

**DISCLOSURE FORM FOR WITNESSES
COMMITTEE ON ARMED SERVICES
U.S. HOUSE OF REPRESENTATIVES**

INSTRUCTION TO WITNESSES: Rule 11, clause 2(g)(5), of the Rules of the House of Representatives for the 117th Congress requires nongovernmental witnesses appearing before House committees to include in their written statements a curriculum vitae and a disclosure of the amount and source of any federal contracts or grants (including subcontracts and subgrants), and contracts or grants (including subcontracts and subgrants), or payments originating with a foreign government, received during the past 36 months either by the witness or by an entity represented by the witness and related to the subject matter of the hearing. Rule 11, clause 2(g)(5) also requires nongovernmental witnesses to disclose whether they are a fiduciary (including, but not limited to, a director, officer, advisor, or resident agent) of any organization or entity that has an interest in the subject matter of the hearing. As a matter of committee policy, the House Committee on Armed Services further requires nongovernmental witnesses to disclose the amount and source of any contracts or grants (including subcontracts and subgrants), or payments originating with any organization or entity, whether public or private, that has a material interest in the subject matter of the hearing, received during the past 36 months either by the witness or by an entity represented by the witness. Please note that a copy of these statements, with appropriate redactions to protect the witness's personal privacy (including home address and phone number), will be made publicly available in electronic form 24 hours before the witness appears to the extent practicable, but not later than one day after the witness's appearance before the committee. Witnesses may list additional grants, contracts, or payments on additional sheets, if necessary. Please complete this form electronically.

Hearing Date: _____

Hearing Subject:

Witness name: _____

Position/Title: _____

Capacity in which appearing: (check one)

Individual Representative

If appearing in a representative capacity, name of the organization or entity represented:

Federal Contract or Grant Information: If you or the entity you represent before the Committee on Armed Services has contracts (including subcontracts) or grants (including subgrants) with the federal government, received during the past 36 months and related to the subject matter of the hearing, please provide the following information:

2021

Federal grant/ contract	Federal agency	Dollar value	Subject of contract or grant

2020

Federal grant/ contract	Federal agency	Dollar value	Subject of contract or grant

2019

Federal grant/ contract	Federal agency	Dollar value	Subject of contract or grant

2018

Federal grant/ contract	Federal agency	Dollar value	Subject of contract or grant

Foreign Government Contract, Grant, or Payment Information: If you or the entity you represent before the Committee on Armed Services has contracts or grants (including subcontracts or subgrants), or payments originating from a foreign government, received during the past 36 months and related to the subject matter of the hearing, please provide the following information:

2021

Foreign contract/ payment	Foreign government	Dollar value	Subject of contract, grant, or payment

2020

Foreign contract/ payment	Foreign government	Dollar value	Subject of contract, grant, or payment

2019

Foreign contract/ payment	Foreign government	Dollar value	Subject of contract, grant, or payment

2018

Foreign contract/ payment	Foreign government	Dollar value	Subject of contract, grant, or payment

Fiduciary Relationships: If you are a fiduciary of any organization or entity that has an interest in the subject matter of the hearing, please provide the following information:

Organization or entity	Brief description of the fiduciary relationship

Organization or Entity Contract, Grant or Payment Information: If you or the entity you represent before the Committee on Armed Services has contracts or grants (including subcontracts or subgrants) or payments originating from an organization or entity, whether public or private, that has a material interest in the subject matter of the hearing, received during the past 36 months, please provide the following information:

2021

Contract/grant/ payment	Entity	Dollar value	Subject of contract, grant, or payment

2020

Contract/grant/ payment	Entity	Dollar value	Subject of contract, grant, or payment

2019

Contract/grant/ payment	Entity	Dollar value	Subject of contract, grant, or payment

2018

Contract/grant/ payment	Entity	Dollar value	Subject of contract, grant, or payment

