

Committee on Energy and Commerce  
U.S. House of Representatives  
Witness Disclosure Requirement - "Truth in Testimony"  
Required by House Rule XI, Clause 2(g)(5)

1. Your Name: <u>Ann Maxwell</u>		
2. Your Title: <u>Assistant Inspector General for Evaluations</u>		
3. The Entity(ies) You are Representing: <u>Office of Inspector General, Office of Evaluation and Inspections</u>		
4. Are you testifying on behalf of the Federal, or a State or local government entity?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
5. Please list any Federal grants or contracts, or contracts or payments originating with a foreign government, that you or the entity(ies) you represent have received on or after January 1, 2013. Only grants, contracts, or payments related to the subject matter of the hearing must be listed. <u>-None</u>		
6. Please attach your curriculum vitae to your completed disclosure form.		

Signature



Date:

5/18/16

# **Ann T. Maxwell**

*227 Park Avenue, Takoma Park, MD. 20912*

## **PROFESSIONAL EXPERIENCE:**

### **Assistant Inspector General August 2014 – present Office of Evaluation and Inspections, Washington DC**

Manage national office of 145 evaluators completing utilization-focused evaluations intended to provide Congress and HHS with relevant, timely, and useful information. Responsible for strategic planning and execution for a wide portfolio of subject matter including Medicaid, prescription drugs, grants management, and programs lead by the Food & Drug Administration and the Administration for Children & Families. Also, responsible for stakeholder engagement to drive the implementation of recommendations with my portfolios.

### **Regional Inspector General, GS-15 December 2005–August 2014 Office of Evaluation and Inspections, Chicago**

Manage a regional office of 15 evaluators. Develop strategic vision for the office, including developing relevant, mission-focused evaluation topics. Oversee all aspects of the completion of timely, high quality evaluations focused on impact. Manage the financial, human resources, and technology needs of the office, with a non-pay budget of approximately \$80,000. Represent the office within OIG and without to external stakeholders including Congressional members and staff, Federal and State program directors, health care industry representatives, and the media.

### **Acting Regional Inspector General, GS-14 September 2005–December 2005 Office of Evaluation and Inspections, Chicago**

I managed the office during a major transition due to the retirement of previous Regional Inspector General after 20 years in the role. I was singly responsible for all aspects of managing, supervising, and leading the regional office. This included report production, budget, performance management, and time management. I ensured that the teams meet all key milestone dates for 13 evaluations while still maintaining the highest standards of quality and technical rigor. I also managed the administrative aspects of the office such as the budget, performance management and technology needs. During this time, I was also a member of the work plan steering committee responsible for the review and approval of the all of the evaluation proposals from the regions. Responsibilities included delineating strategic issue areas, reviewing and approving proposals for future evaluation work, and providing feedback to topic area work planning groups.

### **Assistant Regional Inspector General, GS-14 March 2004–September 2005 Office of Evaluation and Inspections, Chicago**

Along with the Regional Inspector General, I managed four evaluation teams working on 8 to 10 evaluation projects, each at a different stage of development. Managing these teams involved determining work assignments, allocating resources, monitoring their progress, and providing appropriate feedback and rewards. I also participated and led a variety of organizational committees and special projects that provided an opportunity to exert leadership in variety of contexts.

### **Team Leader September 2000–March 2004 Office of Evaluation and Inspections, Chicago**

As a team leader, I was responsible for delivering rigorous, high quality evaluations in a timely manner. I was also responsible for day-to-day direction and development of teams of junior analysts. This involved setting team goals and expectations, delegating tasks, ensuring work was done in a timely manner that met OEI

quality standards, and providing timely feedback for career development. Developed broad knowledge of the various programs in HHS, including Medicare, Medicaid, public health, grants oversight and child support.

**Program Analyst** **March 1997–September 2000**  
**Office of Evaluation and Inspections, Chicago**

I gained experience in all aspects of the evaluation process from research design, instrument creation, data collection, qualitative and quantitative data analysis, and report preparation. I was trained in the Counsel of the Inspectors General for Integrity and Efficiency Quality Standards for Inspection and Evaluation and the Inspector General Act.

