



Research Paper

Human iPS cell-derived astrocyte transplants preserve respiratory function after spinal cord injury



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ABSTRACT

Transplantation-based replacement of lost and/or dysfunctional astrocytes is a promising therapy for spinal cord injury (SCI) that has not been extensively explored, despite the integral roles played by astrocytes in the central nervous system (CNS). Induced pluripotent stem (iPS) cells are a clinically-relevant source of pluripotent cells that both avoid ethical issues of embryonic stem cells and allow for homogeneous derivation of mature cell types in large quantities, potentially in an autologous fashion. Despite their promise, the iPS cell field is in its infancy with respect to evaluating *in vivo* graft integration and therapeutic efficacy in SCI models. Astrocytes express the major glutamate transporter, GLT1, which is responsible for the vast majority of glutamate uptake in spinal cord. Following SCI, compromised GLT1 expression/function can increase susceptibility to excitotoxicity. We therefore evaluated intraspinal transplantation of human iPS cell-derived astrocytes (hiPSAs) following cervical contusion SCI as a novel strategy for reconstituting GLT1 expression and for protecting diaphragmatic respiratory neural circuitry. Transplant-derived cells showed robust long-term survival post-injection and efficiently differentiated into astrocytes in injured spinal cord of both immunosuppressed mice and rats. However, the majority of transplant-derived astrocytes did not express high levels of GLT1, particularly at early times post-injection. To enhance their ability to modulate extracellular glutamate levels, we engineered hiPSAs with lentivirus to constitutively express GLT1. Overexpression significantly increased GLT1 protein and functional GLT1-mediated glutamate uptake levels in hiPSAs both *in vitro* and *in vivo* post-transplantation. Compared to human fibroblast control and unmodified hiPSA transplantation, GLT1-overexpressing hiPSAs reduced (1) lesion size within the injured cervical spinal cord, (2) morphological denervation by respiratory phrenic motor neurons at the diaphragm neuromuscular junction, and (3) functional diaphragm denervation as measured by recording of spontaneous EMGs and evoked compound muscle action potentials. Our findings demonstrate that hiPSA transplantation is a therapeutically-powerful approach for SCI.

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Abbreviations: SCI, spinal cord injury; iPS cells, induced pluripotent stem cells; hiPSAs, human induced pluripotent stem cell-derived astrocytes; GLT1, glutamate transporter 1; PhMN, phrenic motor neuron; C3 (4 5, etc.), cervical spinal cord level 3 (4, 5, etc.); GRP, glial-restricted precursor; CMAP, compound muscle action potential; NMJ, neuromuscular junction; GFP-hiPSA, lentivirus-GFP transduced hiPSA; GLT1-hiPSA, lentivirus-GLT1 transduced hiPSA; GFP-hFibro, lentivirus-GFP transduced human fibroblast; LV-GFP, lentivirus-GFP; LV-GLT1, lentivirus-GLT1.

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1. Introduction

Transplantation of neural stem cells (NSCs) and neural progenitor cells (NPCs) is a promising therapeutic strategy for both neurodegenerative diseases of the central nervous system (CNS) and traumatic CNS injury, including spinal cord injury (SCI), because of the ability to replace lost and/or dysfunctional nervous system cell types, promote neuroprotection, deliver gene factors of interest and provide other benefits (Gage, 2000).

Initial trauma following SCI results in immediate cell death and axotomy of passing fibers. Contusion- and compression-type injuries, the predominant forms of traumatic SCI observed in the clinical population, are followed by an extended period of secondary cell death and consequent exacerbation of functional deficits (McDonald and Becker,

2003). One of the major causes of secondary degeneration following SCI is excitotoxic cell death due to dysregulation of extracellular glutamate homeostasis (Park et al., 2004; Stys, 2004). Exogenous parenchymal administration of glutamate to uninjured spinal cord results in tissue and function loss similar to SCI (Xu et al., 2005). While large increases in glutamate can occur shortly after SCI, elevation can also persist depending on injury severity (Liu et al., 1991; Panter et al., 1990; Xu et al., 2004). In addition to focal increases, levels can also rise in regions removed from the lesion site, possibly via a spreading mechanism involving activated glia (Hulsebosch, 2008). Early gray matter loss is likely mediated by NMDA receptors, while delayed loss of neurons and oligodendrocytes, as well as axonal and myelin injury, is thought to be predominantly mediated via AMPA over-activation (Stys, 2004). A valuable opportunity therefore exists after SCI for preventing cell injury and functional loss that occur during secondary degeneration. Importantly, secondary degeneration is a relevant therapeutic target given its relatively prolonged time window.

Glutamate is efficiently cleared from the synapse and other sites by transporters located on the plasma membrane (Maragakis and Rothstein, 2004). Astrocytes are supportive glial cells that play a host of crucial roles in CNS function (Pekny and Nilsson, 2005). Astrocytes express the major CNS glutamate transporter, GLT1, which is responsible for the vast majority of functional glutamate uptake and plays a central role in regulation of extracellular glutamate homeostasis in the spinal cord (Maragakis and Rothstein, 2006). Following SCI, astrocyte loss and/or altered GLT1 expression, function and localization can result in further susceptibility to excitotoxicity. For example, we previously found that in rodent models of unilateral mid-cervical (C4) contusion SCI, numbers of GLT1-expressing astrocytes, total intraspinal GLT1 protein expression and GLT1-mediated functional glutamate uptake in ventral horn are reduced soon after injury and this reduction persists chronically (Li et al., 2015). Astrocytes have traditionally been viewed in a negative light following CNS trauma because of their association with disease mechanisms such as glial scarring and pro-inflammatory cytokine release. However, their crucial neuroprotective/homeostatic roles, including GLT1-mediated glutamate uptake, have not been extensively targeted in SCI models using approaches such as NSC and NPC transplantation, despite obvious therapeutic implications (Maragakis and Rothstein, 2006).

Transplantation-based targeting of astrocytes provides a number of key benefits. Grafts can be anatomically delivered to precise locations for achieving neuroprotection of specific populations of cells (Lepore et al., 2008b). Alternative strategies such as gene therapy only target one/several specific genes (s), while astrocyte transplantation can participate in the restoration of a host of astrocyte functions. Transplantation also provides for long-term astrocyte integration and therapeutic replacement. For example, the lasting nature of dysregulation of extracellular glutamate homeostasis after SCI (Lepore et al., 2011a, 2011c) calls for longer-term maintenance of therapeutic effects, both with respect to early cell loss occurring during secondary degeneration and outcomes of SCI associated with more persistent pathophysiology of glutamate signaling such as chronic neuropathic pain (Gwak et al., 2012; Hulsebosch, 2008).

To achieve translation of NSC/NPC-based interventions, clinically-relevant cell sources that address scientific, practical and ethical considerations must be extensively tested in relevant models of CNS disease. These cell types also need to be evaluated in the context of patient-relevant functional outcomes such as respiratory function. Induced pluripotent stem (iPS) cells are pluripotent cells generated from adult somatic cell types via expression of combinations of pluripotency-related factors, avoiding ethical issues of embryonic stem cells (Takahashi et al., 2007b). This technology allows for homogeneous derivation of cell types in large quantities for applications such as transplantation, potentially in an autologous fashion from the eventual recipient or from allogeneic sources (Das and Pal, 2010; Kiskinis and Eggan, 2010). Despite the promise of this approach, the

iPS cell transplantation field is still in the early stages of evaluating therapeutic usefulness in relevant SCI models (Salewski et al., 2010).

Respiratory compromise is a major problem following cervical spinal cord trauma. Cervical SCI represents greater than half of all human cases, in addition to often resulting in the most severe physical and psychological debilitation (Lane et al., 2008). Respiratory compromise is the leading cause of morbidity and mortality following SCI. While a growing literature exists on respiratory function in animal models of SCI (Lane et al., 2008, 2009), few studies have examined cellular mechanisms involved in protection of this vital neural circuitry, and little work has been conducted to test therapies for targeting cervical spinal cord-related functional outcome measures such as breathing. Phrenic motor neuron (PhMN) loss plays a central role in respiratory compromise following cervical SCI. The diaphragm, a major inspiratory muscle, is innervated by PhMNs located at cervical levels 3–5 (Lane et al., 2009). PhMN output is driven by descending pre-motor bulbospinal neurons in the medullary rostral ventral respiratory group (rVRG) (Zimmer et al., 2007). Cervical SCI results in diaphragmatic respiratory compromise due to PhMN loss and/or injury to descending bulbospinal respiratory axons. The majority of these injuries affect mid-cervical levels (Shanmuganathan et al., 2008) (the location of the PhMN pool), and respiratory function following mid-cervical SCI is significantly determined by PhMN loss/sparing (Strakowski et al., 2007). Although use of thoracic models has predominated, cervical SCI animal models have recently been developed (Aguilar and Steward, 2010; Awad et al., 2013; Gensel et al., 2006; Lane et al., 2012; Lee et al., 2010; Sandrow-Feinberg et al., 2009, 2010; Sandrow et al., 2008; Stamegna et al., 2011), including our own (Nicaise et al., 2012). Because of the relevance of astrocyte and GLT1 dysfunction to PhMN loss/injury following cervical trauma, we targeted transplantation in the present study to cervical spinal cord ventral horn in a cervical contusion SCI model.

We previously investigated the therapeutic efficacy of transplanting rodent-derived glial-restricted precursors (GRP), a class of lineage-restricted astrocyte progenitor cell (Li et al., 2014). We transplanted either undifferentiated GRPs or GRP-derived astrocytes (pre-differentiated *in vitro* prior to injection) into our model of cervical contusion SCI, and found that both cell types survived, localized to the ventral horn and efficiently differentiated into mature astrocytes. However, animals injected with GRP-derived astrocytes had higher levels of intraspinal GLT1 expression than those injected with undifferentiated GRPs, suggesting that pre-differentiation enhanced the *in vivo* maturation of these cells. We also observed that modifying GRP-derived astrocytes to constitutively express GLT1 was more effective in achieving *in vivo* GLT1 expression and for protecting PhMNs.

