

Chris Brummer

Georgetown University Law Center
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Education

- J.D. 2004 **Columbia University Law School**
Senior Editor, *Columbia Law Review*
Editor, *Columbia Journal of European Law*
James Kent Scholar¹ (2003)
Harlan Fiske Stone Scholar² (2004)
Alexander Hamilton Fellow³
- Ph.D. 2001 **University of Chicago**
Germanic Studies
Andrew W. Mellon Fellowship in the Humanities
United States Jacob K. Javits Fellowship
- A.B. 1997 **Washington University in St. Louis, *summa cum laude***
Major in German literature, minor in comparative literature
Phi Beta Kappa
John B. Ervin Scholarship
- 1994-1995 **Universitaet Tuebingen, Germany**
Studied German history, politics, philosophy, and French literature

Academic Positions

- 2009-Present **Georgetown University Law Center**
Agnes N. Williams Professor of Law
Faculty Director, Institute of International Economic Law
- Spring 2021 **Columbia Law School**
Visiting Professor

¹ Kent Scholar is generally awarded each year to the top 1 - 3 percent of the class.

² A student is named a Stone Scholar if during an academic year the student has an academic average significantly better than 3.4.

³ The Alexander Hamilton Fellowship is the leading scholarship based on academic merit awarded to incoming students at Columbia Law School.

Fall 2015	University of Pennsylvania School of Law Visiting Professor
Fall 2012	London School of Economics Visiting Professor
Summer 2011	University of California, Hastings Roger Traynor Summer Professor in Corporate Law
Summer 2011	Heidelberg University Visiting Professor
Summer 2010	University of Basel Zaeslin Visiting Professor
2006-2009	Vanderbilt Law School Assistant Professor of Law

Books

Fintech Law in a Nutshell (Westlaw) (2020)

Cryptoassets: Legal, Regulatory, and Monetary Perspectives (Oxford University Press) (Editor) (2019)

Soft Law and the Global Financial System: Rule Making in the 21st Century (Cambridge University Press, 2012) (2nd edition, expanded and revised, 2015)

Minilateralism: How Trade Alliances, Soft Law and Financial Engineering are Redefining Economic Statecraft (Cambridge University Press, 2014)

Sample Articles and Shorter Works

A Developer Theory of Disclosure (work in progress)

Consumer Financial Data and Non-Horizontal Mergers, BIS Working Paper No. 1251 (with Linda Jeng, Jon Frost, Elisabeth Noble), (Bank for Int'l Settlements, Monetary & Econ. Dep't, Mar. 2025), <https://www.bis.org/publ/work1251.htm>.

Regulation by Enforcement, 98 Vand. L. Rev. 1319 (2023)

Legal Wrappers and DAOs (May 30, 2022) (with Rodrigo Seira), available at SSRN: <https://ssrn.com/abstract=4123737> or <http://dx.doi.org/10.2139/ssrn.4123737>

Disclosure, Dapps, and DeFi 137 (2022) *Stanford J. of Blockchain Law & Policy*, 2022, available at <https://stanford-jblp.pubpub.org/pub/disclosure-dapps-defi/release/1>

Future Proofing Inclusion: Technology Proposals for CDFIs and MDIs (2021) (DC Fintech Week E-publication) (editor), available at https://www.dcfintechweek.org/wp-content/uploads/2021/10/FTW21_Ebook_FINAL_102121-B.pdf

What do the Data Reveal about (the Absence of Black) Financial Regulators?, (Brookings Institution) (2020), available at <https://www.brookings.edu/events/where-are-the-black-financial-regulators>.

A Theory of Everything: A Historically Grounded Understanding of Soft Law—and the BIS in Promoting Global Monetary and Financial Stability: The Bank for International Settlements after Bretton Woods, 1973-2020 (Cambridge University Press; Bank for International Settlements) (2020)

What Should Be Disclosed in an Initial Coin Offering? in *Cryptoassets: Legal, Regulatory, and Monetary Perspectives* (Oxford University Press) (2019) (with Jai Massari and Trevor Kiviat)

Fintech and the Innovation Trilemma (with Y. Yadav), 107 *Georgetown L. J.* 235 (2019). available at <https://georgetownlawjournal.org/articles/298/fintech-and-the-innovation-trilemma/pdf>

The Renminbi and Systemic Risk, *J. of Int'l Econ. L.* 447 (2017), available at <https://academic.oup.com/jiel/article/20/3/447/4553496>

Disruptive Technology and Securities Regulation, 84 *Fordham L. Rev.* 977 (2015), available at <http://ir.lawnet.fordham.edu/cgi/viewcontent.cgi?article=5158&context=flr>

Institutional Design: the International Architecture in The Oxford Handbook on Financial Regulation (with Matthew Smallcomb) (2015)

