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Larry E. Thompson

Vice Chairman and General Counsel, The Depository Trust & Clearing Corporation (DTCC) and Chairman of the Board, Deriv/SERV

Larry E. Thompson is Vice Chairman and General Counsel, The Depository Trust and Clearing Corporation (DTCC) and Chairman of the Board, Deriv/SERV. He is a member of the DTCC Executive Leadership Team.

Thompson is responsible for all legal and regulatory activities of DTCC and its subsidiaries and regularly interfaces with government and regulatory agencies on issues impacting the company. Thompson also provides crisis management counsel to the senior leadership team. Thompson has negotiated merger-and-acquisition agreements with other clearing agencies and depositories and has handled other complex negotiations with strategic partners and service providers. Thompson oversees a global legal team with offices in Europe and the United States and legal representatives in Asia.

Thompson is a member of the DTCC Management Risk Committee. He is the former Co-Chair of the DTCC Internal Risk Management Committee and former Chairman of the DTC Internal Risk Management Committee. Thompson also formerly served as a member of the Board of Directors of New York Portfolio Clearing (NYPC), a joint venture derivatives clearinghouse owned equally by NYSE Euronext and DTCC.

Thompson was elected as an Independent Director to the Board of Directors of the Federal Home Loan Bank of New York in November 2013. Thompson was elected, in part, for his expertise in risk management and deep experience in financial services.

Thompson began his legal career with DTC as Associate Counsel in 1981. He was elected Vice President and Deputy General Counsel in 1991, Senior Vice President in 1993, General Counsel of DTC in 1999, Managing Director and First Deputy General Counsel of DTCC in 2004, and was named to his current position in 2005. Previously, he was a partner in the New York law firm of Lake, Bogan, Lenoir, Jones & Thompson. Thompson began his legal career at Davis Polk & Wardwell.

He is a 2005 David Rockefeller Fellow, having been selected to participate in a program designed to strengthen its fellows' business and civic leadership skills, positioning them to help shape the future of New York City. In addition, he is a New York County Lawyers' Association 91st Annual Dinner Honoree Celebrating Jurists and Lawyers of Color. Thompson is a Member of the American Foundation for the Blind Presidents Council and a former Trustee of The American Foundation for the Blind. He also has been a Guest Speaker at the Yale University class, "Understanding Global Financial Centers."

Thompson is also the former Chairman of the Securities Clearing Group and former Co-Chairman of the Unified Clearing Group. His memberships include the New York State Bar Association; the New York County Lawyers' Association; Association of the Bar of the City of New York; Business Executives for National Security; and the Global Association of Risk Professionals. He is a former director of the Legal Aid Society of New York and a former director of The Studio Museum of Harlem.

Thompson has testified before the U.S. Congress on issues related to the Dodd-Frank Act and financial reform and is a frequent contributor to the media. He has appeared on CNBC Squawk Box and has been featured in the *Financial Times*, *Wall Street Journal online* and *Bloomberg News*, among other leading publications. He has also published numerous commentary/opinion articles on financial reform and served as keynote speaker at industry conferences.

Thompson earned his B.A. degree from Yale University with cum laude honors and as Scholar of the House. He earned his Law degree at the University of California at Berkeley.