Given the importance of astrocytes in SCI pathogenesis, the observations of GLT1 dysfunction following SCI, and our previous success targeting astrocyte GLT1 using rodent-derived glial progenitor cells, in the present study we evaluated intraspinal transplantation of hiPS cell-derived astrocytes (hiPSAs) into ventral horn following cervical contusion SCI as a novel therapeutic strategy for reconstituting GLT1 function. Specifically, we examined the *in vivo* fate of hiPSAs transplants in the injured spinal cord of both mouse and rat models of cervical contusion SCI, including long-term survival and integration, astrocyte differentiation, maturation into GLT1-expressing cells and safety. We also tested the therapeutic efficacy of hiPSA transplantation for protection of PhMNs and preservation of diaphragm function.

Derivation of cell types from iPS cells represents a relevant approach for clinical translation; therefore, it is critical to test both the safety and efficacy of these transplants in a patient-relevant SCI model. Importantly, previous work has shown that human- and rodent-derived versions of a given stem/progenitor type do not necessarily show similar *in vivo* fate or therapeutic properties in the disease nervous system. For example, we previously demonstrated that, following transplantation into the SOD1^{G93A} rodent model of ALS, human glial progenitors cells show more persistent proliferation, greater migratory capacity, reduced efficiency of astrocyte differentiation, and decreased GLT1 expression

compared to their rodent counterparts, which resulted in a lack of therapeutic efficacy only with the human cells (Lepore et al., 2008b, 2011b). It is therefore important to extend our previous studies with rodent-derived glial progenitors in the cervical contusion SCI model to now test human iPSC cells.

2. Materials and methods

2.1. Animals

2.1.1. Transplantation into rats and mice

Female Sprague–Dawley rats weighing 250–300 g were purchased from Taconic Farm (Rockville, MD). Female C57BL/6 wild-type mice weighing 20–30 g were purchased from The Jackson Laboratory (Bar Harbor, ME). All animals were housed in a humidity-, temperature-, and light-controlled animal facility with *ad libitum* access to water and food. Experimental procedures were approved by the Thomas Jefferson University IACUC and conducted in compliance with ARRIVE (*Animal Research: Reporting of In Vivo Experiments*) guidelines.

2.2. Cervical contusion SCI

2.2.1. Rat SCI

Rats were anesthetized with ketamine (100 mg/kg), xylazine (5 mg/kg) and acepromazine (2 mg/kg). The cervical dorsal skin and underlying muscles were incised. The paravertebral muscles overlying C3–C5 were removed. Following unilateral laminectomy on the right side at C3, C4 and C5 levels, rats were subjected to a C4 spinal contusion injury with the Infinite Horizon impactor (Precision Systems and Instrumentation, Lexington, KY) using a 1.5 mm tip at a force of 395 kdyn. This injury paradigm is based on our previously published rat model that results in robust PhMN degeneration and chronic diaphragm dysfunction (Nicaise et al., 2012, 2013). Rats were transplanted in all studies immediately following injury. After surgical procedures, overlying muscles were closed in layers with sterile 4–0 silk sutures, and the skin incision was closed using wound clips. Animals were allowed to recover on a circulating warm water heating pad until awake and then returned to their home cages. They were monitored daily until sacrifice, and measures were taken to avoid dehydration and to minimize any pain or discomfort.

2.2.2. Mouse SCI

Mice were anesthetized with a cocktail of ketamine (120 mg/kg) and xylazine (5 mg/kg). The surgical procedure and post-surgical monitoring used for mice were the same as described above for rats. For the contusion injury, the 1 mm impactor tip was raised 1.25 mm above the dura prior to impact, and a force of 50 kdyn (kdyn) was used for impact.

2.3. Virus production

Lentiviral vector carrying the green fluorescent protein (GFP) gene or GLT1 gene was packaged in 293FT cells. Briefly, to produce control lentiviral-GFP vector, 293FT cells were transfected with pCDH-MSCV-MCS-EF1-GFP plasmid (System Biosciences, Mountain View, CA) and three other helper plasmids, pLP-1, pLP-2, and pLP/VSVG with Polyfect (Qiagen, Valencia, CA). To produce lentiviral-GLT1 vector, GLT1 gene CDS fragment was inserted into MCS of pCDH-MSCV-MCS-EF1-GFP plasmid, and the vector plasmid was then transfected into 293FT cells with three helper plasmids as described above. Supernatant was collected 72 h later, and lentiviral vector was concentrated with PEG-it Virus Precipitation Solution (System Biosciences, Mountain View, CA) and re-suspended with PBS to the final titer of 1×10^8 infectious units/ml.

2.4. Human induced pluripotent stem cell derived astrocytes

2.4.1. Human iPSC cell derivation, culturing and astrocyte differentiation

iPSC cells were derived from non-diseased healthy patient donors. Dermal fibroblasts were reprogrammed into iPSC cells via retroviral transduction with KLF4, SOX2, OCT4, and c-MYC (Takahashi et al., 2007a). By immunohistochemistry and qRT-PCR, these putative iPSC cells expressed proteins and transcripts associated with pluripotency, including Sox 2, and stem cell-associated antigens, including SSEA4, Nanog, alkaline phosphatase, and TRA 1–81, and capacity to differentiate into cells of three germ layers was established. Finally, the karyotype of these iPSC cells was found to be normal. Once pluripotent iPSC cells were generated, the stem cells were cultured in E8 medium (Life Technologies, Grand Island, NY). To maintain optimum pluripotency and limit spontaneous differentiation, the stem cell colonies were manually cleaned once every 6 days just before passage using dispase (Stem Cell Technologies, Vancouver, BC). To differentiate the iPSC cells into astrocytes, a protocol previously described by Haidet-Phillips and colleagues (Haidet-Phillips et al., 2014) was used. To summarize, iPSC cells were lifted with dispase, gently separated into single cells and plated as a monolayer. Using the smad dual inhibition pathway method to direct differentiation toward a neural phenotype, the cells were incubated in DMEM/F12 (Life Technologies, Grand Island, NY) enriched with 0.2 μ M LDN (Stemgent, Cambridge, MA) and 10 μ M SB431542 (Sigma, Saint Louis, MO). The cells were then exposed to 1 μ M retinoic acid (Sigma, Saint Louis MO) and N2 (Life Technologies, Grand Island, NY) starting at day 5 and Sonic HedgeHog (Life Technologies, Grand Island, NY) starting at day 8. From day 15 to day 30 after starting the differentiation protocol, the medium was gradually changed to neurobasal medium. After day 30, to differentiate these iPSC cell-derived glial progenitors into astrocytes, cells were maintained and expanded in DMEM/F12 supplemented with 1% Fetal Bovine Serum, B27, L-glutamine, non-essential amino acids, penicillin/streptomycin (all from Life Technologies, Grand Island, NY) and 2 μ g/ml Heparin (Sigma-Aldrich, St. Louis, MO) for an additional 60 days. Astrocytes derived from human iPSC were identified with immunostaining using GFAP antibody. For feeding and passaging of astrocyte progenitor cultures, cells were rinsed with PBS and incubated with 4 ml of 0.05% trypsin for 5 min. Cells were collected in trypsin and rinsed with 7 ml of culture medium and $1 \times$ trypsin inhibitor (Life Technologies, Grand Island, NY) to stop trypsinization. Cells were centrifuged at 1000 rpm for 5 min and re-suspended in fresh culture medium. Cells were counted and seeded onto poly-L-lysine coated dishes. Cells were fed twice a week and were passaged after they were 80%–90% confluent.

2.4.2. GLT1 overexpression

After differentiation for 90 days, hIPSCs (astrocytes derived from human iPSC cells) were transduced with lentiviral-GFP vector or lentiviral-GLT1 vector, at the concentration of 1×10^6 infectious units/ml, one week before transplantation. On the second day of transduction, culture medium was changed and the cells were cultured for 5 more days.

2.5. Human dermal fibroblasts

Human dermal fibroblast cells (ATCC, Manassas, VA) were cultured with Fibroblast Growth Kit-low serum (ATCC, Manassas, VA). Fibroblasts were transduced with control lentiviral-GFP vector one week before transplantation. Transduced GFP was used to track transplanted cells *in vivo*.

2.6. Transplantation

2.6.1. Cell preparation for transplantation

On the day of transplantation, cells were rinsed with PBS and trypsinized with 0.05% trypsin, collected and rinsed with culture

medium and $1 \times$ trypsin inhibitor. The cells were washed with artificial cerebrospinal fluid twice. Cell viability was assessed using the trypan blue assay and was always found to be greater than 80%. The final cell concentration was adjusted to 1×10^8 cells/ml.

2.6.2. Intraspinal transplantation

Transplantation was conducted on deeply anesthetized rats and mice immediately post-injury. Following unilateral right-sided contusion injury at C4, cells were injected into the spinal cord at two locations. Each site contained 2 μ l of cell suspension, which was administered into the spinal cord ventral horn using a Hamilton gas-tight syringe mounted on an electronic UMP3 micropump (World Precision International, Sarasota, FL) (Lepore and Maragakis, 2011; Lepore et al., 2011a). The sites of injections were located at the rostral and caudal edges of the contusion site. Ventral horns were targeted by lowering the 33-gauge 45-degree beveled needle 1.5 mm below the dorsal surface of the spinal cord. Each injection was delivered at a constant rate over 5 min. Upon completion of cell delivery, overlying muscles were then closed in layers with sterile 4–0 silk sutures, and the skin incision was closed using sterile wound clips. Animals were allowed to recover and monitored daily.

2.6.3. Immune suppression

All animals were immune suppressed. Rats received subcutaneous administration of cyclosporine A (10 mg/kg; Sandoz Pharmaceuticals, East Hanover, NJ) daily beginning three days before grafting and continuously until sacrifice. Mice were given both FK-506 and rapamycin (1 mg/kg each; LC Laboratories; Woburn, MA).

