(Original Signature of Member)

118TH CONGRESS 2D SESSION

H. R. 9768

To amend the Homeland Security Act of 2002 to establish within the Cybersecurity and Infrastructure Security Agency a Joint Cyber Defense Collaborative, and for other purposes.

IN THE HOUSE OF REPRESENTATIVES

Mr. SWALWELL introduced the following bill; which was referred to the Committee on Homeland Security

A BILL

To amend the Homeland Security Act of 2002 to establish within the Cybersecurity and Infrastructure Security Agency a Joint Cyber Defense Collaborative, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE.
- 4 This Act may be cited as the "Joint Cyber Defense
- 5 Collaborative Act".

| 1 | SEC. 2. ESTABLISHMENT OF JOINT CYBER DEFENSE COL- |
|----|--|
| 2 | LABORATIVE. |
| 3 | (a) In General.—Section 2216 of the Homeland |
| 4 | Security Act of 2002 (6 U.S.C. 665b) is amended— |
| 5 | (1) in the section heading, by striking " JOINT |
| 6 | CYBER PLANNING OFFICE" and inserting "JOINT |
| 7 | CYBER DEFENSE COLLABORATIVE"; |
| 8 | (2) by striking subsection (a); |
| 9 | (3) by redesignating subsections (b) through (f) |
| 10 | as subsections (f) through (j), respectively; |
| 11 | (4) by inserting before subsection (f), as so re- |
| 12 | designated, the following new subsections: |
| 13 | "(a) In General.—The Agency shall maintain the |
| 14 | 'Joint Cyber Defense Collaborative' program (in this sec- |
| 15 | tion referred to as the 'Collaborative') to support enhanced |
| 16 | public-private partnerships across critical infrastructure |
| 17 | sectors for collective cyber defense operations, information |
| 18 | sharing, and operational collaboration, and develop, for |
| 19 | Federal and non-Federal entities, plans for cyber defense |
| 20 | operations, including the development of a set of coordi- |
| 21 | nated actions to detect, prevent, limit, prepare for, miti- |
| 22 | gate, protect against, respond to, recover from, and build |
| 23 | resilience to cybersecurity risks, security vulnerabilities, |
| 24 | and incidents, and cybersecurity threats to, and incidents |
| 25 | or active malicious cyber operations targeting, critical in- |
| 26 | frastructure or national interests. The Collaborative shall |

| 1 | be headed by a senior official of the Agency selected by |
|----|--|
| 2 | the Director. |
| 3 | "(b) Functions.—The Collaborative shall carry out |
| 4 | the following: |
| 5 | "(1) Maintain strategic, operational partner- |
| 6 | ships with entities and organizations with diverse cy- |
| 7 | bersecurity roles, expertise, and situational aware- |
| 8 | ness that will enhance the Agency's situational |
| 9 | awareness of cybersecurity risks, cybersecurity |
| 10 | threats, and active malicious cyber operations, in- |
| 11 | cluding with cybersecurity and technology compa- |
| 12 | nies, critical infrastructure owners and operators, se- |
| 13 | curity researchers and academic institutions, non- |
| 14 | governmental organizations, information system ven- |
| 15 | dors, manufacturers, and foreign government enti- |
| 16 | ties in accordance with subsection (c), and other en- |
| 17 | tities as appropriate. |
| 18 | "(2) Develop, for public and private sector enti- |
| 19 | ties, plans for cyber defense operations, including |
| 20 | the development of a set of coordinated actions to |
| 21 | support governmental and non-governmental entities |
| 22 | to— |
| 23 | "(A) protect, detect, respond to, and re- |
| 24 | cover from cybersecurity risks, cybersecurity |