Federal Grants

Source	Date	Amount	Project
Lawrence Livermore National Laboratory	2018-02-26 - Lawrence Livermore National Laboratory - \$39,987.00 - Contract	39987	LLNL Support for PONI
National Nuclear Security Administration	2018-04-12 - National Nuclear Security Administration - \$158,000.00 - FY18-FY19 European T	158000	FY18-FY19 European Trilateral Dialogue
National Nuclear Security Administration	2018-04-16 - National Nuclear Security Administration - \$146,000.00 - NNSA FY18-19 Suppor	146000	NNSA FY18-19 Support for PONI
United States Air Force Academy	2018-07-24 - United States Air Force Academy - \$124,465.00 - Contract	124465	Chemical Weapons Project
Defense Threat Reduction Agency	2018-07-31 - Defense Threat Reduction Agency - \$227,223.00 - Contract	227223	PONI 6 Month Extension
Department of Defense	2018-08-03 - Department of Defense - \$348,892.00 - Contract	348892	Grey Zone Interagency Task Force
Foreign Service Institute	2018-09-18 - Foreign Service Institute - \$3,000.00 - Donation	3000	Seven Revolutions for Foreign Service Institute September 2018
Defense Threat Reduction Agency	2018-09-30 - Defense Threat Reduction Agency - \$750,000.00 - Contract	750000	FY19 DTRA, OSD, AFGSC Support for PONI
Department of State	2018-11-30 - Department of State - \$3,000.00 - Donation	3000	Fall 2018 Understanding National Security Space
Department of Energy	2018-12-13 - Department of Energy - \$3,000.00 - Donation	3000	Fall 2018 Understanding National Security Space
Department of Homeland Security	2018-12-13 - Department of Homeland Security - \$3,000.00 - Donation	3000	Fall 2018 Understanding National Security Space
Office of the Inspector General of the Department of Defense	2019-02-13 - Office of the Inspector General of the Department of Defense - \$3,500.00 - Dor	3500	2019 Future of Missile Defense
Lawrence Livermore National Laboratory	2019-02-26 - Lawrence Livermore National Laboratory - \$40,000.00 - Contract	40000	LLNL FY19 Support for PONI
Army Future Studies Group	2019-03-16 - Army Future Studies Group - \$2,390.95 - Donation	2390.95	Army Future Studies Group - Risk and Foresight Group Exec Education
Foreign Service Institute	2019-03-26 - Foreign Service Institute - \$3,000.00 - Donation	3000	Seven Revolutions
National Nuclear Security Administration	2019-04-30 - National Nuclear Security Administration - \$146,000.00 - NNSA FY19-FY20 Supp	146000	NNSA FY19-FY20 Support for PONI
National Nuclear Security Administration	2019-04-30 - National Nuclear Security Administration - \$157,000.00 - NNSA FY19-FY20 Trilat	157000	NNSA FY19-FY20 Trilats
Foreign Service Institute	2019-05-14 - Foreign Service Institute - \$3,000.00 - Donation	3000	Seven Revolutions to Foreign Service Institute
Foreign Service Institute	2019-07-16 - Foreign Service Institute - \$3,000.00 - Donation	3000	Seven Revolutions to Foreign Service Institute
Naval Postgraduate School	2019-09-02 - Naval Postgraduate School - \$120,000.00 - Industrial Mobilization - Assessing Si	120000	Industrial Mobilization - Assessing Surge Capabilities, Wartime Risks, and System Brittleness
Defense Threat Reduction Agency	2019-09-30 - Defense Threat Reduction Agency - \$750,000.00 - Contract	750000	DTRA Contract Year 2 (Sept 2019-Sept 2020)
Defense Threat Reduction Agency	2020-03-01 - Defense Threat Reduction Agency - \$141,944.00 - Contract	141944	Scenarios on the Future Threat Environment
National Defense University	2020-03-20 - National Defense University - \$5,000.00 - Eisenhower School Budget Exercise - i	5000	Eisenhower School Budget Exercise
National Nuclear Security Administration	2020-04-30 - National Nuclear Security Administration - \$188,926.00 - Renewal for Trilats Suj	188926	Renewal for Trilats Support
National Nuclear Security Administration	2020-04-30 - National Nuclear Security Administration - \$249,998.00 - 2yr Renewal for PONI	249998	2yr Renewal for PONI Support
Defense Threat Reduction Agency	2020-05-01 - Defense Threat Reduction Agency - \$134,930.00 - Donation	134930	Future of Arms Control in an Era of Strategic Competition
Defense Threat Reduction Agency	2020-08-28 - Defense Threat Reduction Agency - \$209,918.50 - Donation	209918.5	Allies, Partners, and Non-Traditional Partners (U.S. - AUS)
Defense Threat Reduction Agency	2020-09-08 - Defense Threat Reduction Agency - \$104,610.00 - Contract	104610	Impact of Influence Ops on Crisis Management
Lawrence Livermore National Laboratory	2021-01-01 - Lawrence Livermore National Laboratory - \$40,019.00 - Contract	40019	FY21 LLNL Support