2.7. Tissue processing for histology

At the time of sacrifice, animals were anesthetized, and diaphragm muscle was freshly removed prior to perfusion and then further processed for neuromuscular junction (NMJ) labeling. Animals were transcardially perfused with 0.9% saline, followed by 4% paraformaldehyde infusion. Spinal cords were harvested, then cryoprotected in 30% sucrose for 3 days and embedded in freezing medium. Spinal cord tissue blocks were cut serially in the sagittal or transverse planes at a thickness of 30 μ m. Sections were collected on glass slides and stored at -20°C until analysis. Spinal cord sections were thawed, allowed to dry for 1 h at room temperature, and stained with 0.5% Cresyl violet acetate according to standard procedure (Nicaise et al., 2012).

2.8. Immunohistochemistry

Frozen spinal cord sections were air-dried, washed with PBS, permeabilized with 0.4% Triton X-100 in PBS for 5 min at room temperature, and then incubated in blocking solution (PBS containing 10% normal goat serum and 0.4% Triton X-100) for 1 h at room temperature. Sections were labeled overnight at 4°C with the primary antibodies in blocking solution. Sections were then washed three times with PBS (5 min per wash) and incubated with secondary antibodies in blocking solution for 1 h at room temperature. After washing twice with PBS (10 min per wash), sections were cover-slipped. A number of primary antibodies were used. Mouse anti-GFAP antibody (EMD Millipore Corporation, Billerica, MA; 1:200) and rabbit anti-GFAP antibody (Dako North America, Carpinteria, CA; 1:200) were used to label astrocytes (Lepore et al., 2008a). Mouse anti-human GFAP antibody (StemCells, Inc, Newark, CA; 1:200) was used to label astrocytes of human origin in mice and rats. Rabbit anti-GLT1 (1:800) and mouse anti-GLT1 (1:200) were used to label GLT1 protein (both were provided by Jeffrey Rothstein's laboratory) (Lepore et al., 2008b). Rabbit anti-Ki67 (Thermo Fisher Scientific, Rockford, IL; 1:200) labeled proliferating cells (Lepore et al., 2008a). Mouse anti-human cytoplasmic marker antibody (StemCells, Inc, Newark, CA; 1:200) and mouse anti-HuNu antibody

(EMD Millipore Corporation, Billerica, MA; 1:200) were used to label human cytoplasm and human nuclear antigen, respectively, for selectively identifying human-derived cells. Secondary antibodies included: FITC goat-anti-mouse IgG, FITC goat-anti-rabbit IgG, TRITC goat-anti-mouse IgG, TRITC goat-anti-rabbit IgG, Alexa Fluor 647 goat-anti-mouse IgG, Alexa Fluor 647 goat-anti-rabbit IgG. All secondary antibodies (Jackson ImmunoResearch Laboratories, West Grove, PA) were diluted at 1:200 to recognize the matched primary antibody. For fluorescence analysis, sections were cover-slipped with fluorescent-compatible mounting medium (ProLong Gold, Life Technologies, Grand Island, NY).

2.9. Quantification of *in vitro* cultured cell differentiation, proliferation and GLT1 expression

The proportions of GFAP⁺ astrocytes and Ki67⁺ proliferating cells were expressed as a percentage of the total number of cultured cells (labeled by DAPI). In order to quantify double-labeling of DAPI with GFAP or Ki67, images were taken at $10\times$ magnification and analyzed using ImageJ software. In each image, cells with a DAPI⁺ nucleus were assessed for expression of GFAP or Ki67.

2.10. Quantification of transplant differentiation

Rats and mice were sacrificed for quantification of astrocyte differentiation (GFAP⁺) and proliferation (Ki67⁺). The proportions GFAP⁺ astrocytes and Ki67⁺ proliferating cells were expressed as a percentage of the total number of transplanted human cells (labeled by anti-hCytoplasm or HuNu antibody). In order to quantify double-labeling of hCytoplasm or HuNu with GFAP and Ki67, double-labeled transverse sections were imaged at $10\times$ magnification using MetaMorph software and were then analyzed using ImageJ software. In each image, cells expressing hCytoplasm or HuNu were assessed for co-expression of GFAP or Ki67.

2.11. Quantification of GLT1 expression by transplants

Rats and mice were sacrificed for quantification of GLT1 expression by hCyto-labeled cells in the ventral horn. GLT1⁺ and hCyto⁺ cells were identified in the ventral horn using ImageJ software, and the percentage of hCyto⁺ cells (representing any transplant-derived cell) that co-expressed GLT1 were quantified.

2.12. Lesion imaging and quantification

Images were acquired with a Zeiss Imager M2 upright microscope and analyzed with ImageJ software. Lesion size was quantified in Cresyl violet stained sections (Li et al., 2015). Specifically, lesion area was determined in every 10th section by tracing both the total area of the hemi-spinal cord ipsilateral to the contusion site and the actual lesion area. Lesion was defined as areas including both lost tissue (cystic cavity formation) and surrounding damaged tissue in which the normal anatomical structure of the spinal cord was lost. The lesion epicenter was defined as the section with the largest percent lesioned tissue (relative to total tissue area in the same section).

2.13. Neuromuscular junction (NMJ) analysis

Fresh hemi-diaphragm muscle was dissected from each animal for whole-mount immunohistochemistry, as described previously (Wright et al., 2007). Hemi-diaphragm muscle was dissected, stretched, pinned down to Sylgard medium (Fisher Scientific, Pittsburgh, PA), and extensively cleaned to remove any connective tissue to allow for antibody penetration. Motor axons and their terminals were labeled with SMI-312R (Covance, Princeton, NJ; 1:1000) and

SV2-s (DSHB, Iowa City, IA; 1:10), respectively, and both primary antibodies were detected with FITC anti-mouse IgG secondary (Jackson ImmunoResearch Laboratories, West Grove, PA; 1:100). Post-synaptic acetylcholine receptors were labeled with rhodamine-conjugated alpha-bungarotoxin (Life Technologies, Grand Island, NY; 1:400). Labeled muscles were analyzed for total numbers of NMJs and intact, denervated and multiply-innervated NMJs. Whole-mounted diaphragms were imaged on a FluoView FV1000 confocal microscope (Olympus, Center Valley, PA). We only conducted NMJ analysis in ipsilateral hemi-diaphragm because in our previously published work we did not observe denervation or sprouting in contralateral hemi-diaphragm after cervical hemi-contusion SCI (Nicaise et al., 2012).

2.14. Functional glutamate uptake assay

After transduction with lentiviral-GFP vector or lentiviral-GLT1 vector, hIPSAAs were cultured for 10 days. Human fibroblasts transduced with lentiviral-GFP vector were used as control. Glutamate uptake activity was measured as previously described (Dowd and Robinson, 1996), with slight modification. Briefly, cells were washed and pre-incubated with either a sodium- or choline-containing uptake buffer (in mM: Tris, 5; HEPES, 10; NaCl or choline chloride, 140; KCl, 2.5; CaCl₂, 1.2; MgCl₂, 1.2; K₂HPO₄, 1.2; glucose, 10) for 20 min at 37 °C; and in DHK treatment groups, 100 μM of DHK was added to inhibit GLT1. The uptake buffer was then replaced with fresh uptake buffer containing 20 nM ³H-glutamate (49 Ci/mmol; PerkinElmer, CA) and 20 μM unlabeled glutamate. The cells were incubated for 5 min at 37 °C. The reaction was terminated by washing cells three times with choline-containing uptake buffer containing 2 mM unlabeled glutamate, followed by immediate lysis in ice-cold 0.1 N NaOH. Cell extracts were then measured with a liquid scintillation counter (Beckman Instruments, Fullerton, CA). The protein content in each well was measured using the Bradford protein assay (Bio-Rad, Hercules, CA).

2.15. Diaphragm compound muscle action potentials (CMAPs)

Rats were anesthetized in the same manner described above. Phrenic nerve conduction studies were performed with single stimulation (0.5 ms duration; 6 mV amplitude) at the neck *via* near nerve needle electrodes placed along the phrenic nerve (Li et al., 2015; Nicaise et al., 2012). The ground needle electrode was placed in the tail, and the reference electrode was placed subcutaneously in the right abdominal region. Recording was obtained *via* a surface strip along the costal margin of the diaphragm, and CMAP amplitude was measured baseline to peak. Recordings were made using an ADI Powerlab 8/30 stimulator and BioAMP amplifier (ADInstruments, Colorado Springs, CO), followed by computer-assisted data analysis (Scope 3.5.6, ADInstruments). For each animal, 10–20 tracings were averaged to ensure reproducibility.

2.16. Spontaneous EMG recordings

Prior to being euthanized, animals received a laparotomy. These EMG recordings were terminal experiments and were only conducted immediately prior to euthanasia. Bipolar electrodes spaced by 3 mm were inserted into specific sub-regions of the right hemi-diaphragm (*i.e.* dorsal, medial or ventral regions) (Li et al., 2015). Activity was recorded and averaged during spontaneous breathing at each of these 3 locations separately in each animal. The EMG signal was amplified, filtered through a band-pass filter (50–3000 Hz), and integrated using LabChart 7 software (ADInstruments). Parameters such as inspiratory bursts per minute, discharge duration and integrated peak amplitude were averaged over 2 min sample periods. No attempt was made to control or monitor the overall level of respiratory motor drive during the EMG recordings.

2.17. Statistics

Results were expressed as means ± standard error of the mean (SEM). A Kolmogorov–Smirnov test was conducted for all variables to assess normality. Unpaired *t* test or Mann–Whitney was used to assess statistical significance between two groups. With respect to multiple comparisons involving three groups or more, statistical significance was assessed by analysis of variance (one-way ANOVA) followed by *post-hoc* test (Bonferroni's method). Statistics were computed with Graphpad Prism 5 (GraphPad Software, Inc., La Jolla, CA). *p* < 0.05 was considered as statistically significant.

