

Amendment offered by Ms. McClain Delaney

Brief Summary

This amendment requires that the CFTC issue rules establishing requirements for the identification, mitigation and resolution of conflicts of interest among and across registered entities and persons required to be registered with the Commission, including conflicts of interest related to market regulation functions and vertically integrated market structures.

**AMENDMENT TO THE AMENDMENT IN THE
NATURE OF A SUBSTITUTE TO H.R. 3633
OFFERED BY MRS. MCCLAIN DELANEY OF
MARYLAND**

At the end, add the following:

1 SEC. ____ . CONFLICT OF INTEREST RULEMAKING.

2 No later than 360 days after the date of the enact-
3 ment of this Act, the Commodity Futures Trading Com-
4 mission shall issue rules establishing requirements for the
5 identification, mitigation, and resolution of conflicts of in-
6 terest among and across registered entities (within the
7 meaning of the Commodity Exchange Act) and persons
8 required to be registered with the Commission, including
9 conflicts of interest related to vertically integrated market
10 structures and their varying responsibilities.

