New York City Affairs (Advisory Board)

## PUBLICATIONS

### BOOKS

Restoring Fiscal Sanity 2007: The Health Spending Challenge, with Joseph R. Antos, March 2007

Restoring Fiscal Sanity 2005: Meeting the Long-Run Challenge, with Isabel V. Sawhill, eds April 2005.

Restoring Fiscal Sanity: How to Balance the Budget (co-edited with Isabel Sawhill), Washington, D.C.: Brookings Institution, 2004.

Beyond the Dot.Coms: The Economic Promise of the Internet (co-authored with Robert E. Litan), Washington, D.C.: The Brookings Institution, 2001.

The Economic Payoff from the Internet Revolution (co-edited with Robert E. Litan), Washington, D.C.: The Brookings Institution, 2001.

Reviving the American Dream: The Economy, the States and the Federal Government, Washington, D.C.: The Brookings Institution, 1992.

Caring for the Disabled Elderly: Who Will Pay? (with Joshua Wiener), Washington, D.C.: The Brookings Institution, 1988.

Economic Choices 1987 (with Henry J. Aaron, Harvey Galper, Joseph A. Pechman, George L. Perry, Charles L. Schultze). Washington, D.C.: The Brookings Institution, 1986.

The Swedish Economy (co-editor with Barry P. Bosworth). Washington, D.C.: The Brookings Institution, 1987.

Economic Choices 1984 (editor and contributor). Washington, D.C.: The Brookings Institution, 1983.

Ethical and Legal Issues of Social Experimentation (co-editor with Michael Timpane). Washington, D.C.: The Brookings Institution, 1975.

Planned Variation in Education: Should We Give Up Or Try Harder? (co-editor with Michael Timpane). Washington, D.C.: The Brookings Institution, 1975.

Setting National Priorities: The 1974 Budget (with Charles L. Schultze, Edward R. Fried, Nancy H. Teeters). Washington, D.C.: The Brookings Institution, 1973.

New Approaches to Public Decision-Making. Canada: Economic Council of Canada, 1972.  
Setting National Priorities: The 1973 Budget (with Charles L. Schultze, Edward R. Fried, Nancy H. Teeters). Washington, D.C.: The Brookings Institution, 1972.

Setting National Priorities: The 1972 Budget (with Charles L. Schultze, Edward R. Fried, Nancy H. Teeters). Washington, D.C.: The Brookings Institution.

Systematic Thinking for Social Action. Washington, D.C.: The Brookings Institution, 1971.  
The U.S. Balance of Payments in 1968 (with Walter Salant and others). Washington, D.C.: The Brookings Institution, 1963.

Measures of State and Local Fiscal Capacity and Tax Effort (with Susan Mushkin). U.S. Advisory Commission on Intergovernmental Relations, 1962.

Microanalysis of Socioeconomic Systems: A Simulation Study (with Guy Orcutt and others). Harpers, 1961.

The Role of the Federal Government in Financing Higher Education. Washington, D.C.: The Brookings Institution, 1961.

#### SUPERVISED PREPARATION OF . . .

Financing the Nation's Capital (The Report of the Commission on Budget and Financial Priorities of the District of Columbia). Washington, D.C., November 1990.

Numerous reports of the Congressional Budget Office, 1975-83.

Toward a Long-Range Plan for Federal Financial Support for Higher Education. U.S. Department of Health, Education and Welfare, January 1969.

Toward A Social Report. U.S. Department of Health, Education and Welfare, January 1969.  
Medical Care Price: A Report to the President. U.S. Department of Health, Education and Welfare, February 1967.

#### SELECTED ARTICLES AND PAPERS

"Slowing the Growth of Health Spending: We Need Mixed Strategies, and We Need to Start Now," with Joseph R. Antos, in Michael E. O'Hanlon, ed. *Opportunity '08*, August 15, 2007

"Envisioning Opportunity: Three Options for a Community College in Washington, D.C.," Brookings Greater Washington Research Program Discussion Paper, June 2008

"Homes for an Inclusive City: A Comprehensive Housing Strategy for Washington, D. C.," Brookings Greater Washington Research Program Discussion Paper, April 2006

"Greed, Ethics, and Public Policy," *Public Integrity* (Fall 2003), vol. 5, no. 4,

"Revitalizing Washington's Neighborhoods: A Vision Takes Shape," Brookings Greater Washington Research Program Discussion Paper, April 2003.

"Another State Fiscal Crisis: Is There a Better Way?" (with Carol O'Cleireacain), Brookings Welfare Reform & Beyond Policy Brief Series, No. 23 (December 2002).

“A Sound Fiscal Footing for the Nation’s Capital: A Federal Responsibility,” Brookings Greater Washington Research Program Discussion Paper, October 2002.

“Challenges of Modern Capitalism,” *Regional Review*, Federal Reserve Bank of Boston, Q3 2002.

“Will the Recovered Economy Still Be a New Economy,” in Donna K. Gintler and Madeline Zavodny, eds., *Technology, Growth and the Labor Market*, sponsored by the Federal Reserve Bank of Atlanta and the George State University Andrew Young School of Public Studies, chap. 11, pp. 11-16 (Boston: Kluwer Academic Publishers, 2002).