3. Results

3.1. *In vitro* characterization of human iPS cell-derived astrocytes (hIPSAAs)

We differentiated human iPS cells into astrocytes by culturing them in differentiating medium containing FBS. We transduced cells with lentivirus (LV)-GFP or LV-GLT1-GFP to generate control cells (GFP-hIPSAAs) and GLT1-overexpressing hIPSAAs (GLT1-hIPSAAs), respectively. The GFP-hIPSAAs expressed little-to-no GLT1 protein (Fig. 1A, C), consistent with the limited expression of GLT1 by cultured astrocytes in the absence of neuronal co-culture (Li et al., 2014; Perego et al., 2000), while GLT1-hIPSAAs expressed high levels of GLT1 protein *in vitro* (Fig. 1B, C). In addition, the vast majority of DAPI⁺ GLT1-hIPSAAs expressed GLT1 (Fig. 1B), which is expected given the high efficiency of transduction with our lentivirus (not shown). GLT1 overexpression did not alter hIPSA differentiation (Fig. 1D, E, H) or proliferation (Fig. 1F–H). In addition to significantly increased GLT1 protein expression levels, GLT1-hIPSAAs showed a large increase in functional GLT1-mediated glutamate uptake compared to GFP-hIPSAAs using an *in vitro* ³H-glutamate uptake assay (Fig. 1J). In this ³H-glutamate uptake assay and in the subsequent transplantation experiments, we used LV-GFP transduced human fibroblasts (GFP-hFibro) (Fig. 1I) as a non-gial cell control.

3.2. Human iPSA transplants robustly survived and differentiated into astrocytes following rat cervical contusion SCI

We characterized the fate of transplanted hIPSAAs in both rats and mice following unilateral C4 contusion SCI, given the usefulness of both experimental models for studying nervous system diseases. Immediately following injury, we injected hIPSAAs directly into the ventral horn at locations just rostral and caudal to the contusion site (Fig. 2A). We specifically delivered cells into the ventral horn to anatomically target the location of the PhMN pool (Fig. 2B).

We sacrificed rats at 2 days, 2 weeks and 4 weeks post-injury/transplantation. Double-labeling with panGFAP antibody and a human-specific GFAP antibody demonstrated that transplanted human-derived cells differentiated into astrocytes (Fig. 2C). Both transplanted GFP-hIPSAAs (Fig. 2D, F, H) and GLT1-hIPSAAs (Fig. 2E, G, I) robustly survived out to W4, and nearly all hCytoplasm⁺ transplant-derived cells co-labeled with the astrocyte lineage marker, GFAP, at D2 (Fig. 2D–E), W2 (Fig. F–G) and W4 (Fig. 2H–I). There were no differences in the degree of astrocyte differentiation between GFP-hIPSAAs and GLT1-hIPSAAs at any of these time points (quantification shown in Fig. 2J). LV-GFP transduced human fibroblasts (GFP-hFibro) also survived in the injured spinal cord to at least W4 post-injury (Fig. 2K).

Despite efficient astrocyte differentiation, only a small percentage of GFP-hIPSA transplant-derived cells expressed GLT1 protein in the injury site at D2 (Fig. 3A), W2 (Fig. 3C) and W4 (Fig. 3E). On the contrary, the majority of GLT1-hIPSAAs robustly expressed GLT1 at all times (Fig. 3B, D, and F) (quantification: Fig. 3G).

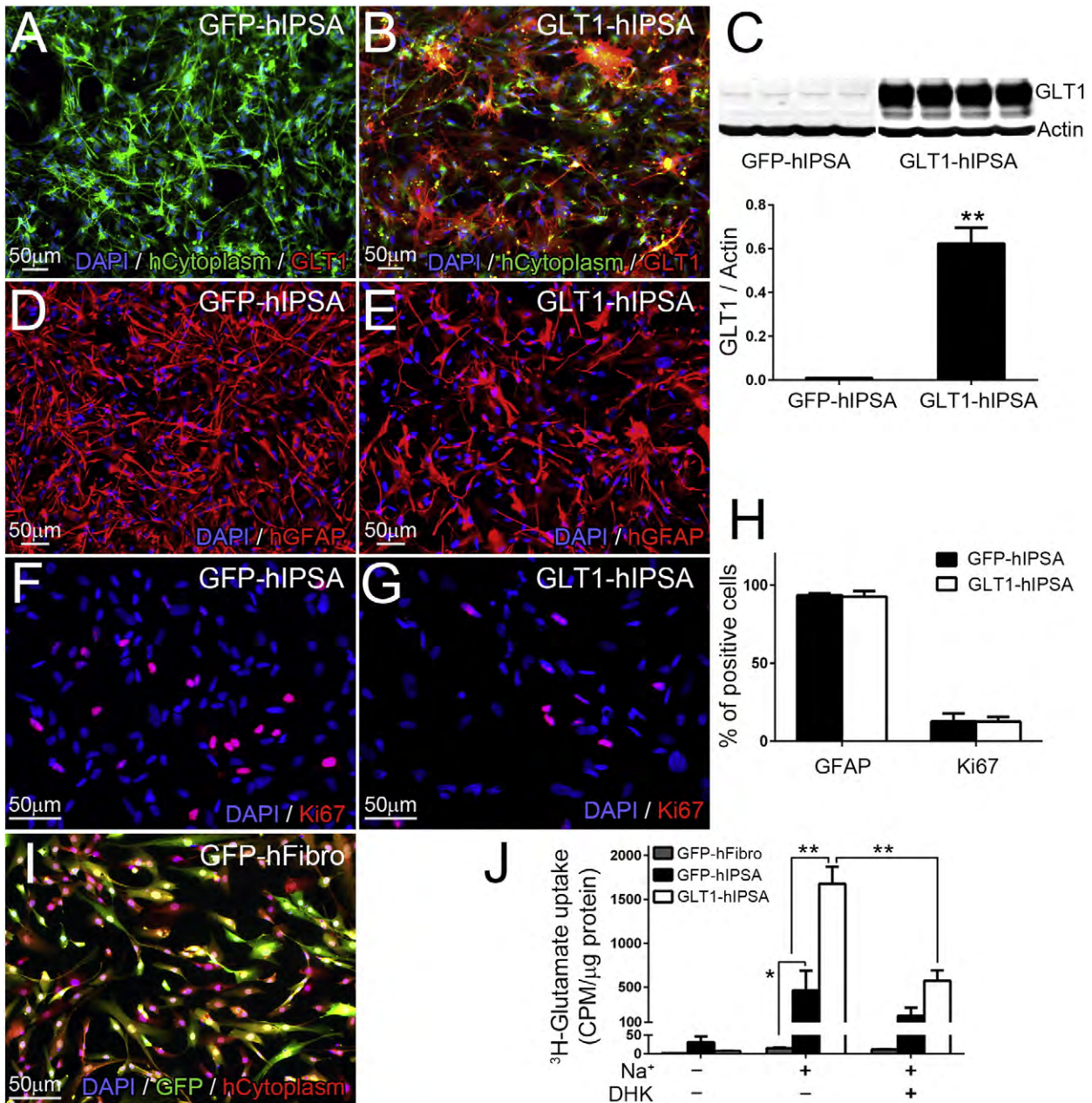


Fig. 1. *In vitro* characterization of human iPS cell-derived astrocytes (hIPSA). Cells were transduced with lentivirus (LV)-GFP or LV-GLT1-GFP to generate control GFP-hIPSA and GLT1-overexpressing hIPSA (GLT1-hIPSA), respectively. Human cytoplasm⁺ GFP-hIPSA expressed little-to-no GLT1 protein (A), while GLT1-hIPSA expressed high levels of GLT1 protein *in vitro* (B), which was further confirmed with immunoblotting analysis (C, lower: quantification result). Following infection with either virus, astrocyte differentiation was determined by the percentage of cells expressing the astrocyte lineage marker, GFAP (D–E). Proliferation was determined by the percentage of cells expressing the proliferation marker, Ki67 (F–G). Quantification results of cell differentiation and proliferation are shown in (H). Human fibroblasts, which were transduced with LV-GFP vector (GFP-hFibro) (I), were used as non-glial control in the glutamate uptake assay and *in vivo* transplantation experiments. ³H-glutamate uptake assay was performed to detect GLT1 function. GLT1-hIPSA showed a large increase in Na⁺ dependent glutamate uptake compared to GFP-hFibro and GFP-hIPSA. This increased uptake was blocked with GLT1 specific inhibitor, DHK, at the concentration of 100 μmol/l (J). Results were expressed as means ± SEM. **p* < 0.05, ***p* < 0.01. *n* = 4 per group for GLT1 western blotting quantification analysis; *n* = 4 per group for cell differentiation and proliferation analysis; *n* = 4 per group for ³H-glutamate uptake assay.

3.3. Human iPSA transplants showed limited proliferation *in vivo* and did not form tumors

A major concern regarding NSC/NPC therapy (particularly with pluripotent cells such as iPS cells) is the potential for uncontrolled proliferation and even tumor formation. To address this concern, we immunostained for the proliferation marker, Ki67, and we examined transplant recipient rat spinal cords for overt tumor formation. With both GFP-hIPSA (Fig. 4A, C, E) and GLT1-hIPSA (Fig. 4B, D, F), less than 10% of HuNu⁺ transplant-derived cells expressed Ki67 at D2

(Fig. 4A–B), W2 (Fig. 4C–D) and W4 (Fig. 4E–F) (quantification shown in Fig. 4G). In addition, we never observed tumor formation in any transplant-recipient animals.