Foreign Grants

Source	Date	Amount	Project
Embassy of Australia	2019-03-05 - Embassy of Australia - \$3,500.00 - Donation	3500	2019 Future of Missile Defense
Government Of Canada	2019-12-01 - Government Of Canada - \$36,011.86 - Principled approaches to hybrid warfare	36011.86	Principled approaches to hybrid warfare

Private

Source	Date	Amount	Project
Aerospace Industries Association	2018-01-10 - Aerospace Industries Association - \$75,000.00 - Donation	75000	Space Threats Assessment 2018 Report
Northrop Grumman Corporation	2018-02-26 - Northrop Grumman Corporation - \$50,000.00 - Donation	50000	PONI NPR Conference
Los Alamos National Laboratory	2018-05-23 - Los Alamos National Laboratory - \$74,914.83 - Contract	74914.83	LANL Support for PONI and Mid-Career Workshop
Sandia National Laboratories	2018-06-01 - Sandia National Laboratories - \$74,990.00 - Contract	74990	Sandia Support for PONI
International Crisis Group	2018-07-19 - International Crisis Group - \$835.00 - Donation	835	Verifying North Korean Denuclearization
Northrop Grumman Corporation	2018-10-31 - Northrop Grumman Corporation - \$75,000.00 - Donation	75000	Future of Arms Control Event
Los Alamos National Laboratory	2019-06-03 - Los Alamos National Laboratory - \$50,000.00 - Contract	50000	LANL FY19 Support for PONI
MacArthur Foundation	2019-07-17 - MacArthur Foundation - \$40,000.00 - New Approaches to Nuclear Nonprolifera	40000	New Approaches to Nuclear Nonproliferation
Northrop Grumman Corporation	2019-09-27 - Northrop Grumman Corporation - \$50,000.00 - Donation	50000	NGC FY19 Support for PONI
Northrop Grumman Corporation	2019-10-01 - Northrop Grumman Corporation - \$875,000.00 - Donation	875000	Aerospace Security Project General Support
Northrop Grumman Corporation	2019-10-03 - Northrop Grumman Corporation - \$75,000.00 - Donation	75000	Funding for spring 2020 Half Day Conference
Smith Richardson Foundation	2019-10-21 - Smith Richardson Foundation - \$200,000.00 - Defense Against the Dark Arts: Pr	200000	Defense Against the Dark Arts: Protecting Space Systems from Counterspace Weapons
Northrop Grumman Corporation	2019-10-25 - Northrop Grumman Corporation - \$50,000.00 - Donation	50000	FY20 Support for PONI
SAIC	2020-03-06 - SAIC - \$60,000.00 - International Perspectives on Space Weapons - Grant	60000	International Perspectives on Space Weapons
SAIC	2020-04-01 - SAIC - \$120,000.00 - International Views of Space Law, Policy, and Norms - Grar	120000	International Views of Space Law, Policy, and Norms
Los Alamos National Laboratory	2020-10-01 - Los Alamos National Laboratory - \$50,000.00 - Contract	50000	FY21 LANL Support