United States House of Representatives Committee on Financial Services

"TRUTH IN TESTIMONY" DISCLOSURE FORM

Clause 2(g) of rule XI of the Rules of the House of Representatives and the Rules of the Committee require the disclosure of the following information. A copy of this form should be attached to your written testimony and will be made publicly available in electronic format, as required by House rules.

1. Date of Hearing: January 30, 2020

2. Your Name: Aaron Klein

3. Organization or organizations you are representing:

I am a Fellow in Economic Studies and Policy Director for the Center on Regulation and Markets at The Brookings Institution. However, as noted below, I am presenting testimony representing my personal views. As an independent think tank, the Brookings Institution does not take institutional positions on any issue.

- 4. Since January 1, 2017, have you or your organization(s) received any Federal grants or contracts (including subgrants and subcontracts) related to the subject of the hearing or your representational capacity at the hearing? X Yes

 No (select one)
- 5. Since January 1, 2017, have you or your organization(s) received any contract or payment originating with a foreign government related to the subject of the hearing or your representational capacity at the hearing? X Yes No (select one)
- 6. If you answered "Yes" to either item 4 or 5, list the source and amount of each grant, contract, or payment. You may list additional grants, contracts, or payments on additional sheets.

Consistent with the Brookings Institution's commitment to independence (which includes not taking Institutional positions on issues), I am informing the Committee that my testimony represents my personal views and does not reflect the views of Brookings, its other scholars, employees, officers, and/or trustees.

In further response, with consideration to the fact that I am testifying on my personal views, I am providing a link to reports that list Brookings's donors, including any responsive Federal grants or contracts (including subgrants and subcontracts), and/or agreements or payments originating with a foreign government for the relevant time period. The reports can be found at www.brookings.edu/CongressionalTestimonyDisclosure. These Federal and/or foreign grants or payments were for independent research and analysis related to a number of subject matters, including a portion of which may be related to the subject matter of the present hearing. Brookings will update these reports on a regular basis.

Out of an abundance of caution, I have affirmatively responded to questions 4 and 5 above, in the event that some of the donors disclosed are responsive to these questions.

Please also note that Brookings may have ongoing agreements with some of the disclosed donors, which could include future payments to Brookings. Upon request, Brookings will provide information regarding ongoing agreements with any donors responsive to this disclosure. Brookings will report any additional payments received under ongoing agreements in subsequent reports.

Finally, additional information on Brookings's donors is provided in the Institution's previous Annual Reports, which can be found at www.brookings.edu/about-us/annual-report.

7. Are you an active registrant under the <u>Foreign Agents Registration Act</u> (FARA)?

□ Yes X No (select one)

Signature: Aaron Klein

Please attach a copy of this form to your written testimony.

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□ Yes X No (select one)

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