3.4. Human iPSA transplants showed similar survival and differentiation in the injured mouse cervical spinal cord

Given the usefulness of the mouse model due to the availability of transgenic tools, we conducted similar characterization of hIPSA fate following transplantation into the mouse spinal cord immediately

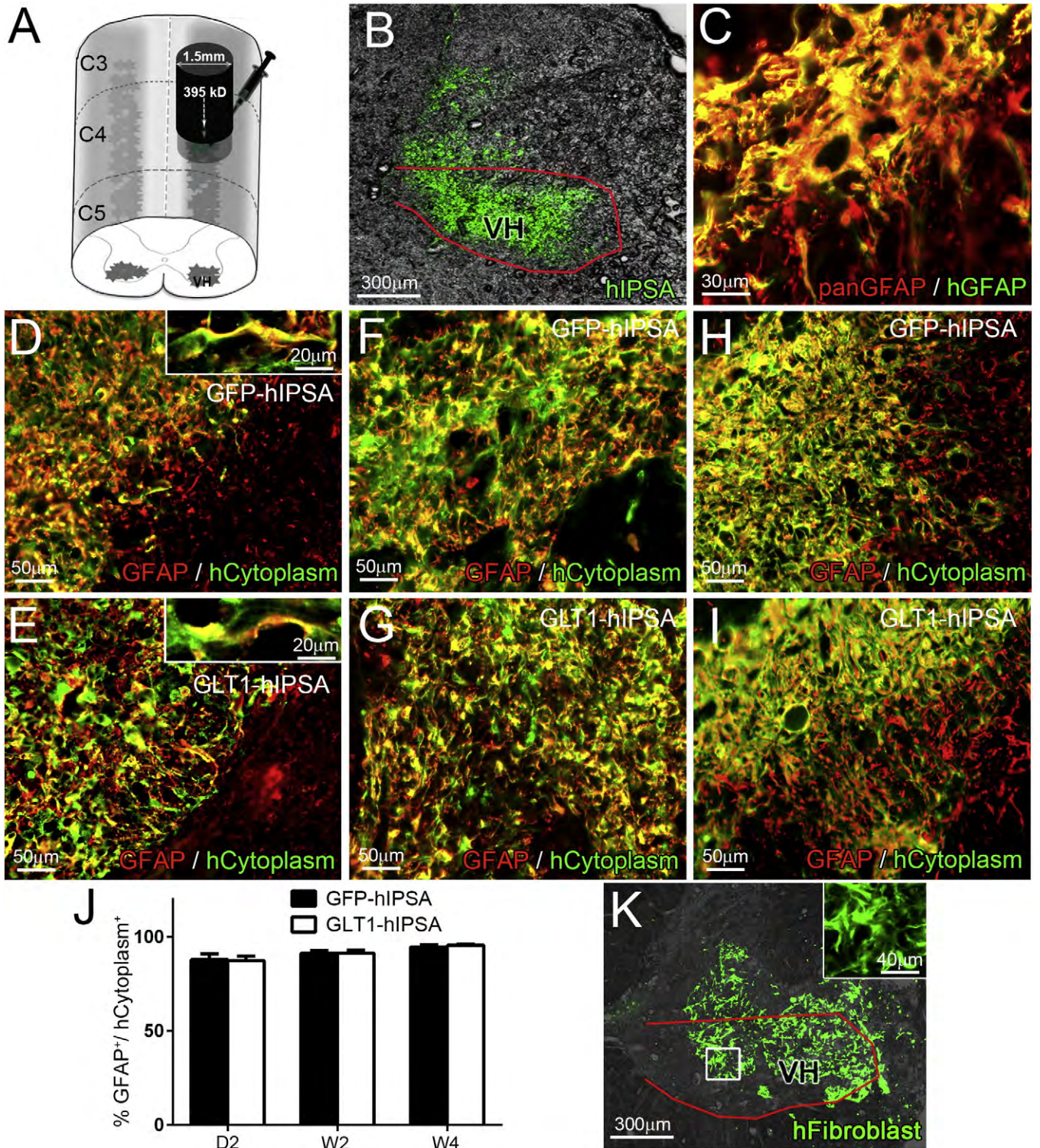


Fig. 2. Human iPSC transplants robustly survived, differentiated into astrocytes and localized to the ventral horn following rat cervical contusion SCI. Immediately following unilateral C4 contusion SCI, we injected GFP-hIPSA, GLT1-hIPSA or GFP-hFibro directly into the ventral horn (VH) at locations just rostral and caudal to the contusion site (A). GFP fluorescence indicated that the transplanted hIPSA were delivered to the ventral horn (B). Double-labeling with pan-GFAP antibody and a human GFAP specific antibody confirmed that all human GFAP⁺ cells were also pan-GFAP⁺ (C). Double immunostaining for pan-GFAP and human cytoplasm marker was performed on spinal cord sections from the GFP-hIPSA (D, F, H) and GLT1-hIPSA (E, G, I) groups at day 2 (D–E), week 2 (F–G) and week 4 (H–I) post-injury/transplantation to quantify astrocyte differentiation by transplanted cells (J). We used LV-GFP transduced human fibroblasts (GFP-hFibro) as a non-gliial cell control (K, inset: high magnification). Results were expressed as means ± SEM. n = 3 per group per time point for transplanted cell differentiation analysis. Red outlines in panels B and K denote the ventral horn.

following unilateral cervical contusion SCI. Similar to transplantation into the rat SCI model, hIPSA robustly survived and integrated for at least 4 weeks post-injection. The majority of transplant-derived cells

were differentiated GFAP⁺ astrocytes (Fig. 4H). Control GFP-hIPSA expressed little GLT1, while overexpression resulted in the majority of transplant-derived astrocytes expressing GLT1 (Fig. 4I). Less than 10%

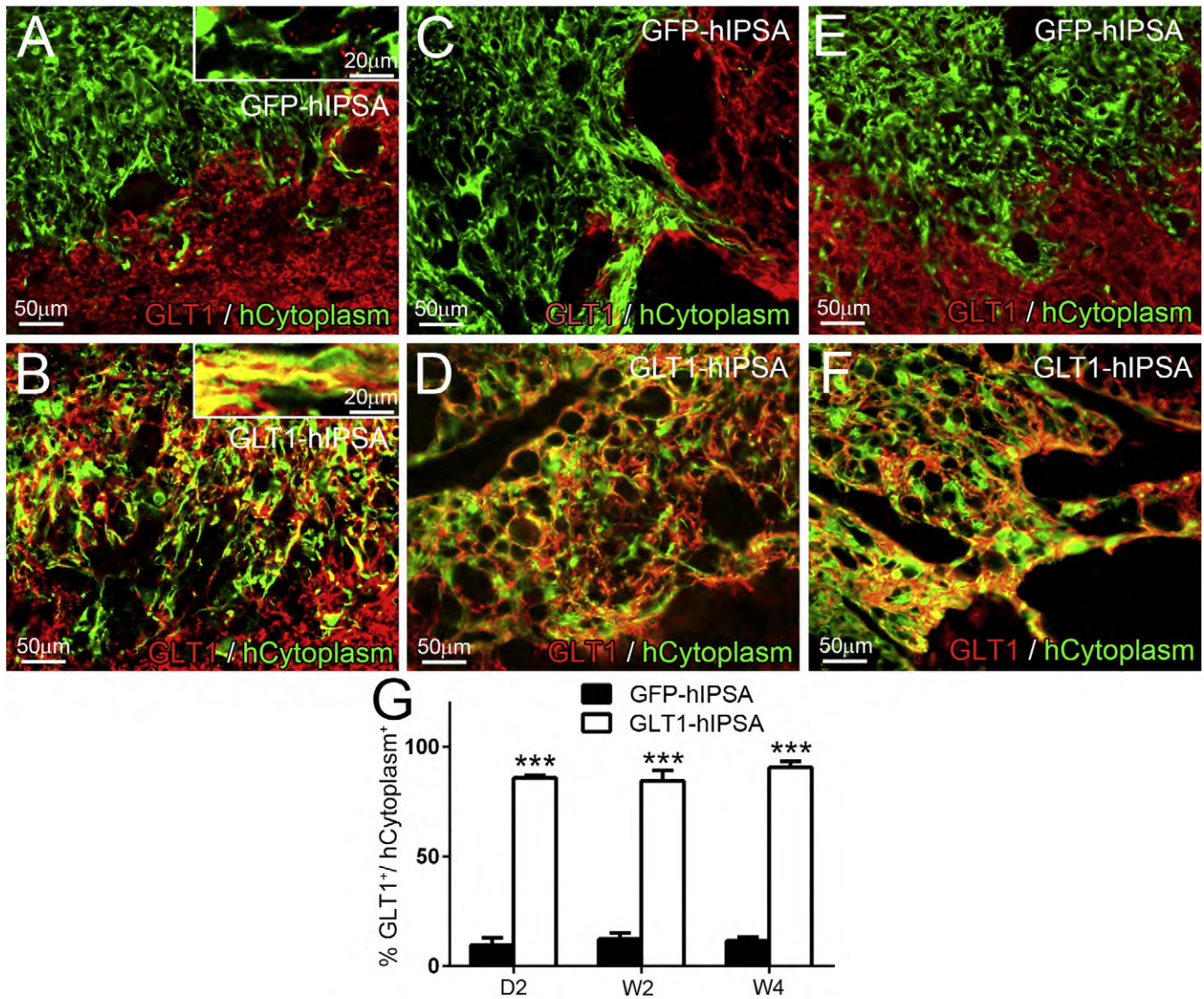


Fig. 3. GLUT1-hIPSA transplants express GLUT1 in the ventral horn following rat cervical contusion SCI. Double immunostaining for GLUT1 and human cytoplasm was performed on spinal cord sections from the GFP-hIPSA (A, C, E) and GLUT1-hIPSA (B, D, F) groups at day 2 (A–B), week 2 (C–D) and week 4 (E–F) post-injury/transplantation to assess GLUT1 expression by transplanted cells *in vivo* (G). Results were expressed as means \pm SEM. *** $p < 0.001$. $n = 3$ per group per time point for *in vivo* GLUT1 expression analysis.

of transplant-derived cells continued to proliferate at D2, W2 and W4 (Fig. 4j), and again we never observed tumor formation in any mice.

3.5. GLUT1 overexpressing hIPSA transplants reduced lesion size following cervical contusion SCI

To test the therapeutic efficacy of hIPSA transplants in the rat unilateral cervical contusion model, we first assessed lesion size. At 4 weeks post-injury, we quantified Cresyl-violet stained transverse sections of the cervical spinal cord surrounding the injury site for the degree of ipsilesional tissue sparing by calculating the percentage of total ipsilateral hemi-cord area comprised of damaged tissue (Fig. 5A). Lesion area (Fig. 5B) and total lesion volume (Fig. 5C) analysis (combined for both white and gray matter) revealed that GLUT1-hIPSA transplants significantly reduced lesion size at multiple locations surrounding the epicenter compared to both GFP-hFibro and GFP-hIPSA control transplant groups. We observed this protective effect specifically within 1 mm rostral and caudal of the epicenter where the greatest tissue damage occurred.