| 1 | threats, active malicious cyber operations, or in- |
|----|---|
| 2 | cidents; or |
| 3 | "(B) limit, mitigate, or defend against ac- |
| 4 | tive or anticipated malicious cyber operations |
| 5 | that pose a potential risk to critical infrastruc- |
| 6 | ture or national security interests. |
| 7 | "(3) Develop plans for governmental and non- |
| 8 | governmental entities, including cyber incident re- |
| 9 | sponse plans under 2210(c), plans relating to threat- |
| 10 | focused campaigns, and plans to address long-term |
| 11 | cybersecurity priorities. |
| 12 | "(4) Gather, analyze, synthesize, and rapidly |
| 13 | share information relating to cybersecurity threats |
| 14 | and warnings to inform collective cyber defense oper- |
| 15 | ations, either through direct engagement or through |
| 16 | the sharing of cybersecurity guidance through indus- |
| 17 | try organizations to drive action across all stake- |
| 18 | holder communities. |
| 19 | "(5) Facilitate the development and publication |
| 20 | of joint analyses with Government and non-govern- |
| 21 | ment partners, as well as international partners, as |
| 22 | appropriate, regarding threat actors, cybersecurity |
| 23 | risks, cybersecurity threats, active malicious cyber |
| 24 | operations, and incidents within and across critical |
| 25 | infrastructure sectors, to enhance awareness of ad- |

| 1 | versary tactics, techniques, and procedures and pro- |
|----|--|
| 2 | vide recommendations for mitigation. |
| 3 | "(6) Utilizing mechanisms that enable confiden- |
| 4 | tial real-time information sharing and dissemination |
| 5 | of technical products between the Collaborative and |
| 6 | its partners. |
| 7 | "(7) Develop processes and procedures to rap- |
| 8 | idly share with non-governmental entities timely and |
| 9 | actionable cyber threat intelligence and information |
| 10 | from Government entities, including the |
| 11 | Collaborative's partners, for purposes of informing |
| 12 | joint activities within the Collaborative, as well as |
| 13 | proactive defense actions to defend critical infra- |
| 14 | structure and non-Federal networks. |
| 15 | "(8) Establish, as appropriate, focused initia- |
| 16 | tives designed to respond to significant, emergent, or |
| 17 | evolving cybersecurity risks or cybersecurity threats |
| 18 | to, or active malicious cyber operations targeting, |
| 19 | critical infrastructure sectors or technologies, includ- |
| 20 | ing industrial control systems. |
| 21 | "(9) Develop plans for cyber defense operations |
| 22 | for Federal Government and non-Federal Govern- |
| 23 | ment entities, as well as plans to respond to specific |
| 24 | cybersecurity risks, cybersecurity threats, active ma- |
| 25 | licious cyber operations, or threat actors. |

| 1 | "(10) Identify information and intelligence gaps |
|----|--|
| 2 | related to cybersecurity risks, cybersecurity threats, |
| 3 | active malicious cyber operations, and threat actors. |
| 4 | "(11) Such other activities as the Director de- |
| 5 | termines appropriate to enhance the Agency's ability |
| 6 | to carry out its mission as described in subsection |
| 7 | (a). |
| 8 | "(c) Charter.— |
| 9 | "(1) In general.—The Collaborative shall op- |
| 10 | erate pursuant to a charter, to be developed by the |
| 11 | Director, that includes a description of each of the |
| 12 | following: |
| 13 | "(A) The organization and structure of the |
| 14 | Collaborative, as well as the relationship be- |
| 15 | tween the Collaborative and existing Agency in- |
| 16 | formation sharing functions, such programs |
| 17 | within the national cybersecurity and commu- |
| 18 | nications integration center established pursu- |
| 19 | ant to section 2209, and the manner in which |
| 20 | the Collaborative will engage, coordinate with, |
| 21 | and support other Agency divisions and pro- |
| 22 | grams. |
| 23 | "(B) The core capabilities the Collabo- |
| 24 | rative will provide. |