Does Today's World Need a Global Financial Regulator?, 33 *Int'l Fin. L. Rev.* 18, 18-20 (2014) (Debate with IOSCO's Tajinder Singh)

Systematically Important Banks (SIBs in the Post-Crisis Era: The Global Response, and Responses around the Globe for 135 Countries (with James R. Barth, Tong Li, and Daniel E. Nolle), in *The Oxford Handbook of Banking, Revised Edition* (2014), available at https://papers.ssrn.com/sol3/papers.cfm?abstract_id=2294641

The New Politics of Transatlantic Credit Rating Agency Regulation, in *The Fate of Transnational Financial Regulation* (with Rachel Loko), (Routledge, 2014) Tony Porter, ed., available at https://papers.ssrn.com/sol3/papers.cfm?abstract_id=2179239

Domestic Bank Regulation in a Global Environment- A Comparative Dialogue (Published Panel Discussion with Lissa Broome, Michael Helfer, Cyrus Amir-Mokri, Robert Hocket & Nick O'Neill), 17 *N.C. Banking Inst.* 1-27 (2013)

Charter of the Financial Stability Board, Introductory Note, International Legal Materials Vol. 51, No. 4, 828 (2012)

Networks (In)Action?, World Bank Legal Rev., volume 3 (2011)

How International Financial Law Works (and How it Doesn't), 99 Georgetown L. J. 257 (2011)

Territoriality as a Regular Technique: Notes from the Financial Crisis, 79 University of Cincinnati L. Rev., 499-526 (2010) (invited symposium)

Origins of the Financial Crisis and International/National Responses: An Overview, 104 Am. Soc'y Int'l L. Proc. 435 (2010)

Why Soft Law Governs Finance- And Not Trade, 13 Journal of International Economic Law 623 (2010). Reprinted in International Law in Financial Regulation and Monetary Affairs 95-113 (John H. Jackson, Thomas Cottier & Rosa M. Lastra eds., Oxford: Oxford University Press 2012).

Post-American Securities Regulation, 98 California L. Rev. 327 (2010). Selected to be reprinted by both Corporate Practice Commentator and Securities Law Review in 2011.

Stock Exchanges and the New Market for Securities Law, 75 University of Chicago L. Rev. 1435 (2008)

Corporate Law Preemption in an Age of Global Capital Markets, 81 Southern California L. Rev. 1067 (2008). Selected as one of the top 10 Corporate and Securities Law articles of 2008 by Corporate Practice Commentator.

Regional Integration and Incomplete Club Goods: A Trade Perspective, 8 Chicago J. of Int'l L. 535 (2008) (symposium)

The Ties that Bind? Regionalism, Commercial Treaties and the Future of Global Economic Integration, 60 Vanderbilt L. Rev. 1349 (2007)

"Examining the Institutional Design of International Investment Law" in Karl P. Sauvant, ed., Appeals Mechanism in International Investment Disputes (Oxford University Press, 2008, 281-87)

Note, Sharpening the Sword: Class Certification, Appellate Review, and the Role of the Fiduciary Judge in Class Action Lawsuits, 104 Colum. L. Rev. 1042 (2004)

Service

2016-Present	DC Fintech Week, Washington DC Founder and Organizer
2020-2021	Biden-Harris Presidential Transition Team (Treasury ART) Primary issue areas: systemic risk, financial technology, financial inclusion, China's monetary and regulatory system
2018-2020	Member, Commodity Futures Trading Commission, Subcommittee on Virtual Currencies, Technical Advisory Committee
2018-2020	Member, European Securities and Markets Authority Consultative Working Group, Financial Innovation Standing Committee
2013-2015	Member, National Adjudicatory Council, FINRA
2010-2017	Nasdaq, Expert Member, Delistings Panel

Other Positions

2024-Present	Bluprynt, Inc. Founder
2023-Present	PayPal Digital Member, Board of Directors
2021-Present	K2 Integrity Member, Board of Directors
2021-Present	Public Holdings (Public.com) Member, Board of Directors
2021-2025	Federal National Mortgage Association (Fannie Mae) Member, Board of Directors
2021-2023	Paradigm Scholar in Residence, Senior Advisor
2019-Present	Fintech Beat Podcast Co-Founder
2012-Present	Atlantic Council, Washington, DC

Nonresident Senior Fellow, Global Business and Economics Program (now the Geoeconomics Center)

2011-2016 **Milken Institute, Washington, DC**
Senior Fellow, Center for Financial Markets

2004-2006 **Cravath, Swaine & Moore, New York & London**
Banking Group
Securities Law Group

Recognition

Chorley Lecturer, London School of Economics, 2023

Jones Day Professor of Commercial Law, Singapore Management University, 2022

Keynote Speaker, SEC Black History celebration, 2021; FinCEN Black History celebration, 2022; Office of the Comptroller of the Currency Black History celebration, 2023.

Languages

Fluent spoken and written German and French.

Bar Admission

New York