3.6. GLUT1 overexpressing hIPSA transplants preserved diaphragm innervation by phrenic motor neurons after SCI

We found that GLUT1 overexpressing hIPSA transplants significantly preserved morphological innervation at the diaphragm neuromuscular junction (NMJ), the synapse which is critical for functional PMN–diaphragm connectivity. To examine pathological alterations at the diaphragm NMJ, we analyzed hemi-diaphragm muscle ipsilateral to the contusion in rats (Fig. 6A–B). We quantified the percentage of intact NMJs or partially denervated NMJs in the animals from the 3 injection groups at 4 weeks post-injury/transplantation (Wright et al., 2007, 2009; Wright and Son, 2007). For analysis, we divided the hemi-diaphragm into three anatomical regions (ventral, medial and dorsal) (Fig. 6C), as the rostral-caudal axis of the PMN pool within the cervical spinal cord topographically maps onto the ventral-dorsal axis of the diaphragm (Laskowski and Sanes, 1987). At the dorsal region of the hemi-diaphragm, the percentage of intact NMJs in the GLUT1-hIPSA transplant group was significantly greater than both control groups, while at the ventral and medial regions of the diaphragm, there were no differences in the percentage of intact NMJs amongst the groups

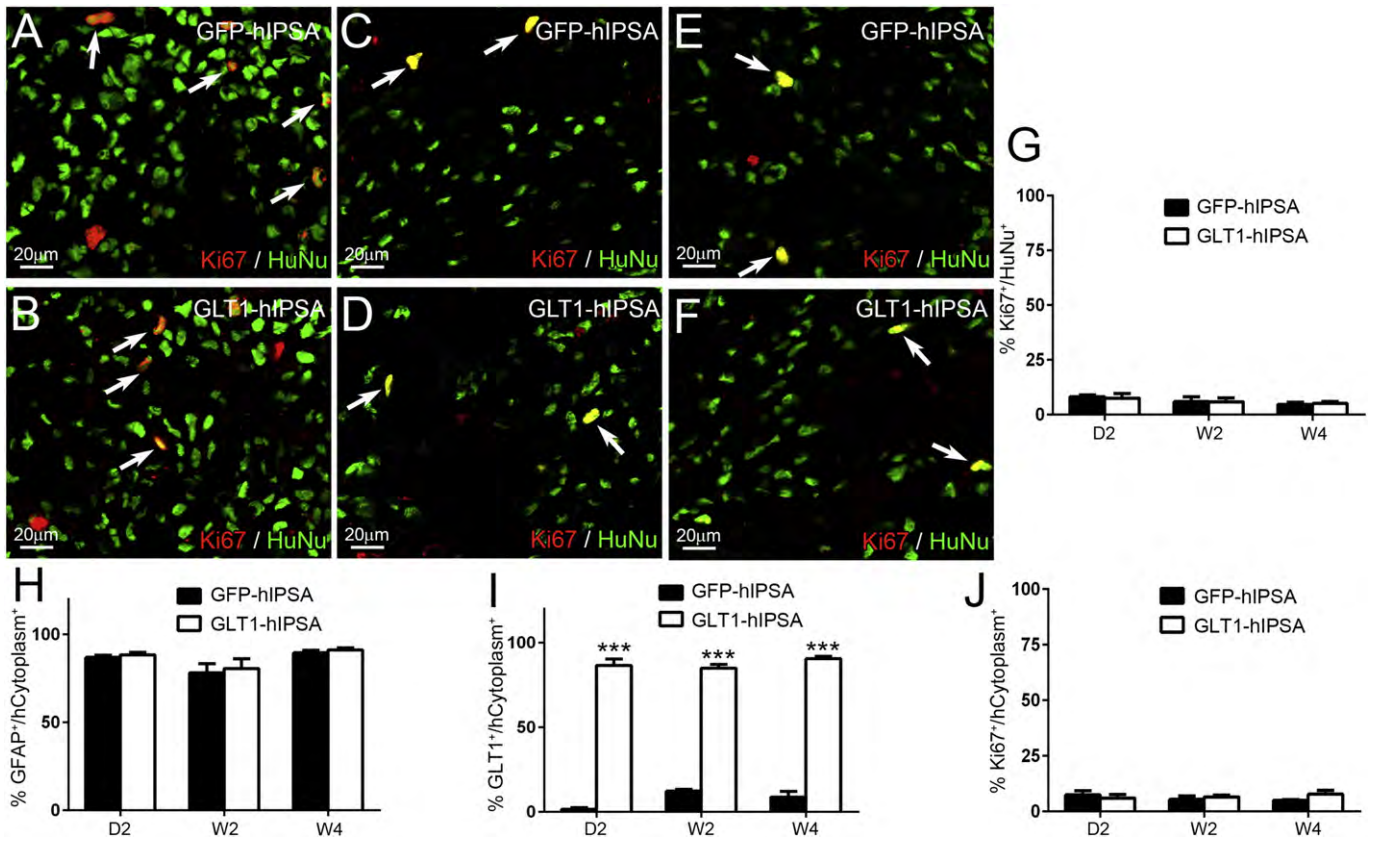


Fig. 4. Transplanted hiPSAs showed limited proliferation and did not form tumors. Double immunostaining for the proliferation marker Ki67 with human nuclei (HuNu) was performed on spinal cord sections from the GFP-hiPSA (A, C, E) and GLT1-hiPSA (B, D, F) groups at D2 (A–B), W2 (C–D) and W4 (E–F) post-transplantation, and quantification results are shown in (G). Tumor formation was never observed. We conducted similar *in vivo* characterization of hiPSA fate following transplantation into the mouse spinal cord immediately following unilateral cervical contusion SCI. The majority of transplant-derived cells were differentiated GFAP⁺ astrocytes (H). Control GFP-hiPSAs did not express GLT1, while overexpression resulted in the majority of transplant-derived astrocytes expressing GLT1 (I). Less than 10% of transplant-derived cells continued to proliferate at D2, W2 and W4 (J). Results were expressed as means ± SEM. ****p* < 0.001. *n* = 3 per group per time point in cell fate analysis.

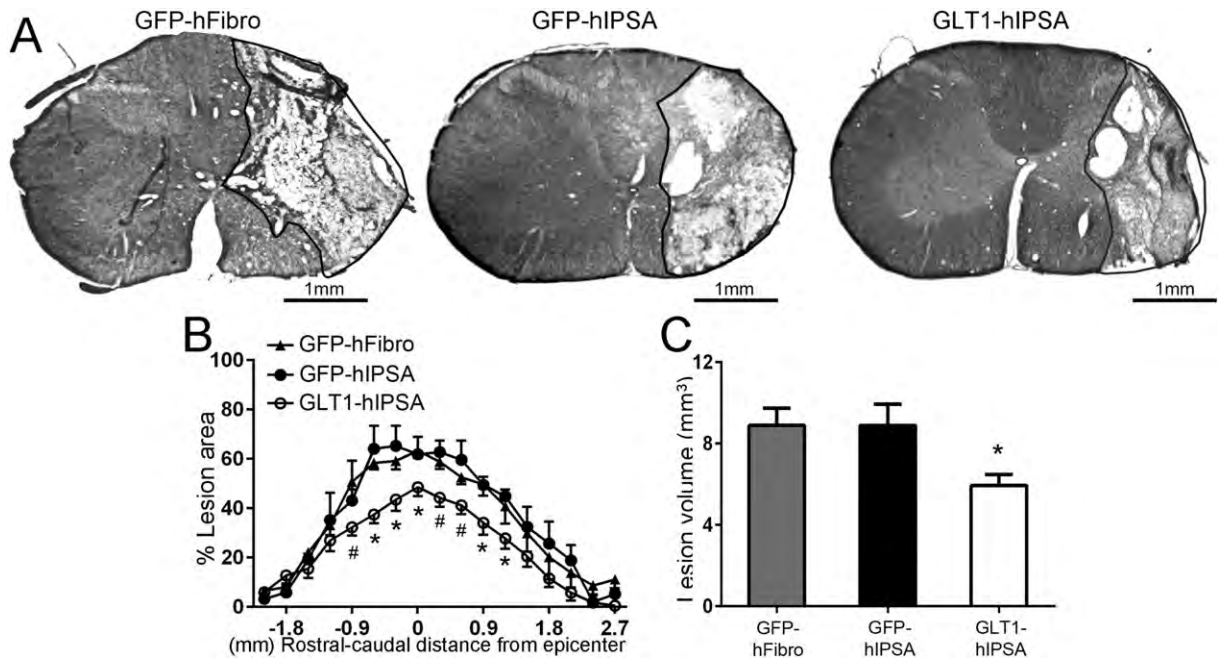


Fig. 5. GLT1 overexpressing hiPSA transplants reduced lesion size following cervical contusion SCI. At 4 weeks post-injury, we quantified Cresyl-violet stained transverse sections of the cervical spinal cord for the degree of ipsilesional tissue sparing by calculating the percentage of total ipsilateral hemi-cord area comprised of damaged tissue (A). Lesion area (B) and total lesion volume (C) analysis (combined for both white and gray matter) revealed that GLT1-hiPSA transplants significantly reduced lesion size at multiple locations surrounding the epicenter compared to both human fibroblast and control GFP-hiPSA transplant groups. Results were expressed as means ± SEM. #*p* < 0.05, GLT1-hiPSA group versus GFP-hiPSA group only; **p* < 0.05, GLT1-hiPSA group versus both control groups. *n* = 6 per group for lesion area and volume analysis.

