

AMENDMENT TO THE AMENDMENT IN THE NATURE OF A SUBSTITUTE TO H.R. 4763 OFFERED BY MS. CROCKETT OF TEXAS

Adds additional customer disclosure requirements for persons filing a notice of intent to register.

**AMENDMENT TO THE AMENDMENT IN THE
NATURE OF A SUBSTITUTE TO H.R. 4763
OFFERED BY MS. CROCKETT OF TEXAS**

Page 34, line 20, strike “and”.

Page 34, line 23, strike the period and insert a semicolon.

Page 34, after line 23, insert the following:

1 (C) a disclaimer accompanying any men-
2 tion of registration with the Commission, which
3 explains that the registration expresses only an
4 intention to register once rulemaking is final-
5 ized, and that the acceptance by the Commis-
6 sion of the intention to register does not imply
7 any standard of soundness;

8 (D) information about the custody of the
9 assets listed for trading on the person and how
10 to acquire, maintain, track, and potentially re-
11 gain custody of the assets; and

12 (E) information about the anti-fraud and
13 anti-manipulation authority of the Commission,
14 including how to report potential violations.