| 1 | "(C) How the Collaborative will prioritize, |
|----|--|
| 2 | refine, develop, and mature existing and future |
| 3 | capabilities to address significant, emergent, or |
| 4 | evolving cybersecurity risks, cybersecurity |
| 5 | threats, active malicious cyber operations, and |
| 6 | incidents. |
| 7 | "(D) The policies and procedures that will |
| 8 | be used to govern the Collaborative, including |
| 9 | mechanisms and protocols to improve stake- |
| 10 | holder awareness of, and input into, Collabo- |
| 11 | rative activities, as well as procedures for noti- |
| 12 | fying Collaborative partners about changes in |
| 13 | membership. |
| 14 | "(E) Policies governing the collection, use, |
| 15 | dissemination, and retention of information re- |
| 16 | lating to cybersecurity threats provided to or |
| 17 | developed by the Collaborative, consistent with |
| 18 | the protections established in sections 105 and |
| 19 | 106 of the Cybersecurity Act of 2015 (6 U.S.C. |
| 20 | 1504 and 1505; enacted as division N of the |
| 21 | Consolidated Appropriations Act, 2016 (Public |
| 22 | Law 114–113)). |
| 23 | "(F) Criteria to be used in selecting focus |
| 24 | areas, activities, and initiatives the Collabo- |
| 25 | rative will pursue, with procedures requiring |

| 1 | new initiatives to cite relevant portions of the |
|----|--|
| 2 | Charter, relevant criteria, and other factors |
| 3 | used to support such selection. |
| 4 | "(G) A description of the types or cat- |
| 5 | egories of partnerships in which the Collabo- |
| 6 | rative will engage. |
| 7 | "(H) Procedures governing the selection of |
| 8 | partner organizations and terms of such part- |
| 9 | nerships, including the following: |
| 10 | "(i) The different partnership models |
| 11 | the Collaborative plans to offer, depending |
| 12 | on the type of potential partner an organi- |
| 13 | zation is, the role and function of a poten- |
| 14 | tial partner organization within the cyber |
| 15 | ecosystem, the type of expertise and situa- |
| 16 | tional awareness a potential partner orga- |
| 17 | nization is able to provide, and the type of |
| 18 | support or information sharing a potential |
| 19 | partner organization is seeking from such |
| 20 | a partnership. |
| 21 | "(ii) The criteria to be used in the se- |
| 22 | lection of governmental and non-govern- |
| 23 | mental entities with which the Collabo- |
| 24 | rative will partner. |

| 1 | "(iii) A clearly defined process for any |
|----|--|
| 2 | prospective partner to apply to join the |
| 3 | Collaborative, which shall be posted on the |
| 4 | Agency's website. |
| 5 | "(iv) A process for evaluating foreign |
| 6 | entity participation. |
| 7 | "(v) A process for alerting Collabo- |
| 8 | rative partners of new partners, including |
| 9 | foreign entities. |
| 10 | "(H) Administrative management policies |
| 11 | to facilitate regular communication between the |
| 12 | Collaborative and its partners, including desig- |
| 13 | nating Collaborative liaisons to support the ad- |
| 14 | ministrative needs of Collaborative partners. |
| 15 | "(I) The types of assessments, guidance, |
| 16 | reports, and other products the Collective will |
| 17 | release to partners and the public, as well as |
| 18 | the anticipated frequency with which such prod- |
| 19 | ucts will be published. |
| 20 | "(J) Performance metrics that will be used |
| 21 | evaluate the effectiveness of the Collaborative |
| 22 | and its activities, and track progress on specific |
| 23 | focus areas and initiatives |

| 1 | "(2) Considerations.—In developing the |
|----|---|
| 2 | charter described in paragraph (1), the Director |
| 3 | shall consider the following: |
| 4 | "(A) Building and maintaining trust with |
| 5 | and among partners of the Collaborative. |
| 6 | "(B) Costs to partners associated with |
| 7 | participation in the Collaborative. |
| 8 | "(C) The potential of the Collaborative's |
| 9 | activities to reduce cybersecurity risks and cy- |
| 10 | bersecurity threats to, or active malicious cyber |
| 11 | operations targeting, partners of the Collabo- |
| 12 | rative, and entities that are not partners of the |
| 13 | Collaborative. |
| 14 | "(D) Appropriate mechanisms to assess |
| 15 | collaboration with foreign entities or foreign- |
| 16 | owned entities. |
| 17 | "(d) Advisory Council.—Not later than 60 days |
| 18 | after the date of the enactment of this paragraph, the Di- |
| 19 | rector shall establish a Joint Cyber Defense Collaborative |
| 20 | Advisory Council, comprised of 25 representatives of Col- |
| 21 | laborative partners with diverse cybersecurity and critical |
| 22 | infrastructure roles, expertise, and situational awareness, |
| 23 | to inform the development of the charter described in |
| 24 | paragraph (1) (and any updates thereto) and provide rec- |
| 25 | ommendations on initiatives for the Collaborative to un- |

