Amendment to H.R. 2670 Offered by Mr. Banks of Indiana

At the appropriate place in title II, insert the following new section:

1 SEC. 2____. CERTAIN DISCLOSURE REQUIREMENTS FOR 2 UNIVERSITY RESEARCH FUNDED BY THE DE 3 PARTMENT OF DEFENSE.

4 (a) DISCLOSURES REQUIRED.—Not later than 90
5 days after the date of the enactment of this Act, the Sec6 retary of Defense shall require the principal investigator
7 of any covered research program at an institution of high8 er education to accurately and completely disclose to the
9 Department of Defense the following:

(1) At the time of application for funding from
the Department of Defense for a covered research
program, disclose, with respect to each researcher
who is expected to participate in the program—

14 (A) date and place of birth, country of citi15 zenship, and immigration status in the case of
16 a foreign national;

17 (B) educational background from under-18 graduate education onwards;

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| 1 | (C) professional and employment back- |
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| 2 | ground, as applicable, including any history of |
| 3 | working for a foreign government or on foreign |
| 4 | government sponsored projects; |
| 5 | (D) all previous and concurrent research, |
| 6 | academic and corporate positions, ties, or rela- |
| 7 | tionships; |
| 8 | (E) past and current affiliation with for- |
| 9 | eign governments, including foreign political |
| 10 | parties or organizations, and military ties, as |
| 11 | applicable, in case of foreign national; |
| 12 | (F) past or current involvement in any for- |
| 13 | eign talent programs; |
| 14 | (G) memberships in foreign and United |
| 15 | States academic and professional associations |
| 16 | and organizations; and |
| 17 | (H) a list of all publications published any- |
| 18 | where in any language, peer reviewed or non- |
| 19 | peer reviewed, including all mentions of foreign |
| 20 | funding, research collaborations, and in kind |
| 21 | support that supported the research and publi- |
| 22 | cation. |
| 23 | (2) Disclose the information specified in para- |
| 24 | graph (1) with respect to any researcher who joins |
| 25 | a covered program after funding is awarded by the |

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- 1 Department of Defense not later than 90 days after 2 the researcher joins the program.
- 3 (3) Beginning not later than one year after 4 funding is awarded by the Department of Defense 5 for a covered program, and annually thereafter 6 through the end of the award period, disclose—

7 (A) any direct, indirect, formal, or infor-8 mal collaboration that the principal investi-9 gator, either independently or as the lead of the 10 covered program, enters into with any third-11 party persons or entities, including the identity 12 and nationality of the third party collaborator, 13 the nature of the collaboration (whether direct, 14 indirect, formal or informal) and the terms and 15 conditions of such collaboration; and

16 (B) any change of status with regard to a 17 researcher who was the subject of a disclosure 18 under paragraphs (1) or (2), including any de-19 parture of such researcher from the program, 20 the terms of such departure, change of immi-21 gration status, and change in foreign ties and 22 collaboration.

23 (b) FORM; PUBLIC AVAILABILITY OF INFORMA-24 TION.—Each disclosure under subsection (a) shall be submitted in unclassified form and shall be made available 25

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on a publicly accessible website of the Federal Govern ment.

- 3 (c) DEFINITIONS.—In this section—
- 4 (1) The term "covered research program"
 5 means any research program, research project, or
 6 other research activity (including classified and un7 classified research) that is—
- 8 (A) conducted by an institution of higher9 education; and
- 10 (B) funded, in whole or in part, by the De-11 partment of Defense.

(2) The term "institution of higher education"
has the meaning given such term in section 102 of
the Higher Education Act of 1965 (20 U.S.C. 1002)
and includes any department, program, project, faculty, researcher, or other individual, entity, or activity of such institution.

(3) The term "researcher" means any person
who has access to research information under a covered research program, including the principal investigator and any graduate students, post-doctoral fellows, or visiting scholars participating in such program.

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