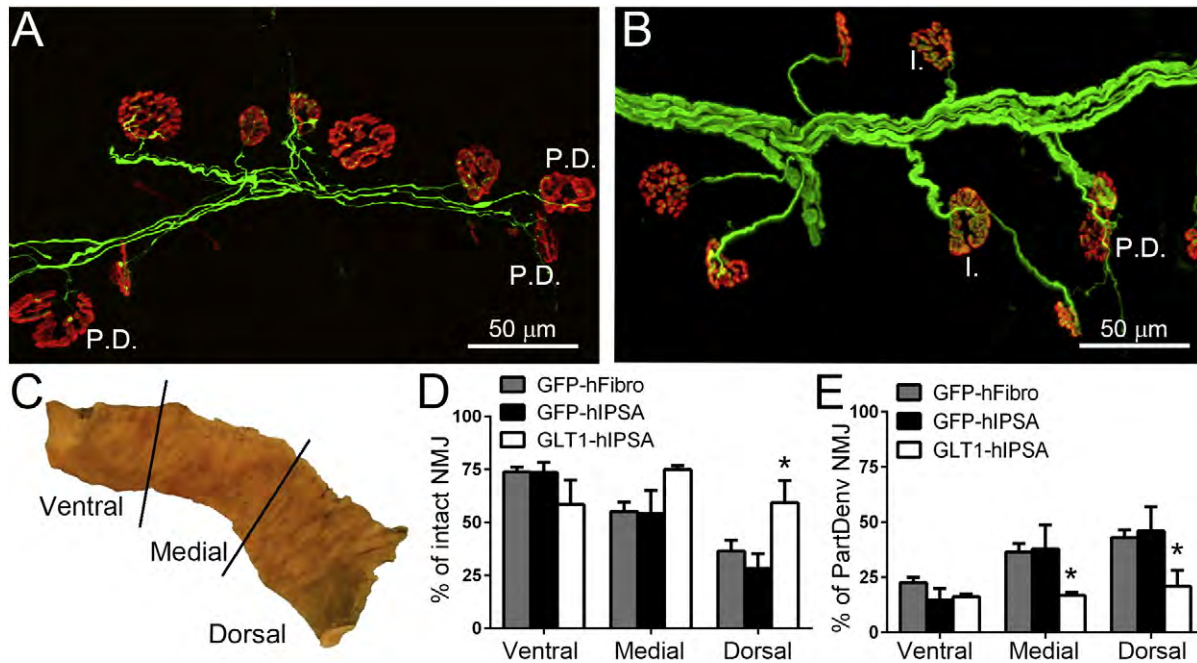


Fig. 6. GLT1 overexpressing hIPSA astrocyte transplants preserved diaphragm innervation by phrenic motor neurons following cervical contusion SCI. To examine pathological alterations at the diaphragm NMJ, hemi-diaphragm muscle ipsilateral to the contusion from the GFP-hFibro (A), GFP-hIPSA and GLT1-hIPSA (B) groups was examined at 4 weeks post-injury/transplantation. Individual NMJs were characterized as: intact (I.) and partially denervated (P.D.). For analysis, the hemi-diaphragm was divided into three anatomical regions (ventral, medial and dorsal) (C). At the dorsal region of the hemi-diaphragm, the percentage of intact NMJs in the GLT1-hIPSA group was significant greater than both control groups (D). GLT1-hIPSA transplants significantly reduced the percentage of partially denervated NMJs in the medial and dorsal hemi-diaphragm regions compared to both control groups (E). Results were expressed as means \pm SEM. * $p < 0.05$, GLT1-hIPSA group versus both control groups. $n = 4-6$ per group for NMJ analysis.

(Fig. 6D). GLT1-hIPSA transplants also significantly reduced the percentage of partially denervated NMJs in the medial and dorsal hemi-diaphragm regions compared to both control groups (Fig. 6E).

3.7. GLT1 overexpressing hIPSA transplants preserved diaphragm function following cervical contusion SCI

To determine the efficacy of preserving PMN-diaphragm innervation with respect to respiratory impairment, we characterized the *in vivo* functional effects of transplants on diaphragmatic function in cervical contusion rats. We recorded spontaneous EMG activity, which is indicative of PMN activation of diaphragm muscle due to central drive, at 4 weeks post-injury/transplantation (Fig. 7A). All groups showed reduced amplitude in rhythmic inspiratory EMG bursts associated with muscle contraction compared to uninjured animals (Nicaise et al., 2012). Integrated EMG analysis of this recording shows that the GLT1-hIPSA transplants significantly increased EMG amplitude in the dorsal region of the hemi-diaphragm compared to both control groups (Fig. 7B), again matching the anatomically-specific spinal cord and NMJ histological results. However, we observed no protective effects of GLT1-hIPSA transplants at either the medial or ventral regions, and the control GFP-hIPSA transplants showed no significant effects compared to control hFibroblast injection at all hemi-diaphragm locations (Fig. 7B). There were no significant differences in EMG burst frequency (Fig. 7C) or burst duration (Fig. 7D) amongst the three groups.

Following supramaximal phrenic nerve stimulus, we obtained compound muscle action potentials (CMAP) recordings from the ipsilateral hemi-diaphragm using a surface electrode (Fig. 7E). In all treatment groups, peak CMAP amplitude was significantly reduced compared to uninjured laminectomy only rats, whose CMAP amplitudes are approximately 7 mV (Nicaise et al., 2013). However, CMAP amplitudes in the GLT1-hIPSA transplant group were significantly increased compared to the two control transplantation groups at weeks 2–4 post-injury (Fig. 7F). With the use of the surface electrode, we are recording from the entire hemi-diaphragm (or at least a significant portion of the

muscle), yet we still observed this significant protective effect on overall muscle function, despite the fact that transplants only reduced central degeneration very near to the injury site and correspondingly preserved morphological innervation only in the dorsal hemi-diaphragm.

4. Discussion

The use of iPS cells as a source of mature cell types for therapeutic transplantation in CNS diseases represents an exciting direction in regenerative medicine. However, to date only a small number of studies have assessed the long-term fate and therapeutic efficacy of iPS cell-derived transplants in animal models of SCI.

A number of these studies reported significant therapeutic benefit when NSCs/NPCs derived from either mouse (Tsuji et al., 2010) or human (Fujimoto et al., 2012; Nori et al., 2011; Romanyuk et al., 2014) iPS cells were transplanted into contusion or cavity-type models of rodent SCI, as well as in non-human primate models (Kobayashi et al., 2012). Unlike our current work, these studies did not focus on, or achieve, targeted replacement of astrocytes in the injured spinal cord. In many cases, the cells were delivered in a multipotent NSC-like state and resulted in mixed differentiation into glial phenotypes, including astrocytes, and various neuronal subtypes. While these studies were able to achieve some functional benefit, future work may require more phenotypically targeted strategies, each of which depends on the nature of the SCI pathology (e.g. type of injury and anatomical locations affected) and the specific cell lineages being targeted for replacement. Nevertheless, these studies were able to nicely show promising properties of engrafted cells in the injured spinal cord environment, including synaptic integration into endogenous neuronal circuitry (Fujimoto et al., 2012; Nori et al., 2011). iPS cell-derived NSCs have also shown therapeutic promise in models of other spinal cord diseases such as spinal muscular atrophy (Simone et al., 2014).

A number of these studies with iPS cell transplantation reported a lack of beneficial outcomes in SCI models. Pomeschchik et al. (2014) did not observe functional improvement after transplantation of hIPSA

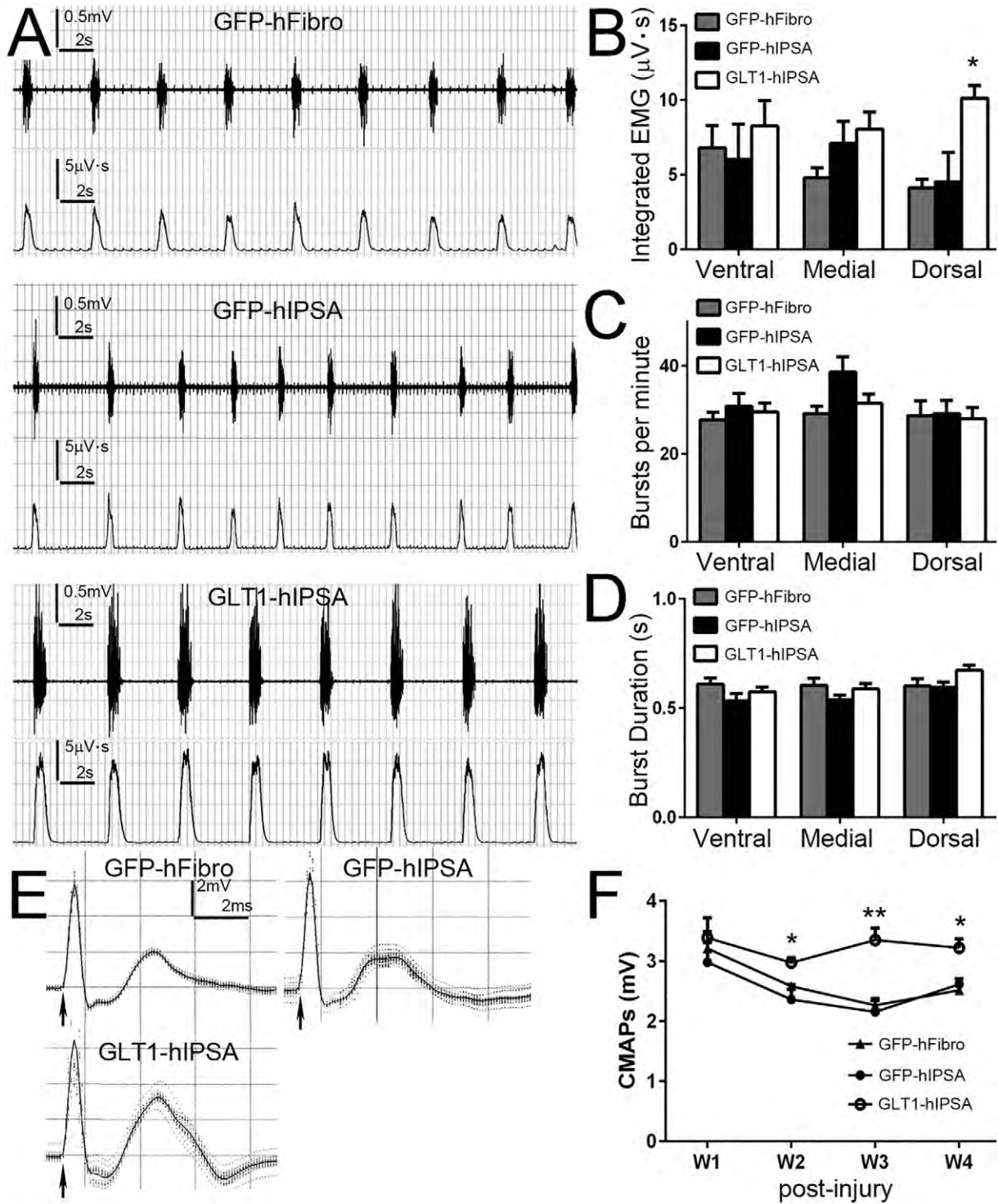


Fig. 7. GLT1 overexpressing hIPSA transplants preserved diaphragm function following cervical contusion SCI. Spontaneous EMG recordings from ipsilateral hemi-diaphragm were obtained at 4 weeks post-injury/transplantation (A, upper: raw EMG; lower: integrated EMG). Integrated EMG amplitude (B), burst frequency (C), and burst duration (D) were analyzed. Following supramaximal phrenic nerve stimulation, we obtained compound muscle action potential (CMAP) recordings from the ipsilateral hemi-diaphragm using a surface electrode (E). CMAP amplitudes at different time points post-injury were analyzed (F). Results were expressed as means ± SEM. **p* < 0.05, ***p* < 0.01, GLT1-hIPSA group versus both control groups. *n* = 6 per group for EMG and CMAP analysis.