| 1 | dertake. The Director shall seek such recommendations |
|----|--|
| 2 | from partners of the Collaborative, and appoint members |
| 3 | to the Advisory Council, on a rotational basis, for a period |
| 4 | of not more than two years. No Member of the Cybersecu- |
| 5 | rity Advisory Committee under section 2219 may serve on |
| 6 | the Advisory Council. |
| 7 | "(e) Partner Organization Views.—The Director |
| 8 | shall establish a mechanism to receive the views of partner |
| 9 | organizations regarding the activities of the Collaborative, |
| 0 | and, in addition, accept voluntary annual evaluations from |
| 1 | sector coordinating councils with members that are part- |
| 2 | ners of the Collaborative. Any such evaluations shall by |
| 3 | shared by the Director with the Committee on Homeland |
| 4 | Security of the House of Representatives and the Com- |
| 5 | mittee on Homeland Security and Governmental Affairs |
| 6 | of the Senate. |
| 7 | "(f) No Right or Benefit.— |
| 8 | "(1) In general.—The provision of assistance |
| 9 | or information to, and inclusion in the Collaborative, |
| 20 | or any activity of the Collaborative, of any govern- |
| 21 | mental or non-governmental entity under this section |
| 22 | shall be at the discretion of the Director. |
| 23 | "(2) Limitation.—The provision of certain as- |
| 24 | sistance or information to, or inclusion in the Col- |
| 25 | laborative, or any activity of the Collaborative, pur- |

| 1 | suant to this section shall not create a right or ben- |
|----|--|
| 2 | efit, whether substantive or procedural, to similar |
| 3 | assistance or information for any other govern- |
| 4 | mental or non-governmental entity. |
| 5 | "(g) Implementation.—For any action taken to |
| 6 | implement this section, the following shall not apply: |
| 7 | "(1) Chapter 35 of title 44, United States |
| 8 | Code. |
| 9 | "(2) Chapter 10 of title 5, United States |
| 10 | Code."; |
| 11 | (5) in subsection (g), as so redesignated— |
| 12 | (A) in the matter preceding paragraph (1), |
| 13 | by striking "Office" and inserting "Collabo- |
| 14 | rative"; |
| 15 | (B) in paragraph (1), by striking "plan- |
| 16 | ning''; and |
| 17 | (C) in paragraph (2)— |
| 18 | (i) in subparagraph (E), by striking |
| 19 | "and" after the semicolon; and |
| 20 | (ii) in subparagraph (F), by striking |
| 21 | the period and inserting a semicolon; and |
| 22 | (iii) by adding at the end the fol- |
| 23 | lowing new subparagraphs: |
| 24 | "(G) the Department of State; and |
| 25 | "(H) the Central Intelligence Agency."; |

| 1 | (6) in subsection (h), as so redesignated, in the |
|----------------------|---|
| 2 | matter preceding paragraph (1), by striking "re- |
| 3 | sponsibilities" and inserting "functions"; |
| 4 | (7) in subsection (i), as so redesignated, by |
| 5 | striking "subsection (e)" and inserting "subsection |
| 6 | (g)"; and |
| 7 | (8) by adding at the end the following new sub- |
| 8 | section: |
| 9 | "(k) Sunset.—This section shall expire on the date |
| 0 | that is five years after the date of the enactment of this |
| 1 | subsection.". |
| 2 | (b) Strategy; Annual Briefings; Information |
| 3 | Policy.— |
| 4 | (1) Charter.—Not later than 120 days after |
| 5 | the date of the enactment of this Act, the Director |
| 6 | of the Cybersecurity and Infrastructure Security |
| 7 | |
| | Agency of the Department of Homeland Security |
| 8 | Agency of the Department of Homeland Security shall submit to the Committee on Homeland Secu- |
| 8 | · · · · · · · · · · · · · · · · · · · |
| | shall submit to the Committee on Homeland Secu- |
| 9 | shall submit to the Committee on Homeland Security of the House of Representatives and the Com- |
| 19 20 | shall submit to the Committee on Homeland Security of the House of Representatives and the Committee on Homeland Security and Governmental Af- |
| 20 21 | shall submit to the Committee on Homeland Security of the House of Representatives and the Committee on Homeland Security and Governmental Affairs of the Senate the charter for the Joint Cyber |
| 19 20 21 22 | shall submit to the Committee on Homeland Security of the House of Representatives and the Committee on Homeland Security and Governmental Affairs of the Senate the charter for the Joint Cyber Defense Collaborative developed pursuant to sub- |