“On Receiving the Elliott Richardson Prize for Public Service,” *Brookings Review*, vol. 20, no. 4, Fall 2002.

Comment on "Does Gentrification Harm the Poor?" (Jacob Vigdor), in William Gale and Janet Rothberg Pack, eds., *Brookings-Wharton Papers on Urban Affairs*, July 2002).

Comment on “U.S. Monetary Policy During the 1990s” (N. Gregory Mankiw), in Jeffrey A. Frankel and Peter R. Orzag, eds., *American Economic Policy in the 1990s* (Cambridge, Mass.: MIT Press, 2002).

Comment on “The ‘New Economy’: Background, Historical Perspective, Questions, and Speculations” (J. Bradford DeLong and Lawrence H. Summers), *Economic Policy for the Information Economy*, A Symposium Sponsored by the Federal Reserve Bank of Kansas City, Jackson Hole, Wyoming, August 30-Sept. 1, 2001.

“Envisioning a Future Washington” (with Carol O’Cleireacain), Brookings Greater Washington Research Brief, June 2001.

“The Challenges of Affluence,” *Business Economics* (Journal of the National Association for Business Economics), vol. XXXVI, no. 1, January 2001.

“The Economy and the Internet: What Lies Ahead?” (with Robert E. Litan) Brookings Policy Brief, Conference Report no. 4, Brookings Institution, December 2000.

“Seizing the Economic Opportunity: Beware Candidates Promising Everything,” *Brookings Review*, vol. 18, no. 4, Spring 2000.

“Sustaining the Good Economic News,” *Brookings Review*, vol. 17, no. 4, Fall 1999..

"Optimal Supervision and Regulation of Banks," in George G. Kaufman, ed., *Bank Reform Five Years Later and Five Years Ahead*. FDICIA. Research in Financial Services: Private and Public Policy, vol. 9. Greenwich, Conn. and London: JAI Press, 1997, pp. 133-40.



“Rationalism and Redemocratization: Time for a Truce,” in Adam M. Finkel and Dominic Golding, eds., *Worst Things First*” The Debate over Risk-Based National Environmental Priorities. Washington, D.C.: Resources for the Future, 1994.

“Beyond Alliances: Global Security Through Focused Partnerships” (with General David C. Jones, USAF, Ret. and General Education C. Meyer, USA, Ret. A Study funded by The John D. and Catherine T. MacArthur Foundation and The Rockefeller Foundation. October 1990.

“Simulating Policy Alternatives for Long-Term Care: An Example of the Orcutt Approach,” *Journal of Economic Behavior and Organization*, Vol. 14, No. 1, September 1990.

“Understanding Economic Policy: A Citizen’s Handbook” (with Carol G. Cox). Washington, D.C.: League of Women Voters Education Fund, 1990.

“The Case for Common Shared Taxes,” *NTA Forum*, Fall 1990.

“Wanted: A New State-Level Tax to Prepare Us for the 21st Century,: A Commentary,” *Governing*, April 1990.

“The Continuing Search for A Popular Tax,” *The American Economic Review*, Vol. 79, No. 2, May 1989.

“Insuring Long-Term Care,” (with Joshua M. Wiener). George L. Maddox and M. Powell Lawton, eds., *Annual Review of Gerontology and Geriatrics*, Vol. 8, 1988.

“Economic Policy” (with Paul H. O’Neill, W. Michael Blumenthal and James D. Robinson, III), *American Agenda: Report to the Forty-First President of the United States of America*. Washington, D.C.: America Agenda, Incorporated.

“Who Should Pay for Long-Term Care for the Elderly?,” *The Brookings Bulletin* , Vol. 6, No. 3, Summer 1988.

“Systematic Analysis of Defense Issues: The Role of the Congress,” *Naval War College Review*, Vol. XLI, No. 4, Seq. 324, Autumn 1988.

“Economics and the Political Process,” (Presidential address delivered at the ninety-ninth meeting of the American Economic Association, December 29, 1986, New Orleans, Louisiana), *The American Economic Review*, Vol. 77, No. 1, March 1987.

“The Tax Reform Act,” *The Senior Economist*, Vol. 2, No. 2, Spring 1987.

“Unsnarling the Process: Seven Ways to Improve Economic Policymaking,” *Public Welfare*, Vol. 45, No. 3, Summer 1987.

“The Need for a Better Budget Process,” *The Brookings Bulletin*, Vol. 4, No. 3, Summer 1986.

“Thoughts on Public Expenditures,” *Eastern Economic Journal*, Vol. XI, No. 1, January-April 1985.

“Reform of the Budget Process,” *The American Economic Review*, May 1984; available as *The Brookings Institution General Series Reprint No. 402*.

“Why and How to Cut the Deficit,” *The Brookings Bulletin*, Vol. 2, No. 4, Summer 1984.

“The Political Economy of Budget Choices: A View from Congress,” *The American Economic Review*, May 1982.