cell-derived NPCs in a contusion SCI model. However, they also did not find long term survival of grafted cells in these mice receiving a tacrolimus immune suppression regimen, unlike the robust and persistent integration that we observed in the present study using an immune suppression protocol consisting of both tacrolimus and rapamycin in mice or cyclosporine in rats. In addition to our work, other groups have reported impressive survival and differentiation of hIPSA cells into

mature CNS cell types after injection into adult spinal cord of similarly immunosuppressed rodents (Haidet-Phillips et al., 2014; Sareen et al., 2014).

An interesting study from the Horner group (Nutt et al., 2013) reported a lack of therapeutic improvement with transplantation of hIPSA cell-derived NPCs in a SCI model, despite impressive graft integration. However, cells were delivered at a chronic time point, which may

represent an environment less amenable to transplant-induced plasticity, while we targeted early neuroprotection in this report.

A recent study from the Steward lab reported that transplantation of a mixed population of glial and neuronal progenitors into a transection model of SCI resulted in ectopic engraftment of large numbers of graft-derived cells in locations such as the central canal, ventricles and pial surface of the spinal cord (Steward et al., 2014), providing a note of caution when using transplantation of any class of NSC/NPC in SCI. This issue is particularly relevant to strategies employing cells derived from pluripotent sources such as ES and iPS cells given the possibility of incomplete and/or inefficient differentiation (Tsuji et al., 2010). In the current study and in our previous work (Lepore et al., 2004, 2005, 2006, 2008b, 2011b; Lepore and Fischer, 2005; Li et al., 2014), we never observed overt tumor formation or extensive migration away from injection sites beyond only a few spinal segments. In the current work, we did note the presence of a small residual population of proliferating transplant-derived cells even out to four weeks post-injection, though we never found any tumor formation. It will be important to assess very long-term time points post-transplantation in future experiments to establish the safety of these and similar types of cells before proceeding to the clinic. Unlike the Steward paper, we did not systematically assess distribution of transplant-derived cells throughout the neuraxis.

Mechanical allodynia (a form of neuropathic pain) was observed when mouse iPAs were transplanted into a contusion SCI model (Hayashi et al., 2011). In addition to this work, other published studies have similarly reported sensory hypersensitivity in SCI models accompanying transplantation of progenitor-derived astrocytes (Davies et al., 2008; Hofstetter et al., 2005), possibly due to increased neuronal plasticity that is induced by transplantation of immature astrocyte populations (Smith et al., 1986). However, in a large body of work, we and others (Haas et al., 2012; Mitsui et al., 2005; Nutt et al., 2013) have not found such increased sensitivity, including following hIPSA transplantation (Nutt et al., 2013). The discrepancy amongst these studies may be due to heterogeneity in the subtypes of astrocytes being injected (Davies et al., 2008, 2011).

A number of practical issues that are beyond the scope of this discussion will need to be addressed before moving transplantation of iPAs cells to the clinic in SCI and other diseases of the nervous system. Specifically with respect to targeting relative early events such as PhMN loss after cervical SCI, autologous derivation of cells will likely not be relevant given that PhMNs are lost within several days post-injury (Nicaise et al., 2013). Instead, cells to be used for transplantation will likely be obtained from banks of immune/HLA-matched cells (Zimmermann et al., 2012). Given the need to extensively test iPAs cell lines prior to transplantation into a patient, as well as the costs and time that will be required for generating cells for each individual patient, this approach may actually be practically preferable to autologous derivation (Taylor et al., 2011). As human stem cell lines have shown donor variability in SCI models (Neuhuber et al., 2005), future studies will need to investigate *in vivo* properties and therapeutic efficacy of human iPAs cells derived from multiple donors in an attempt to move this approach toward clinical translation.

Similar to our previous work using transplantation of astrocytes derived from rodent glial progenitors (Li et al., 2014), we find that GLT1-overexpressing hIPAs promote significant preservation of diaphragm function and diaphragm innervation by PhMNs. In both studies, control unmodified transplant-derived astrocytes expressed relatively lower levels of GLT1 in the injured spinal cord, suggesting that the cells respond to the injured environment in a similar manner as host astrocytes that show extensive transporter downregulation. Interestingly, the unmodified hIPSA transplants, despite excellent survival and efficient differentiation, did not promote therapeutic benefit with respect to protection of diaphragmatic respiratory circuitry. These findings suggest that astrocyte replacement alone may be insufficient when targeting certain pathological mechanisms (e.g. excitotoxicity) but that functional maturation of these astrocytes is necessary, which is not surprising

given the diverse, complex and integral roles that astrocytes play in intact CNS function (Pekny and Nilsson, 2005).

We have made interesting observations over the course of a number of studies with respect to therapeutically targeting GLT1 following SCI. We have consistently observed significant GLT1 downregulation in endogenous reactive astrocyte populations in both contusion and crush, as well as both cervical and thoracic, models of SCI (Lepore et al., 2011a, 2011c; Li et al., 2015; Putatunda et al., 2014; Watson et al., 2014). When we selectively increased GLT1 expression in these endogenous astrocytes in the unilateral cervical contusion model using an AAV8 vector, we paradoxically found that secondary degeneration of PhMNs and diaphragm denervation were worsened (Li et al., 2015). This effect was due to compromise in the protective glial scar-forming properties of endogenous astrocytes, which resulted in unexpected expansion of the lesion. In the current study with hIPAs and in our previous work with rodent-derived glial progenitors (Li et al., 2014), we found that delivery of an exogenous source of astrocytes that expresses high levels of functional GLT1 *via* transplantation (in the exact same cervical contusion model) results in significant preservation of PhMNs and diaphragm function. These findings, as well as other studies that tested the effects of pharmacologically elevating (Olsen et al., 2010) or genetically reducing (Lepore et al., 2011c) GLT1 in SCI, demonstrate that targeting GLT1 is a promising and powerful therapeutic strategy in SCI for targeting neuroprotection and possibly other outcomes of SCI such as neuronal hyperexcitability.

Despite the impressive therapeutic effect achieved in the present study, the degree of PhMN protection and diaphragm function preservation was only partial. In future work, we will need to optimize neuroprotective strategies such as hIPSA transplantation to enhance therapeutic effects, as well as combine these neuroprotective approaches with interventions aimed at promoting plasticity, axonal regrowth and targeted reconnection of the rVRG-PhMN-diaphragm circuit (Alilain et al., 2011). Preserving neural control of diaphragm function involves targeting a complex circuitry that extends beyond just protecting PhMNs (Lane et al., 2009). We focused on preservation of PhMNs centrally in the cervical spinal cord and NMJ innervation peripherally in the diaphragm. Nevertheless, our hIPSA intervention may have also exerted beneficial effects *via* protection of respiratory interneuron populations of the cervical spinal cord and/or descending bulbospinal input to PhMNs from the rVRG. hIPSA transplants may have also resulted in beneficial effects by promoting regrowth/regeneration and/or sprouting of rVRG axons and interneurons, which is possible given the growth-promoting properties of astrocyte transplants after SCI (Davies et al., 2006, 2008, 2011; Haas et al., 2012). However, we only observed therapeutic effects on diaphragm innervation and function with GLT1 overexpressing hIPAs (but not with control unmodified hIPAs), suggesting that neuroprotection mediated by increased GLT1 levels and consequent reduction in excitotoxicity was the likely mechanism, even if transplants also promoted some regrowth of respiratory axon populations. We also did not observe differences amongst groups in plasticity at the diaphragm NMJ such as sprouting or reinnervation, further supporting central neuroprotection as the responsible mechanism of therapeutic action.

In conclusion, we report exciting and novel results showing that targeted replacement of astrocyte GLT1 following cervical SCI using hIPSA transplantation significantly preserves diaphragmatic respiratory function. These findings are important for a number of reasons. We demonstrate the therapeutic efficacy and safety of hIPSA transplantation in SCI, as well as the benefit of specifically addressing astrocyte dysfunction using this clinically-relevant source of cells. We also show mechanistically that targeting GLT1 using an astrocyte transplant-based approach has profound effects on functional and histopathological outcomes after SCI. Furthermore, we conducted these studies in a clinically-relevant SCI paradigm that models a large proportion of human disease cases. Excitingly, we find that this intervention results in therapeutic benefit on respiratory function, which has important implications for

SCI patients. Collectively, these studies lay the foundation for translating iPSC cell transplantation to the treatment of SCI.

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KL: Conception and design, collection and assembly of data, data analysis and interpretation, manuscript writing. EJ, TJH, SS, MCW: Collection and assembly of data, data analysis and interpretation. JPR, NJM: Provision of study materials. ACL: Conception and design, collection and assembly of data, data analysis and interpretation, manuscript writing, final approval of manuscript. This work was supported by the Craig H. Neilsen Foundation (grant #190140 to A.C.L.) and the NINDS (grant #1R01NS079702 to A.C.L.).

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