

July 23, 2021

NOTICE OF MEETING

The Committee on Financial Services will meet in open session at 10:00 a.m. on Wednesday July 28, 2021, and subsequent days if necessary, on the virtual meeting platform Cisco WebEx and in room 2128 of the Rayburn House Office Building to consider:

- **H.R. 935, Small Business Mergers, Acquisitions, Sales, and Brokerage Simplification Act of 2021 (Huizenga)**
 - o An Amendment in the Nature of a Substitute expected to be offered by Mr. Huizenga is being distributed with this notice. Members wishing to offer amendments are counseled to do so with reference to this text.
- **H.R. 2265 - Financial Exploitation Prevention Act of 2021 (Wagner)**
 - o An Amendment in the Nature of a Substitute expected to be offered by Ms. Wagner is being distributed with this notice. Members wishing to offer amendments are counseled to do so with reference to this text.
- **H.R. 3332, “Manufactured Housing Community Preservation Act of 2021” (Axne)**
 - o An Amendment in the Nature of a Substitute expected to be offered by Ms. Axne is being distributed with this notice. Members wishing to offer amendments are counseled to do so with reference to this text.
- **H.R. 3555, “the Voters on the Move Registration Act” (Williams)**
 - o An Amendment in the Nature of a Substitute expected to be offered by Ms. Williams is being distributed with this notice. Members wishing to offer amendments are counseled to do so with reference to this text.
- **H.R. 4590 “Promoting New and Diverse Depository Institutions Act” (Auchincloss)**
 - o An Amendment in the Nature of a Substitute expected to be offered by Mr. Auchincloss is being distributed with this notice. Members wishing to offer amendments are counseled to do so with reference to this text.
- **H.R. 4616, “the Adjustable Interest Rate (LIBOR) Act of 2021” (Sherman)**
 - o An Amendment in the Nature of a Substitute expected to be offered by Mr. Sherman is being distributed with this notice. Members wishing to offer amendments are counseled to do so with reference to this text.
- **H.R. 4617, “to amend the Securities Exchange Act of 1934 to prohibit payment for order flow” (Sherman)**
 - o An Amendment in the Nature of a Substitute expected to be offered by Mr. Sherman is being distributed with this notice. Members wishing to offer amendments are counseled to do so with reference to this text.
- **H.R. 4618, “the Short Sale Transparency and Market Fairness Act” (Waters)**
 - o An Amendment in the Nature of a Substitute expected to be offered by Ms. Waters is being distributed with this notice. Members wishing to offer amendments are counseled to do so with reference to this text.

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- **H.R. 4619, “to amend the Securities Exchange Act of 1934 to prohibit trading ahead by market makers, and for other purposes” (Green)**
 - o An Amendment in the Nature of a Substitute expected to be offered by Mr. Green is being distributed with this notice. Members wishing to offer amendments are counseled to do so with reference to this text.

- **H.R. 4620, “to amend the Investment Advisers Act of 1940 to limit the exemption provided for family offices from the definition of an investment adviser to those family offices with less than \$750,000,000 in assets under management and for other purposes” (Ocasio-Cortez)**
 - o An Amendment in the Nature of a Substitute expected to be offered by Ms. Ocasio-Cortez is being distributed with this notice. Members wishing to offer amendments are counseled to do so with reference to this text.

- **H.R. [#####], “to require the Securities and Exchange Commission to carry out a study on the impact of the gamification of online trading platforms, and for other purposes” (Casten)**
 - o An Amendment in the Nature of a Substitute expected to be offered by Mr. Casten is being distributed with this notice. Members wishing to offer amendments are counseled to do so with reference to this text.

To the extent permitted by the rules, and consistent with Committee practice, the Chair may exercise discretion to give priority to pre-filed amendments. To pre-file an amendment, the amendment must be e-mailed to FSCDocuments@mail.house.gov at least 24 hours prior to the commencement of the meeting. All Members seeking to offer an amendment must provide 30 double-sided copies to Franklin Thornton, the Clerk of the Committee, before it can be considered. If you have any questions regarding the meeting, please contact Esther Kahng or Bryan Medema.

Please note that Members who are attending the mark up virtually should sign into the Cisco WebEx platform ten minutes before the mark up begins to ensure that they can check their sound and audio and resolve any other technical issues before the meeting begins.

By Direction of the Chairwoman