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

able in the Federal Register within seven days after
such submission to Congress.

(2) STRATEGY.—Not later than one year after the date of the enactment of this Act, the Director of the Cybersecurity and Infrastructure Security Agency of the Department of Homeland Security shall submit to the Committee on Homeland Security of the House of Representatives and the Committee on Homeland Security and Governmental Affairs of the Senate a strategy describing the key priorities, objectives, and milestones of the Joint Cyber Defense Collaborative under section 2216 of the Homeland Security Act of 2002 (6 U.S.C. 665b), as amended by this section, as well as plans to carry out such objectives and metrics that will be used to evaluate effectiveness and sustain operations over time. The Director may, as appropriate, submit to such Committees any legislative proposals for new authorities the Collaborative needs to carry out its mission.

(3) Annual Briefings.—Not later than one year after the date of the enactment of this Act and annually thereafter, the Director of the Cybersecurity and Infrastructure Security Agency of the Department of Homeland Security shall provide to the

1 Committee on Homeland Security of the House of 2 Representatives and the Committee on Homeland 3 Security and Governmental Affairs of the Senate a 4 briefing on the activities of the Joint Cyber Defense 5 Collaborative under section 2216 of the Homeland 6 Security Act of 2002 (6 U.S.C. 665b), as amended 7 by this section. 8 (4) Information access and security pol-9 ICY.—Not later than 90 days after the date of the 10 enactment of this Act, the Director of the Cyberse-11 curity and Infrastructure Security Agency of the De-12 partment of Homeland Security shall issue a policy 13 regarding how information shared with the Joint 14 Cyber Defense Collaborative under section 2216 of 15 the Homeland Security Act of 2002 (6 U.S.C. 16 665b), as amended by this section, may be used, in-17 cluding among different participants within the Col-18 laborative, as well as restrictions on the use of infor-19 mation, including prohibitions on sharing informa-20 tion with governmental and non-governmental enti-21 ties based in or controlled by countries the intel-

ligence community (as such term is defined in section 3(4) of the National Security Act of 1947 (50 U.S.C. 3003(4)) has identified as a foreign adver-

25 sary in its most recent Annual Threat Assessment or

| 1 | that the Secretary of Homeland Security, in coordi- |
|----|---|
| 2 | nation with the Director of National Intelligence, |
| 3 | has identified as a foreign adversary in its most re- |
| 4 | cent Annual Threat Assessment. Such policy shall |
| 5 | also describe how information retained by the Col- |
| 6 | laborative will be secured, including that all informa- |
| 7 | tion relating to cybersecurity threats and incidents |
| 8 | (as such terms are defined in section 2200 of the |
| 9 | Homeland Security Act of 2002 (6 U.S.C. 650)) |
| 10 | provided by any government or non-government par- |
| 11 | ticipant shall be protected as a High Value Asset as |
| 12 | described in Federal Information Processing Stand- |
| 13 | ards Publication 199, or any successor document. |
| 14 | (c) CLERICAL AMENDMENT.—The table of contents |
| 15 | in section 1(b) of the Homeland Security Act of 2002 is |
| 16 | amended by amending the item relating to section 2216 |
| 17 | to read as follows: |

"Sec. 2216. Joint Cyber Defense Collaborative.".