“Income Distribution -- Can Economists Help?,” *The American Economic Review*, May 1975; available as *The Brookings Institution General Series Reprint No. 307*.

“How Can Experiments Be More Useful,” *The American Economic Review*, May 1974; available as *The Brookings Institution General Series Reprint No. 290*.

“Social Policy: Alternate Strategies for the Federal Government,” Presented and published as Woytinsky Lecture No. 3. Michigan: The University of Michigan, 1973; available as *The Brookings Institution General Series Reprint No. 288*.

“Experimentation in Urban Education” (Spaulding Lecture delivered March 1971), *Issues in Urban Education*. New Haven, Connecticut: Yale University, 1972.

“New Approaches to Public Decision-Making,” Special Study No. 18 prepared for the Economic Council of Canada, January 1972.

“Why Can’t We Get Things Done,” *The Brookings Bulletin*, Vol. 9, No. 2, Spring 1972.

“Rethinking the Role of the Federal Government in Elementary and Secondary Education for the Seventies,” with Robert W. Hartman, *Needs of Elementary and Secondary Education for the Seventies*, Washington, D.C.: U.S. House Committee on Education and Labor, March 1970.

“Growth and Change in Higher Education,” with June O’Neill, *Proceedings of the Academy of Political Science*, Spring 1970.

“Education, Politics, and Federal Aid,” *The Progressive*, October 1970.

“Social Goals and Federal Support of Higher Education: The Implications of Various Strategies,” with Jeffrey H. Weiss, U.S. Joint Economic Committee, *Compendium of Papers on The Economics of Higher Education in the United States*, 1969.

“The Planning, Programming and Budgeting System in the Department of Health, education, and Welfare: Some Lessons from Experience,” Subcommittee on Economy in Government of the U.S. Joint Economic Committee, 91st Congress, 1st Session, *Compendium of Papers on the Analysis and Evaluation of Public Expenditures: The PPB System*, Vol. 3, Pt. V, 1969; available as *The Brookings Institution Reprint No. 162*.

“Population Growth and the American Economy,” F.X. Quinn (ed.) Population Ethics, Corpus Books, 1968.

“Privacy, Poverty, and Old Age,” with John C. Beresford, Demography, Vol. 3, No. 1, 1966. Reprinted in Jeffrey K. Hadden and Marie L. Borgatta, Editors, Marriage and the Family: A Comprehensive Reader, F.E. Peacock Publishers Inc., 1969.

“Critical Issues in the development of Vocational Education,” Unemployment in a Prosperous Economy (William G. Bowen and Frederick C. Harbison, eds.), Princeton, 1965; available as The Brookings Institution Reprint No. 112.

“Characteristics of Other Families,” with John C. Beresford, Demography, Vol. 1, No. 1, 1964.

“Research in the Economics of Higher Education: Progress and Problems,” Selma J. Mushkin (ed.) Economics of Higher Education, Washington, D.C.: U.S. Department of Health, Education, and Welfare, 1962; available as The Brookings Institution Reprint No. 63.

“An Economic and Demographic Model of the Household Sector: A Progress Report” (with Guy H. Orcutt), National Bureau of Economic Research, New York, Demographic and Economic Change in Developed Countries, Princeton: Princeton University Press, 1960.

#### RECENT COMMENTARY

Monthly comments, Nightly Business Report.

“Why Washington Needs a Community College” (with Walter Smith), The Washington Post, July 06, 2008

“Should Fannie and Freddie be Public or Private?” Politico, July 18, 2008

“State and Federal Policy in the Foreclosure Crisis,” Speech before National Governors Association Conference, May 28, 2008

“A Presidential Campaign in Need of a Perot,” Alice M. Rivlin (with Michael E. O'Hanlon), The Washington Post, May 25 2008

“The Bear Stearns Rescue: The Fed’s Money Well Spent” The New York Times, April 11, 2008

“Why Fight the Surplus?”, New York Times, January 30, 2001.

“The Fed’s Easy Choices,” New York Times, May 15, 2000.

“A Budget Too Flush to Fight About,” New York Times, November 12, 1999.

RECENT CONGRESSIONAL TESTIMONY

“Monetary Policy and the State of the Economy,” House Committee on Financial Services, February 26, 2008

“The Need for a Stimulus Package Now,” House Budget Committee, January 29, 2008

Joint Testimony of the Mayor, Council, and Financial Authority of the District of Columbia,

Joint Hearing before the U.S. House of Representatives Committee on Government Reform, Committee, Subcommittee on the District of Columbia; and the U.S. Senate Committee on Oversight of Government Management, Restructuring, and the District of Columbia, June 8, 2001.

“Fiscal Year 2002 District of Columbia Financial Plan and Budget,” U.S. House of Representatives, Committee on Appropriations, Subcommittee on the District of Columbia, May 23, 2001.

“The Budget Outlook and Tax Policy,” Senate Budget Committee, February 8, 2001.  
Year-End Wrap-Up Hearings, Committee on Government Reform, Subcommittee on the District of Columbia, January 21, 2000.