Committee on Agriculture
U.S. House of Representatives
Required Witness Disclosure Form

House Rules* require nongovernmental witnesses to disclose the amount and source of Federal grants received since January 1, 2013.

Name: STEPHEN JOHN BERGER

Organization you represent (if any): CITADEL LLC, ON BEHALF OF MANAGED FUNDS ASSOCIATION

1. Please list any federal grants or contracts (including subgrants and subcontracts) you have received since January 1, 2013, as well as the source and the amount of each grant or contract. House Rules do NOT require disclosure of federal payments to individuals, such as Social Security or Medicare benefits, farm program payments, or assistance to agricultural producers:

Source:_____ **Amount:**_____

Source:_____ **Amount:**_____

2. If you are appearing on behalf of an organization, please list any federal grants or contracts (including subgrants and subcontracts) the organization has received since January 1, 2013, as well as the source and the amount of each grant or contract:

Source:_____ **Amount:**_____

Source:_____ **Amount:**_____

3. Please list any payment or contract originating with a foreign government (including subcontracts) you have received since January 1. 2013, as well as the country of origin and amount of each payment or contract.

Country of Origin:_____ **Amount:**_____

Country of Origin:_____ **Amount:**_____

4. Please list any payment or contract originating with a foreign government (including subcontracts) the organization has received since January 1. 2013, as well as the country of origin and amount of each payment or contract.

Country of Origin:_____ **Amount:**_____

Country of Origin:_____ **Amount:**_____

Please check here if this form is NOT applicable to you: _____

Signature:_____

* Rule XI, clause 2(g)(5) of the U.S. House of Representatives provides:

(A) Each committee shall, to the greatest extent practicable, require witnesses who appear before it to submit in advance written statements of proposed testimony and to limit their initial presentations to the committee to brief summaries thereof.

(B) In the case of a witness appearing in a nongovernmental capacity, a written statement of proposed testimony shall include a curriculum vitae and a disclosure of any Federal grants or contracts, or contracts or payments originating with a foreign government, received during the current calendar year or either of the two previous calendar years by the witness or by an entity represented by the witness and related to the subject matter of the hearing.

(C) The disclosure referred to in subdivision (B) shall include--(i) the amount and source of each Federal grant (or subgrant thereof) or contract (or subcontract thereof) related to the subject matter of the hearing; and (ii) the amount and country of origin of any payment or contract related to the subject matter of the hearing originating with a foreign government.

(D) Such statements, with appropriate redactions to protect the privacy or security of the witness, shall be made publicly available in electronic form not later than one day after the witness appears.

PLEASE ATTACH DISCLOSURE FORM TO EACH COPY OF TESTIMONY.