**Research Assistant** **July 1995–October 1996**  
**Woodstock Institute, 407 S. Dearborn, Chicago, Illinois**

I conducted research on the economic issues faced by disadvantaged communities throughout Chicago. I analyzed tabular data and created graphical models for labor market analysis reports. I researched and co-authored the publication, *Building Community: Developing Human and Economic Resources*.

**Policy Analyst** **October 1994–June 1995**  
**Women for Economic Security, 200 S. Michigan Avenue, Chicago, Illinois**

I designed and implemented all aspects of an educational advocacy program related to Temporary Assistance to Needy Families. I crafted policy bulletins regarding Federal and State welfare policy.

**Associate Marketing Coordinator** **March 1992–July 1994**  
**Oak Park Regional Housing Center, 1041 South Boulevard, Oak Park, Illinois**

I conducted research concerning racially integrated housing that was presented to the Village of Oak Park board of trustees. I implemented strategies to market multi-family housing for racial diversity.

**EDUCATION:**

- Master of Arts, Social Administration Concentration, University of Chicago, Chicago, Illinois
- Certificate in Non-profit Management, Roosevelt University, Chicago, Illinois
- Bachelor of Arts in Sociology and Economics, Summa Cum Laude, Kalamazoo College, Kalamazoo, Michigan

**SELECTED PROFESSIONAL DEVELOPMENT:**

- Effectively Managing IG Relations, OIG Management Institute
- Seminar for New Managers, Office of Personnel Management
- Models of Leadership, Graduate School of Business, University of Chicago
- Building Relationships, Graduate School of Business, University of Chicago
- Strategic Planning, School of Social Service Administration, University of Chicago
- Congressional Operations Seminar, Government Affairs Institute, Georgetown University
- Leadership Development Program, Office of Evaluation and Inspections. Competitively selected to participate in a two-year leadership development program. 2001–2002

### **SELECTED AWARDS:**

- OIG Cooperative Achievement Award: In recognition of outstanding collaboration to provide expert technical assistance to Congress regarding the Affordable Care Act, 2011
- Bronze Medal: In recognition of exemplary leadership in the Chicago Regional office and significant contributions to the entire organization, 2010
- Excellence in Ethics in Government Award: *The Food and Drug Administration's Oversight of Clinical Investigators' Financial Interests*, 2009
- Excellence in Program Evaluation: *Evaluation of HRSA Oversight of the 340B Drug Discount Program*, 2007
- President's Council on Efficiency and Integrity Award for Excellence in Evaluation: *For the strategic development and completion of a series of Medicaid drug pricing reports that are being used by Congressional decision-makers to guide payment revision efforts*, 2005
- Elizabeth Butler Award: For exceptional leadership in the field of social work, 2004
- Excellence in Program Evaluation: *Cost Containment for HIV/AIDS drugs in Medicaid*, 2002
- Exceptional Achievement Awards, 1997- 2005

### **SELECTED PUBLICATIONS:**

- *Letter to Editor on Medicaid Early and Periodic Screening, Diagnosis, and Treatment*, New England Journal of Medicine, September 2011
- *Raising the Bar*, Evaluators' Forum, September 2005
- *Effectiveness of Access & Visitation Grant Programs*, Child Support Quarterly, March 2002
- *Employing Critical Thinking in Design Creation*, Methodology Forum, 2002
- *The OEI Way: Mixed-Method Program Evaluation*, Methodology Forum, October 2000
- *Using SAS to Detect Potential Fraud*, Methodology Forum, June 1999
- *Information Superhighway Detours Around Poor Neighborhoods*, Social Work Perspectives, 1994

### **SELECTED PRESENTATIONS:**

- *Overview of OIG Provider Enrollment Evaluations*, Medicaid Integrity Institute, 2014
- *Medicaid Program Integrity Contractors*, National Association of Medicaid Program Integrity, 2012
- *Harvesting the Dividends, as Results, of OIG Work*, President's Council on Integrity and Efficiency, 2004
- *Achieving Impact: A Case Study on How Evaluators Helped Save \$16 Billion on Medicare Prescription Drugs*, American Evaluators' Association, 2004